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# Assessment of Drone-based Surface Flow Observations

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## Abstract

1 Remote surface flow observations are crucial for improving the comprehension of hydrological phenomena. A recent advancement in remote hydrological  
2 measurements involves the use of drones for generating surface flow velocity  
3 field maps through Large Scale Particle Image Velocimetry (LSPIV). In this  
4 work, we perform a comparative analysis of drone-based LSPIV with fixed  
5 implementations. Quantitative indices are introduced to test the efficiency of  
6 the techniques with respect to measurement accuracy, sensitivity to the transit  
7 of tracers, and platform mobility. Experimental findings support drone-based  
8 observations in outdoor settings. Specifically, measurements from the aerial  
9 platform are more sensitive to the transit of tracers and closer to benchmark  
10 values than traditional LSPIV implementations. Future work should aim at  
11 improving the stability of the aerial platform and mitigating the effects of  
12 tracer scarcity.  
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# 1 Introduction

Surface flow measurements are crucial for understanding the organization of natural waters and predicting hydrological phenomena. However, the availability of accurate observations is hindered by practical difficulties (Hrachowitz et al., 2013; McDonnell et al., 2007). For instance, flow observations in difficult-to-access environments are challenging, and current measurement procedures are often intrusive and highly user-assisted (Buchanan and Somers, 1969). Such drawbacks are partially addressed by optical techniques, such as Large Scale Particle Image Velocimetry (LSPIV), which entails the use of digital video acquisition systems to construct velocity maps of surface flows.

LSPIV is a remote surface flow measurement system that enables potentially continuous characterization of water bodies based on digital image acquisition from locations placed outside the flow current (Fujita et al., 1997; Bradley et al., 2002). Directly based on classical particle image velocimetry (PIV), which is typically used in fluid mechanics laboratories to estimate the instantaneous flow velocity of seeded fluids (Adrian, 1991, 2005; Raffel et al., 2007), this technique has found several applications in hydrological sciences. For instance, it has been instrumental to estimate hydrodynamic features in natural water bodies (Hauet et al., 2009) and flow discharge and patterns in riverine and limnological environments (Creutin et al., 2003; Jodeau et al., 2008; Kantoush and Schleiss, 2009). LSPIV does not require either physical sampling or the presence of operators (Gunawan et al., 2012; Kantoush et al., 2011), and allows for safely monitoring extended channel reaches in varying flow regimes, ranging from heavy floods to low flows (Bechle et al., 2012; Tsubaki et al., 2011). For instance, in a recent application (LeBoursicaud et al., 2015), videos captured from an amateur are utilized to estimate flow discharge during an extreme event.

LSPIV implementations include digital cameras installed at a distant position and inclined with respect to the water surface and a processing unit to a posteriori analyze images and extract the flow velocity field (Kim et al., 2008; Muste et al., 2008, 2011). Typically, floating material is added onto the water surface to improve visibility. In the absence of externally added material, foam, bubbles, or naturally occurring debris can be used for flow tracing. In the post-analysis phase, images are orthorectified using ground reference points (GRPs) and are assigned metric dimensions (Hauet et al., 2008). After orthorectification, surface flow velocity is estimated by applying a high-speed cross-correlation algorithm on sequences of captured images (Raffel et al., 2007).

According to (Kantoush et al., 2011; Hauet et al., 2008; Kim, 2006), LSPIV measurements are highly sensitive to the surface seeding density, the acquisition of

GRPs, and the process of image orthorectification. In (Tauro et al., 2014), limitations related to image orthorectification and calibration are addressed by developing a portable telescopic apparatus, whereby the camera axis is perpendicular to the water surface and a system of lasers creates reference points in the field of view for image calibration. This apparatus is nonintrusive and inherently thought for installations underneath bridges and boardwalks. However, monitored fields are spatially limited, and can only be varied upon reinstallation of the setup in alternative locations.

Fully remote surface flow observations are achieved in (Pagano et al., 2014; Tauro et al., 2015a,b), where a custom-built and a commercial drones are used for surface flow observations on small scale streams. In the last years, drones have empowered the realm of Earth science with novel observational capabilities. They enable data collection in hostile environments (McGonigle et al., 2008; Shelley et al., 2014) and observations at the large scale (Cohen, 2007; Schiffman, 2014). Among environmental applications, drone technology has been adopted to map drainage networks in glacial environments (Rippin et al., 2015), to study erosion and deposition dynamics (Eltner et al., 2014; Smith and Vericat, 2015), to monitor crop fields (Gago et al., 2015) and coastal wetlands (Klemas, 2015), and to investigate channel reach morphology (Tamminga et al., 2015). Further, low-cost drones have enabled the generation of digital elevation models in impoverished areas (Heimhuber et al., 2015), and have been tested for environmental disaster and flooding sensing (Liu et al., 2015; Luo et al., 2015). In (Pagano et al., 2014; Tauro et al., 2015b), the feasibility of drone-based flow velocimetry is demonstrated through proof-of-concept experiments. Notably, in (Tauro et al., 2015b), the drone hovering accuracy is characterized in an outdoor laboratory, and the platform is then used to yield accurate surface flow maps at sub-meter spatial resolution on the Rio Cordon mountainous stream in the Italian Alps, Italy.

In this work, we build on the promising findings in (Tauro et al., 2015b) to quantitatively assess the performance of drone-based surface flow measurements in outdoor settings against fixed LSPIV implementations. Specifically, the efficacy of surface flow observations from an aerial sensing platform is investigated with respect to traditional LSPIV implementations and the modified portable LSPIV configuration presented in (Tauro et al., 2014) through experiments performed in the Rio Cordon. Data from each experimental configuration are evaluated with respect to: i) the accuracy of velocity estimations with respect to benchmark values; ii) the sensitivity to the transit of tracing material; and iii) the effect of the mobility of the apparatus. In addition, this assessment explores the dependence of the different experimental configurations on the observation time length and on the type of tracer released on the water surface.

89 The selected experimental site features a high-flow regime, small scale, artificial  
90 stream with shallow water depths and incident sunlight. To provide a thorough as-  
91 sessment of the potential of LSPIV-based observations in these challenging settings,  
92 in this work, two different locations are selected along the stream. In the first loca-  
93 tion, surface flow velocities are higher and the water surface is mostly shadowy. In  
94 the second location, the water depth is extremely shallow ( $2 - 3$  cm) and the sur-  
95 face is directly illuminated. Remote surface flow observations are performed from a  
96 commercial low cost drone, whereas the portable telescopic system in (Tauro et al.,  
97 2014) is utilized for the fixed configurations. Experiments are conducted both with  
98 and without tracers. Namely, two classes of floating materials, that is, high-visibility  
99 particles and natural debris are deployed in the stream. Further, tests are performed  
100 in the absence of added material and using water reflections as tracers to evaluate  
101 the influence of tracer visibility on measurement accuracy.

102 The rest of the paper is organized as follows. In Section 2, the study site and  
103 instrumentation are described. Further, details are provided on the experimental  
104 campaign, LSPIV analyses, and the quantitative parameters introduced for the as-  
105 sessment. In Section 3, experimental findings from all experimental configurations  
106 are reported for each location. In Section 4, results are discussed and advantages  
107 and limitations of the illustrated methodologies are presented. Finally, Section 5 is  
108 left for concluding considerations and future perspectives.

## 109 2 Methodology

### 110 2.1 Study Site

111 Surface flow observations are executed at a gauging station located in the Rio Cordon  
112 natural catchment, Italy (Tauro et al., 2012a). The Rio Cordon drains a  $7.68 \text{ km}^2$   
113 natural basin located in the Dolomites, Northeastern Italy. This mountainous stream  
114 is a tributary of the Fiorentina stream that in turn flows into the Rio Cordevole. The  
115 catchment drainage network extends for approximately 19 km at an average slope of  
116 47.85%. The Agenzia regionale per la prevenzione e protezione ambientale del Veneto  
117 (A.R.P.A.V.) gauging station is located at 1763 m above sea level and is equipped  
118 with water gauges, a coarse sediment grille, and a diversion pool for water and finer  
119 material.

120 Figure 2.1 presents a map view of the gauging station. Experimental observations  
121 are conducted at two different locations. A set of tests is executed at the artificially  
122 channelled stream reach located in the proximity of the downstream gauge. The  
123 selected stream reach is a rectilinear tract with a concrete rectangular section that is

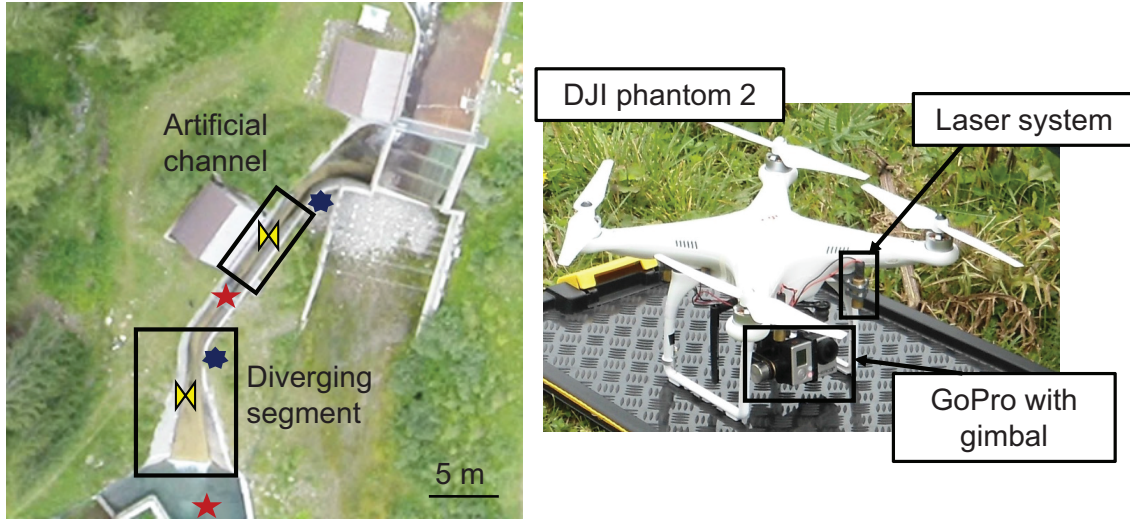


Figure 2.1: Left, aerial view of the study site: solid boxes indicate the locations where surface flow observations are conducted. Red stars indicate the location of the fixed sensing platforms (a boardwalk for the artificial channel and a downstream bridge, not visible in the picture, for the diverging segment), and yellow markers denote the approximate location of the drone. Dark blue markers indicate the locations along the stream banks where tracer deployment occurs. Right, view of the aerial sensing platform. The DJI Phantom 2 features a H3-2D gimbal, a GoPro Hero 3 camera, and a system of four green lasers.

124 1 m wide and was 11 cm deep at the time of the experiments, as per measurements  
125 provided by A.R.P.A.V. Another set of measurements is conducted at the diverging  
126 stream segment that spills water into the downstream pool.

## 127 **2.2 Aerial and fixed sensing platforms**

128 The aerial sensing platform is a DJI Phantom 2 quadrotor (DJI, 2014) mounting a  
129 Zenmuse H3-2D gimbal and a GoPro Hero 3 camera oriented with its axis along the  
130 perpendicular, see Figure 2.1. The gimbal allows for compensating the drone’s vibra-  
131 tions about the pitch and roll axes while minimizing distortions in videos. Remote  
132 photometric calibration is enabled through four green lasers (532 nm in wavelength  
133 and less than 5 mW in power) installed at the four corners of the fuselage and aligned  
134 with the drone’s yaw axis. The drone is flown above the artificially channelled stream  
135 reach and the divergent stream segment to capture fields of view of approximately  
136  $9 \times 5 \text{ m}^2$  and  $30 \times 17 \text{ m}^2$ , respectively.

137 Measurements from the drone are compared to observations obtained from fixed  
138 LSPIV configurations based on the apparatus in (Tauro et al., 2014). This portable  
139 setup features a system of two low power lasers and was initially introduced for remote  
140 surface flow monitoring in riverine settings. In the artificially channelled stream, two  
141 configurations of the apparatus are tested. In the fixed-ortho configuration, the  
142 camera axis is set perpendicular to the water surface to capture a field of view of  
143  $5 \times 3 \text{ m}^2$  (corresponding to the lower region of the field of view captured by the  
144 drone). In the fixed-inclined observations, the apparatus is set along the vertical on  
145 a bridge boardwalk across the downstream section of the field of view captured by  
146 the drone. In this case, the camera axis is inclined with respect to the water surface  
147 to capture a field of view of  $6 \times 6 \text{ m}^2$ .

148 In the diverging stream segment, aerial data are compared to observations from  
149 the fixed-inclined configuration. Specifically, the apparatus is located along the ver-  
150 tical on a downstream bridge above the downstream pool, see Figure 2.1, with the  
151 camera axis inclined with respect to the water surface. The total field of view cap-  
152 tured from this configuration is  $17 \times 30 \text{ m}^2$ .

## 153 **2.3 Experimental data acquisition**

154 Table 1 reports the total number of tests executed in the experimental campaign.  
155 In the artificially channelled stream, surface flow measurements are conducted by  
156 using both high-visibility artificial beads and naturally floating debris, such as leaves.  
157 Artificial tracers are in-house fabricated particles synthesized from biocompatible and

Table 1: Synoptic table of the experimental tests performed in the Rio Cordon. At the artificial channel, tests are executed with three experimental configurations, two types of tracers, and in the absence of floating material. At the diverging segment, drone data are compared to videos from the fixed-inclined configuration. Debris and water reflections are therein used as tracers.

Artificial channel			Diverging segment		
Configuration	Tracer	Replicates	Configuration	Tracer	Replicates
Drone	beads	10	Drone	debris	10
	debris	10		–	6
	–	10			
Fixed - ortho	beads	10			
	–	10			
Fixed - inclined	debris	10	Fixed - inclined	debris	10
	–	10		–	6

buoyant children-friendly dough. Surface flow velocity observations based on the use of high-visibility beads have been demonstrated in (Tauro et al., 2012b,c, 2013a,b). Particle size ranges from 0.5 up to 1 cm, and beads are red, yellow, orange, and green in color. The transit of the artificial particles is captured using the drone and the fixed-ortho configuration. Further, natural debris is used as tracer in experiments from the drone and the fixed-inclined configuration.

Due to the larger field of view and the absence of boardwalks, experiments on the diverging segment of the stream are conducted using only the drone and the fixed-inclined configuration (which enables monitoring over larger areas), and deploying natural debris for flow tracing (which can be massively deployed in the stream). To provide a thorough assessment of the performance of optical observations in outdoor settings, LSPIV analyses are also conducted in the absence of tracers for each configuration (“–” in Table 1).

In both locations, the drone captures Full HD videos at 60 Hz frame rate. In the fixed-ortho and fixed-inclined configurations on the artificial channel, the camera captures  $1280 \times 720$  pixels videos at 60 Hz frame rate. In the fixed-inclined setup on the diverging segment, Full HD images are captured at 30 Hz frame rate.

## 2.4 Field data analysis

Experimental videos are fish-eye undistorted using the GoPro Studio 2.0 Software. Further, videos are unpacked to extract grayscale images. Images recorded in the



178 fixed-inclined configuration are orthorectified using landmarks on the stream banks  
179 as GRPs. Preliminary analyses are performed to identify the optimal settings for  
180 LSPIV processing through the high-speed cross-correlation edPIV software (Gui,  
181 2013). Table 2 reports the adopted LSPIV settings for each experiment illustrated  
182 in Table 1. The average time length of the experiments (Obs. time) is also reported  
in Table 2.

Table 2: Synoptic table of the parameters adopted for LSPIV processing of data captured in the Rio Cordon. Image resolution and frequency are opportunely adjusted for LSPIV analyses. Average observation times (Obs. time) are reported for each set of experiments.

Artificial channel					
	Tracer	Resolution pixels	Frequency Hz	Obs. time s	Int. window pixels
Drone	beads	Full HD	60	0.6	$32 \times 32$
	debris	Full HD	60	1.1	$32 \times 32$
	–	Full HD	60	0.8	$64 \times 64$
Fixed - ortho	beads	$1280 \times 720$	60	0.7	$32 \times 32$
	–	$1280 \times 720$	60	0.8	$64 \times 64$
Fixed - inclined	debris	$720 \times 735$	20	2.9	$32 \times 32$
	–	$720 \times 735$	20	1.5	$32 \times 32$
Diverging segment					
	Tracer	Resolution pixels	Frequency Hz	Obs. time s	Int. window pixels
Drone	debris	Full HD	15	2.6	$32 \times 32$
Drone	–	Full HD	15	2.5	$32 \times 32$
Fixed - inclined	debris	Full HD	30	2.7	$64 \times 64$
	–	Full HD	30	2.7	$64 \times 64$

183  
184 LSPIV processing is conducted on sequences of images depicting the continuous  
185 transit of tracing material in the entire field of view, whereas images displaying the  
186 entrance and exit of tracers from the field of view are not considered. Since the  
187 amount of artificial beads deployed in the stream is much lower than natural debris,  
188 image sequences pertaining to experiments with the beads are on average shorter  
189 than experiments with debris.

## 2.5 Performance evaluation criteria

Surface flow velocity maps are generated by averaging LSPIV velocity estimates in time. In case of experiments with the drone, the imperfect hovering capability of the platform results in non-null velocities at nodes lying outside the stream. To partially mitigate this effect, the average velocity of the nodes lying outside the stream is computed and subtracted by the entire set of velocity values. For each experimental configuration, subareas of the stream consistently captured in each video are identified, and time-averaged profiles of selected cross-sections in the subareas are computed. To assess the effect of the observation time length, velocity maps are generated by considering 30%, 60%, and 100% of the total number of images for each replicate, thus corresponding to 30%, 60%, and 100%, respectively, of the total observation time length.

Benchmark flow velocity for experiments in the artificial channel is obtained using an OTT C2 small current meter. The instrument is set to the time measurement mode, whereby the number of impulses recorded in 10 s are counted and related to flow velocity. The velocity is measured at a cross-section of the stream a few centimeters upstream the subarea selected for comparison. Measurements result in an average velocity of 2.54 m/s at 0.5 m from the right stream bank (that is, in the center of the stream) and at 3 cm underneath the water surface. Such benchmark value is obtained by averaging over three repetitions. Due to impracticalities in using the current meter in the diverging segment of the stream, benchmark velocity is obtained by manually tracking floating objects in images captured from the fixed-inclined configuration. Specifically, average velocities equal to 1.5–1.8 m/s are found for the central portion of the diverging segment.

To investigate the performance of drone-based and fixed LSPIV, several parameters are introduced and estimated for each experiment. Specifically, the parameters aim at assessing: i) effect of tracers' visibility during the experiments; ii) impact of eventual vibrations of the experimental platforms; iii) consistency among experimental replicates; iv) accuracy of velocity estimates; and v) accuracy in reconstructing the cross-sectional surface flow profile. The effect of tracers' visibility is assessed through the index  $Z = N_0/N_{\text{tot}}$ , where  $N_0$  indicates the number of nodes presenting velocity values less or equal to 10% the average velocity in the entire time-averaged map, and  $N_{\text{tot}}$  is the total number of nodes in the map. In the computation, only nodes pertaining to the stream are retained. Low velocity values captured by the parameter  $N_0$  are in general not representative of the actual flow condition; rather, they are typically due to adverse visibility and tracer scarcity.

The stability of the experimental platform is assessed through the index  $D = N_d/N_{\text{tot}}$ , where  $N_d$  refers to the number of nodes presenting negative velocity values,

that is, vectors in the opposite direction of flow. In case of fixed configurations, the index  $D$  is found to be equal to zero for all experimental replicates and, therefore, in the following, only data captured from the drone are presented. Consistency among experimental replicates is computed through the structural similarity index (SSIM) (Wang et al., 2004). This index is largely used in image analysis to quantify differences between images in terms of luminance, contrast, and structure. In this work, the SSIM is computed on the time-averaged velocity maps obtained for each experimental replicate. The index is estimated by setting the exponents to 0, 0, and 1 to capture only the maps' structural differences (Wang et al., 2004).

The accuracy of LSPIV-based velocity estimates is assayed by comparing the maximum velocity value obtained in subareas captured in each experiment. Indeed, as showed in (Tauro et al., 2014), high percentiles are found to be closer to actual velocity values in natural streams. Maximum velocity values are computed from the time-averaged maps. Further, to evaluate the efficiency of the experimental configurations at detecting the cross-sectional surface flow profile, the range of selected cross-sections laying in the subareas is computed. The range is estimated by generating time-averaged surface flow velocity maps and then selecting nodes pertaining to three cross-sections.

The variability of the performance evaluation parameters with respect to different tracers and the observation time lengths is assessed through one-way analysis of variance. Specifically, the variability of the data sets with regards to tracers is assessed by estimating the  $p$ -values on measurements with and without tracers for each configuration. Dependence on the observation time lengths is computed on measurements evaluated for 30%, 60%, and 100% of the image sequences for each experimental configuration. In the following,  $p$  values are only reported when statistically significant. Specifically, weak statistical significance is defined when  $0.01 < p < 0.05$ , and strong statistical significance is set for  $p < 0.01$ .

## 3 Results

### 3.1 Artificial channel

Figure 3.1 reports representative time-averaged velocity maps obtained from the drone (a) and (b), the fixed-ortho (c), and the fixed-inclined (d) configurations. Next to each map, time-averaged profiles are reported for three cross-sections laying in the subarea shared by all configurations, see black rectangle in maps in Figure 3.1. While the maps depict slightly varying fields of view, all of them present similar features, such as the high velocity region enclosed in the shared subarea. To provide

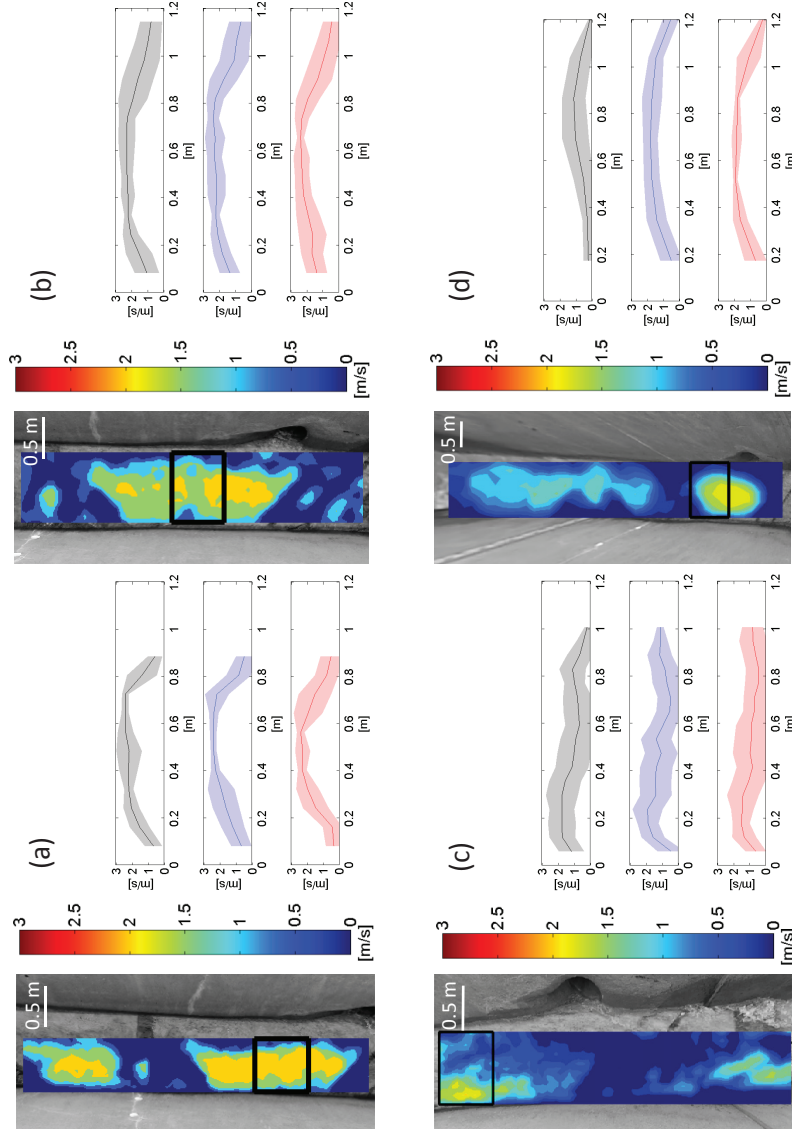


Figure 3.1: Representative surface flow observations for experiments conducted in the artificial channel. (a) Time-averaged surface flow velocity map and cross-sectional profiles generated from drone data and in case of artificial beads as tracers. (b) Time-averaged surface flow velocity map and cross-sectional profiles generated from drone data and in case of natural debris as tracer. (c) Time-averaged surface flow velocity map and cross-sectional profiles generated from the fixed-ortho configuration data and in case of artificial beads as tracers. (d) Time-averaged surface flow velocity map and cross-sectional profiles generated from the fixed-inclined configuration data and in case of natural debris as tracer. Black boxes indicate the subarea shared by all experimental configurations.

a thorough assessment of the tested methodologies, in the following, performance evaluation criteria established in Section 2.5 are computed and presented.

### 3.1.1 Sensitivity to tracers' transit

Figure 3.2 depicts the index  $Z$  for each experimental configuration and type of tracing material. To assess the effect of tracers' visibility on image quality, in Figure 3.2, the index  $Z$  is also reported for experiments performed with and without tracers. Black, blue, and red bars correspond to values computed using 30%, 60% and 100% of the total number of images for each experimental replicate. On average, low velocity nodes tend to be more abundant in case of videos from the drone with artificial beads. Further, in case of experiments with the drone, maps based on 30% of the total number of images in the relative sequence present a higher number of low velocities. However, the variability of  $Z$  with respect to the observation time length is not statistically significant neither for experiments with the drone nor with fixed configurations. Significant variability is found in case of experiments with the drone with respect to tracers. Specifically, the variability in drone experiments with artificial beads, debris, and without tracers is found strongly statistically significant for each observation time length ( $p = 0.0022$ ,  $0.0025$ , and  $0.0023$  for 30%, 60%, and 100% of the image sequences, respectively). Indeed, the number of low velocity nodes in the drone configuration without tracers (see first row in Figure 3.2) is much higher than with tracers. In addition, the variability in experiments with the fixed-ortho configuration with respect to tracers is found strongly statistically significant for each observation time length ( $p = 0.0021$ ,  $0.0073$ , and  $0.0029$  for 30%, 60%, and 100% of the image sequences, respectively). Conversely, variability of data from the fixed-inclined configuration is not found statistically significant with regards to tracers.

### 3.1.2 Impact of platform's stability

Limitations in the station-keeping capability of the aerial platform are illustrated in Figure 3.3, where the index  $D$  is reported for each experimental replicate with the drone. Notably, velocity vectors in the opposite direction of the flow tend to be lower than 20% of the total number of nodes, and are generally smaller upon increasing the observation time. This is due to the fact that the effect of the irregular motion of the drone is averaged in time in case of longer observations. Based on one-way analysis of variance, the variability with respect to the observation time length and tracers is not found statistically significant.

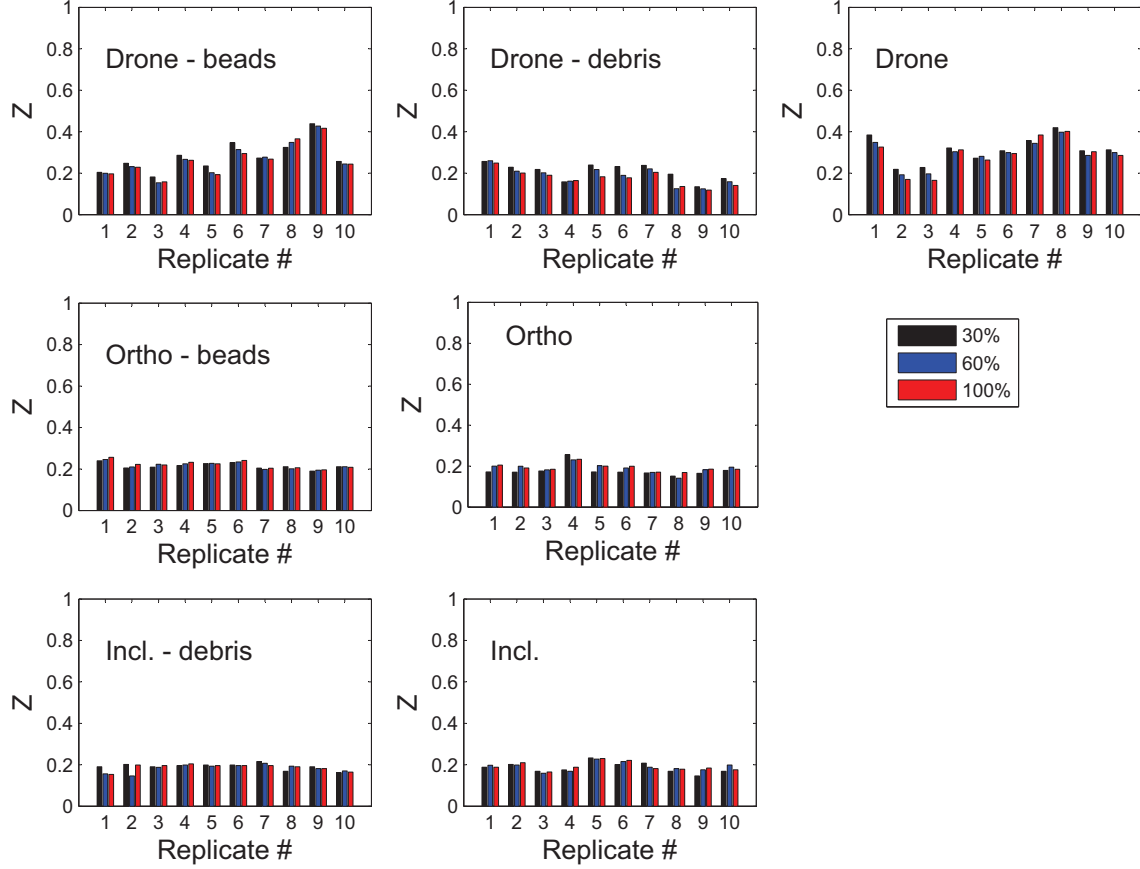


Figure 3.2: Artificial channel:  $Z$  values for each experimental condition. In the top row, drone data (Drone) are reported in case of beads, debris, and without tracers. In the central row, data are illustrated for the fixed-ortho configuration (Ortho) in case of beads and without tracers. In the bottom row, data are reported for the fixed-inclined configuration (Incl.) in case of debris and without tracers. For each replicate,  $Z$  values are computed considering 30% (black bars), 60% (blue bars), and 100% (red bars) of the image sequences.

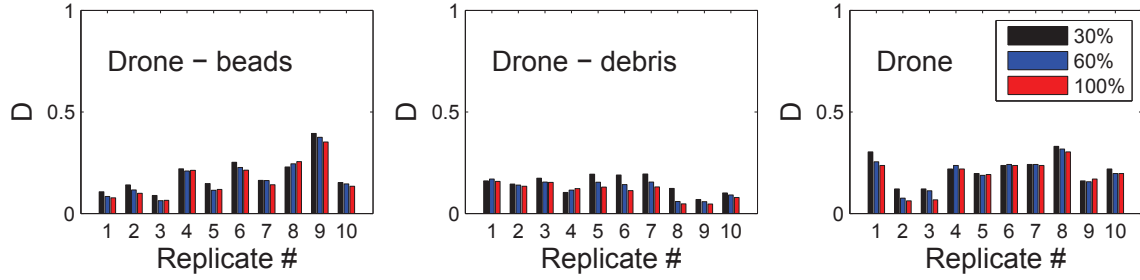


Figure 3.3: Artificial channel:  $D$  values for drone-based experiments. For each replicate,  $D$  values are computed considering 30% (black bars), 60% (blue bars), and 100% (red bars) of the image sequences.

### 3.1.3 Experiment repeatability

The similarity index among time-averaged velocity maps is reported in Figure 3.4, whereby the first velocity map is used as reference. Notably, all configurations result in values of the SSIM greater or equal to zero, thus demonstrating that the structure of the maps does not radically vary among different replicates. However, in case of the fixed-inclined configuration and debris, all values of the SSIM are greater than 0.5, thus indicating that maps are highly consistent among replicates. Interestingly, while the structure of the maps generated from drone data (top row in Figure 3.4) do not sensibly vary with respect to the observation time length, a different behavior is found for fixed configurations. Specifically, the SSIM tends to increase with longer observations in case of fixed LSPIV (central and bottom rows in Figure 3.4). Indeed, strong statistical significance ( $p = 0.0017$ ) is found for the inclined-debris data with respect to the observation time length (see bottom left graph in Figure 3.4). Weak statistical significance ( $p = 0.0195$ ) is also observed in the variability of the inclined configuration data (100% of the image sequence) with respect to tracers.

### 3.1.4 Measurement accuracy

In Figure 3.5, maximum velocity values are reported for subareas indicated in Figure 3.1. For each configuration, the marker indicates the median computed over the experimental replicates. The edges of the box indicate the 25th and 75th percentiles, and the whiskers extend to extreme data points that are not outliers. Interestingly, estimates obtained from drone-beads data tend to be higher than values from alternative experimental settings. Median values from all configurations tend to decrease with longer image sequences (from the top graph to the bottom graph in Figure 3.5).

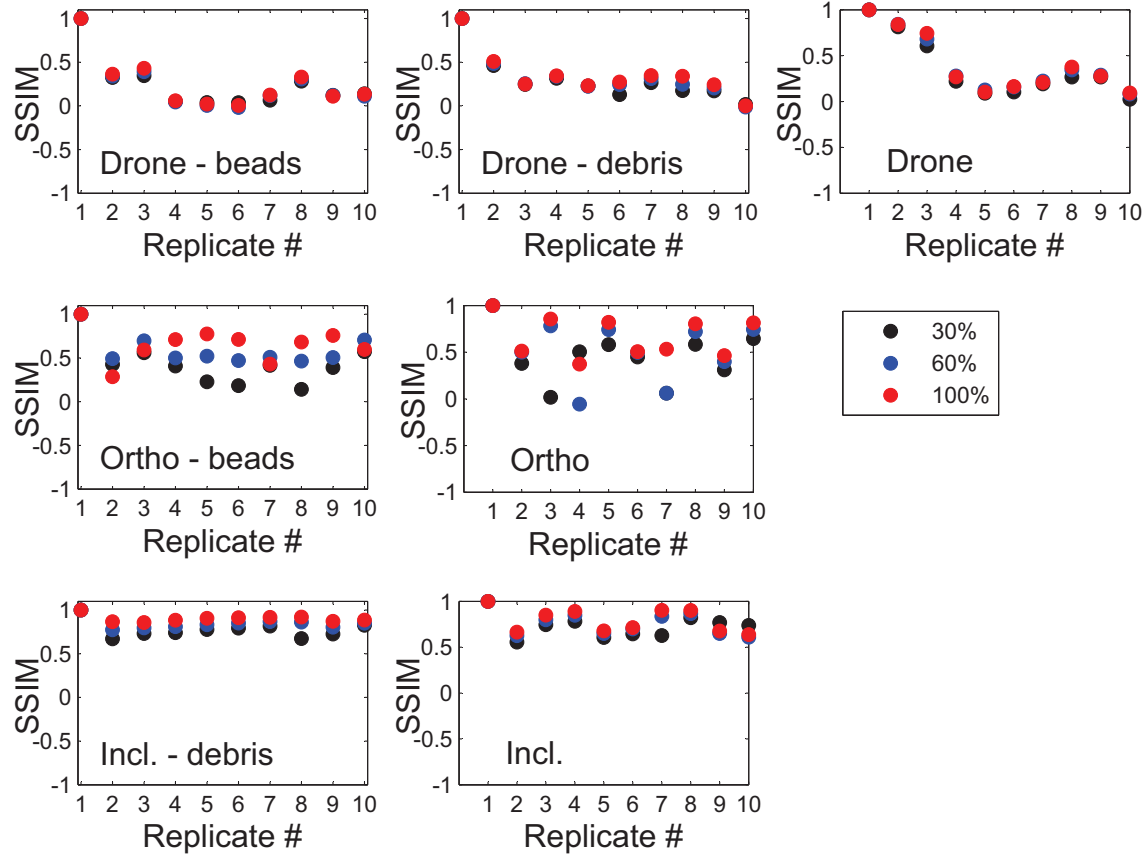


Figure 3.4: Artificial channel: SSIM values for each experimental condition. In the top row, SSIM values are displayed for drone data (Drone) in case of beads, debris, and without tracers. In the central row, SSIM values are reported for the fixed-ortho configuration (Ortho) in case of beads and without tracers. In the bottom row, SSIM values are showed for the fixed-inclined configuration (Incl.) in case of debris and without tracers. For each replicate, SSIM values are computed considering 30% (black markers), 60% (blue markers), and 100% (red markers) of the image sequences.



320 However, only the ortho-beads data show weakly statistically significant variability  
 321 ( $p = 0.0441$ ) with respect to the observation time length. High standard deviation  
 322 is found in the drone-debris data. Such behavior may be attributed to the fact that  
 323 the large quantities of debris deployed in the stream require longer observations (av-  
 324 erage observation time equal to 1.1 s in Table 2) with respect to alternative settings.  
 325 Notably, such behavior is not observed in case of the inclined configuration, whereby  
 326 long observation times do not correspond to high standard deviations in maximum  
 327 velocities.

328 Among all the considered configurations with tracers, median values from inclined-  
 329 debris data are found to be the lowest. Further, medians obtained in the absence  
 330 of tracers (that is, drone, ortho, and incl. in Figure 3.5) tend to be lower than val-  
 331 ues computed on videos with tracers, with the only exception of the drone-debris  
 332 configuration. The standard deviations of the maxima also tend to decrease in the  
 333 absence of added material. Analysis of variance with respect to tracers results in  
 334 generally statistically significant variability. Specifically, drone data variability is  
 335 weakly statistically significant when 30% ( $p = 0.0225$ ) and 60% ( $p = 0.0471$ ) of the  
 336 image sequences are considered. Variability of data from the fixed-ortho configura-  
 337 tion is found strongly statistically significant when 30% ( $p = 5.6 \times 10^{-5}$ ) and 100%  
 338 ( $p = 4.2 \times 10^{-4}$ ) of the image sequences are considered, and weakly statistically  
 339 significant when 60% ( $p = 0.011$ ) of the image sequences are analyzed. In addition,  
 340 the fixed-ortho configuration is the most highly affected by the absence of tracing  
 341 material, with medians for the ortho data equal to 75–81% the corresponding values  
 342 obtained in case of bead deployment (ortho-beads in Figure 3.5). The variability of  
 343 data from the inclined configuration with respect to tracers is consistently strongly  
 344 statistically significant ( $p = 7 \times 10^{-6}$ , 0.0042, and  $1.5 \times 10^{-4}$  when 30%, 60%, and  
 345 100% of the image sequences are analyzed, respectively).

### 346 3.1.5 Cross-sectional surface profile reconstruction

347 Range values of three cross-sectional profiles lying in the shared subarea of the stream  
 348 are reported in Figure 3.6. On average, ranges pertaining to drone data are higher  
 349 than values from the fixed configurations. Specifically, average values of 2.05 m/s and  
 350 1.76 m/s are found for the drone-beads and drone-debris data, respectively, against  
 351 1.56 m/s and 1.65 m/s for the ortho-beads and inclined-debris configurations, respec-  
 352 tively. On the other hand, all configurations with tracers present similar standard  
 353 deviations (minimum equal to 0.24 m/s in case of drone-bead data and 100% of the  
 354 image sequence, and maximum equal to 0.37 m/s in case of drone-debris data and  
 355 100% of the image sequence). Similar to maximum velocity values, in the absence of

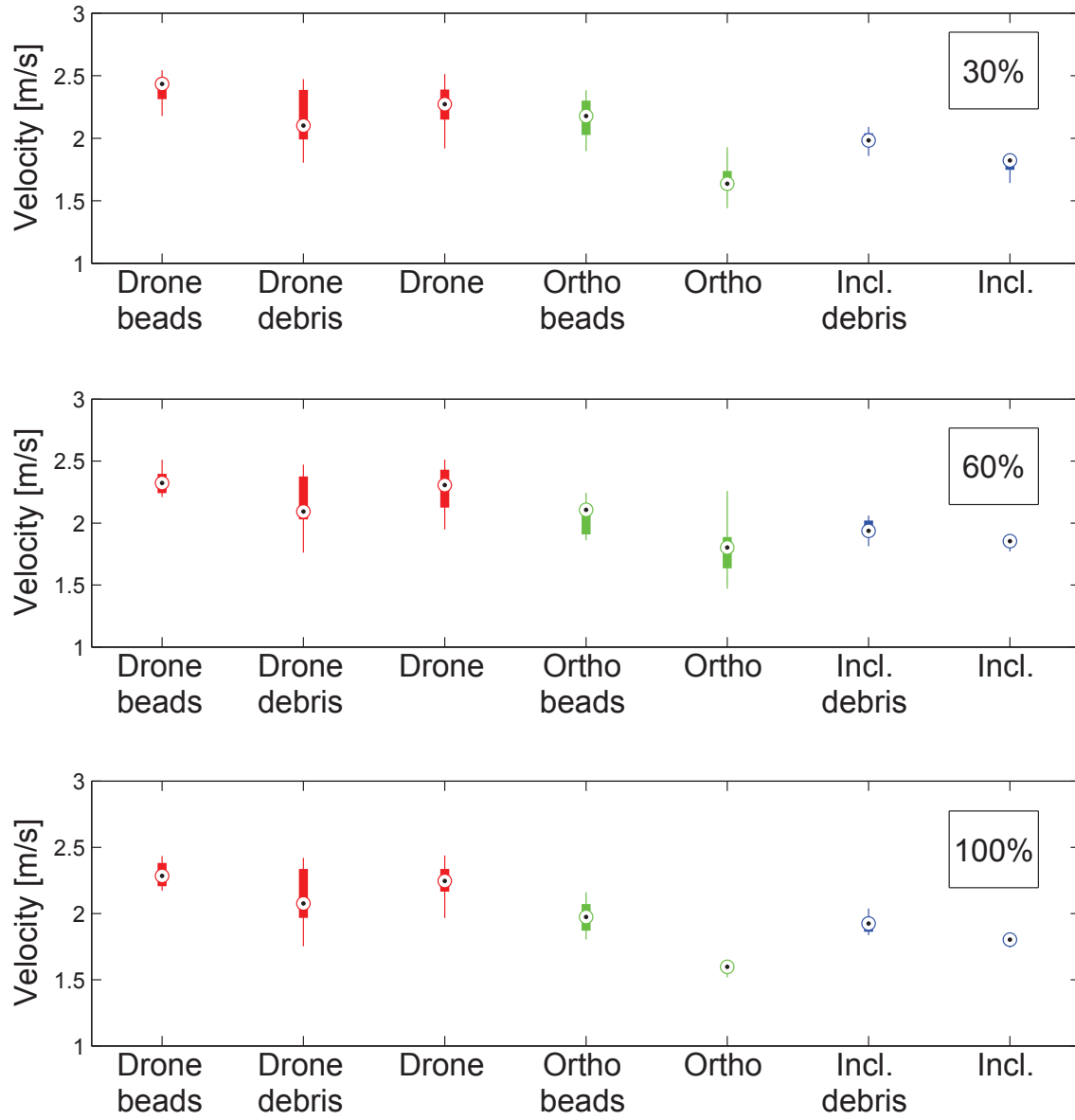


Figure 3.5: Artificial channel: maximum velocity values in the shared subarea for each experimental condition. Markers indicate medians computed over the experimental replicates. The edges of the box indicate the 25th and 75th percentiles, and the whiskers extend to extreme data points that are not outliers. For each replicate, maximum velocity values are computed considering 30% (top graph), 60% (central graph), and 100% (bottom graph) of the image sequences.

tracers, median values tend to be lower, with the only exception of the drone-debris configuration. On the other hand, the standard deviation from data without tracers sensibly increases with respect to experiments with tracers (see, for instance, data for the inclined configuration without tracers in Figure 3.6).

The variability of all data sets with respect to the observation time length is not found statistically significant. However, strong statistical significance is found for drone data with regards to tracers ( $p = 0.0069$ ,  $0.0048$ , and  $0.0015$  when 30%, 60%, and 100% of the image sequences were analyzed). Similarly, strong statistical significance is observed for the fixed configurations with respect to tracers. Specifically,  $p$  values for data from the fixed-ortho configuration are equal to  $9.6 \times 10^{-9}$ ,  $5.3 \times 10^{-9}$ , and  $2.3 \times 10^{-9}$  when 30%, 60%, and 100% of the image sequences are considered, respectively. For the inclined configuration,  $p$  values are equal to  $0.0021$ ,  $2.1 \times 10^{-4}$ , and  $0.002$  when 30%, 60%, and 100% of the image sequences are analyzed, respectively.

## 3.2 Diverging segment

Time-averaged surface flow velocity maps for the diverging segment of the stream are presented in Figure 3.7, where the profiles for three representative cross-sections in the shared subarea are also illustrated. In this location, the water level is very shallow and the appearance of the stream bed is rather bright. In addition, challenges in seeding the large water surface and directly incident sunlight contribute to reduce the visibility of tracers in captured images. As depicted in Figure 3.7, these factors are particularly detrimental for the generation of accurate velocity maps. For instance, in Figure 3.7(a), a large number of low velocity nodes are found, whereas higher values are only exhibited in the shared subarea.

### 3.2.1 Sensitivity to tracers' transit

In the diverging segment, experiments with the drone are performed at a greater height from the ground to capture a more extended field of view, see Section 2.3. The lower quality of images taken from such a height is evident in Figure 3.8, where most of the replicates in the drone configuration (top row) present more than 60% of low velocity nodes out of the total number. Conversely, in replicates from the fixed-inclined configuration (bottom row), low velocity nodes are a meagre percentage. Without tracers, the number of low velocity nodes either remains considerable, see the drone configuration, or slightly increases, see the fixed-inclined configuration. None of the configurations presents statistically significant variability with respect to the observation time length. However, the variability of data from the inclined

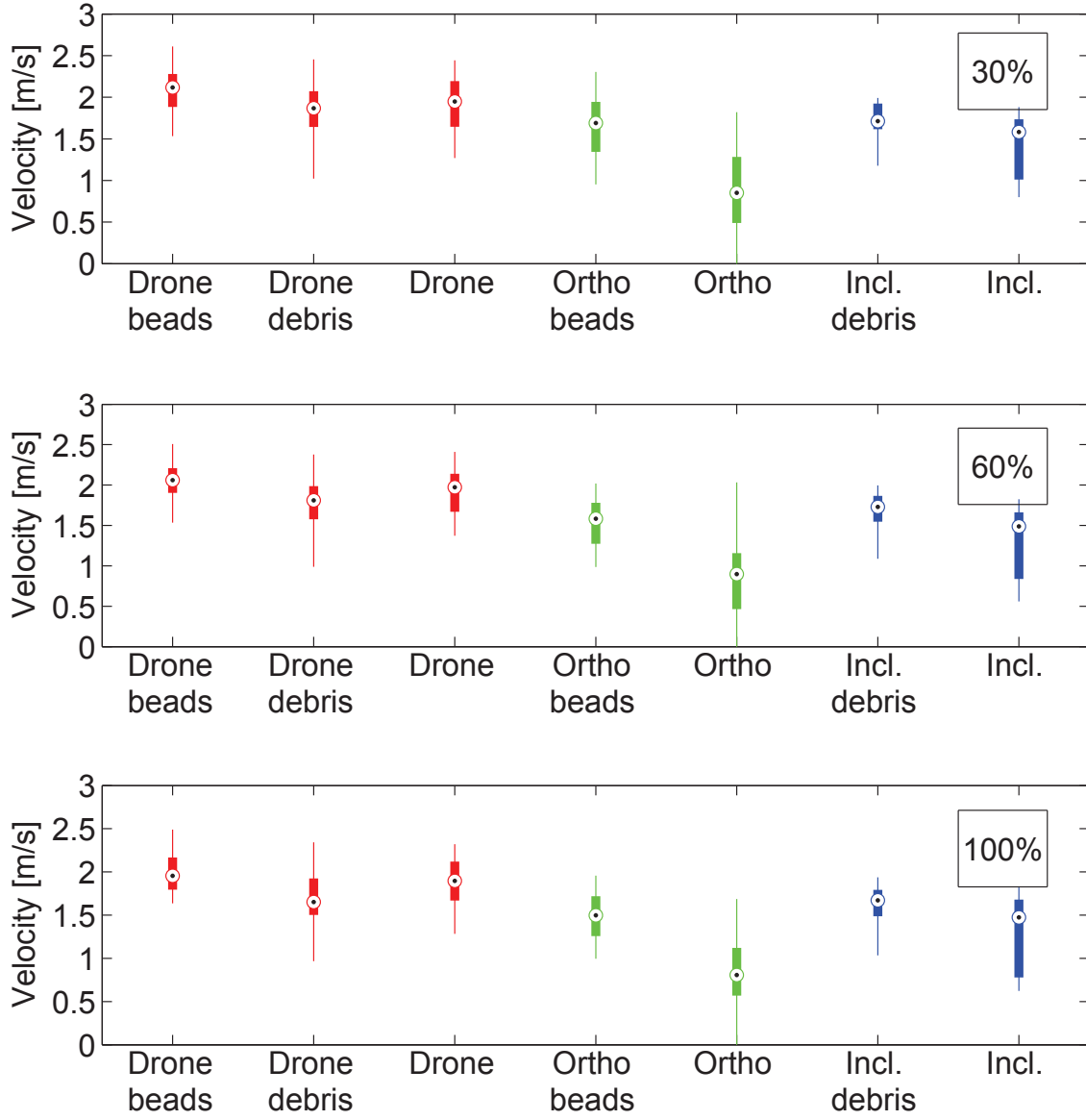


Figure 3.6: Artificial channel: velocity range values for cross-sections in the shared subarea for each experimental condition. Markers indicate medians computed over the experimental replicates. The edges of the box indicate the 25th and 75th percentiles, and the whiskers extend to extreme data points that are not outliers. For each replicate, velocity range values are computed considering 30% (top graph), 60% (central graph), and 100% (bottom graph) of the image sequences.

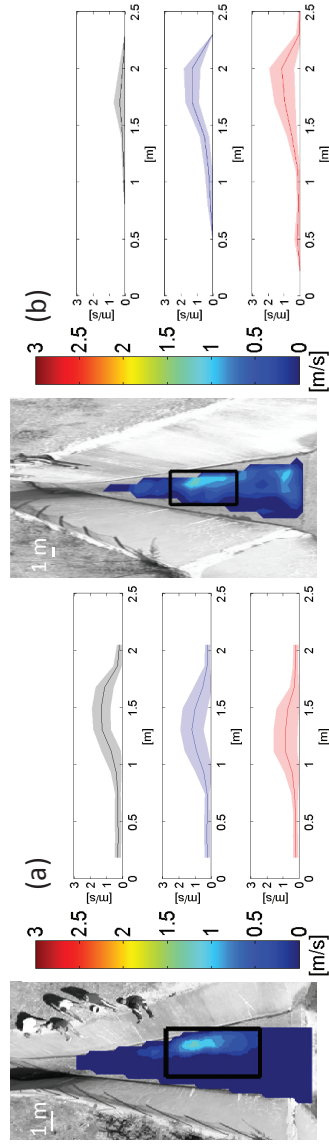


Figure 3.7: Representative surface flow observations for experiments conducted in the diverging segment. (a) Time-averaged surface flow velocity map and cross-sectional profiles generated from drone data in case of natural debris as tracer. (b) Time-averaged surface flow velocity map and cross-sectional profiles generated from data captured through the fixed-inclined configuration in case of natural debris as tracer.

391 configuration with respect to tracers is strongly statistically significant ( $p = 9.6 \times$   
392  $10^{-7}$ ,  $8.3 \times 10^{-6}$ , and  $4.1 \times 10^{-5}$  when 30%, 60%, and 100% of the image sequences were  
393 analyzed, respectively). A behavior similar to observations in the artificial channel  
394 is also found for the index  $D$ , not reported for the diverging segment, whereby the  
395 drone configuration presents percentages of negative nodes ranging from 57% to 66%.  
396

### 397 **3.2.2 Experiment repeatability**

398 Similar to Figure 3.4, in Figure 3.9, time-averaged velocity maps are consistent among  
399 different replicates. In particular, maps from the fixed-inclined configuration (bottom  
400 row) present values of the SSIM proximal to one. In the absence of tracers, drone  
401 data show a dependence on the observation time length, whereas data from the fixed  
402 configuration are mostly identical to one. However, data sets variabilities are not  
403 found statistically significant with respect to the observation time length nor with  
404 regards to tracers.

### 405 **3.2.3 Measurement accuracy**

406 In Figure 3.10, the maximum velocity values estimated in the shared subarea and over  
407 the selected image sequences are reported. Median velocities are slightly higher in  
408 drone-debris data. Further, median values tend to decrease with longer observation  
409 times for both configurations with tracers (drone-debris and inclined-debris). With  
410 regards to standard deviations, similar values are also obtained in both configurations  
411 with tracers. The absence of tracers strongly impacts both sets of data, with lower  
412 values observed in the drone configuration. None of the data sets exhibits statistically  
413 significant variability with respect to the observation time length. However, the  
414 variability with respect to tracers is strongly statistically significant for both the  
415 drone and the fixed configuration ( $p = 7.7 \times 10^{-7}$ ,  $3.4 \times 10^{-6}$ , and  $1.6 \times 10^{-6}$  when  
416 30%, 60%, and 100% of the image sequences are analyzed, respectively, for the drone  
417 data, and  $p = 4.2 \times 10^{-4}$ ,  $1.1 \times 10^{-4}$ , and  $4.7 \times 10^{-5}$  when 30%, 60%, and 100% of  
418 the image sequences are analyzed, respectively, for the fixed inclined data).

### 419 **3.2.4 Cross-sectional surface profile reconstruction**

420 In Figure 3.11, range values for three representative cross-sectional profiles in the  
421 shared subarea are presented. Both configurations present high variability (standard  
422 deviation from a minimum of 0.24 m/s to a maximum of 0.38 m/s for the drone-debris

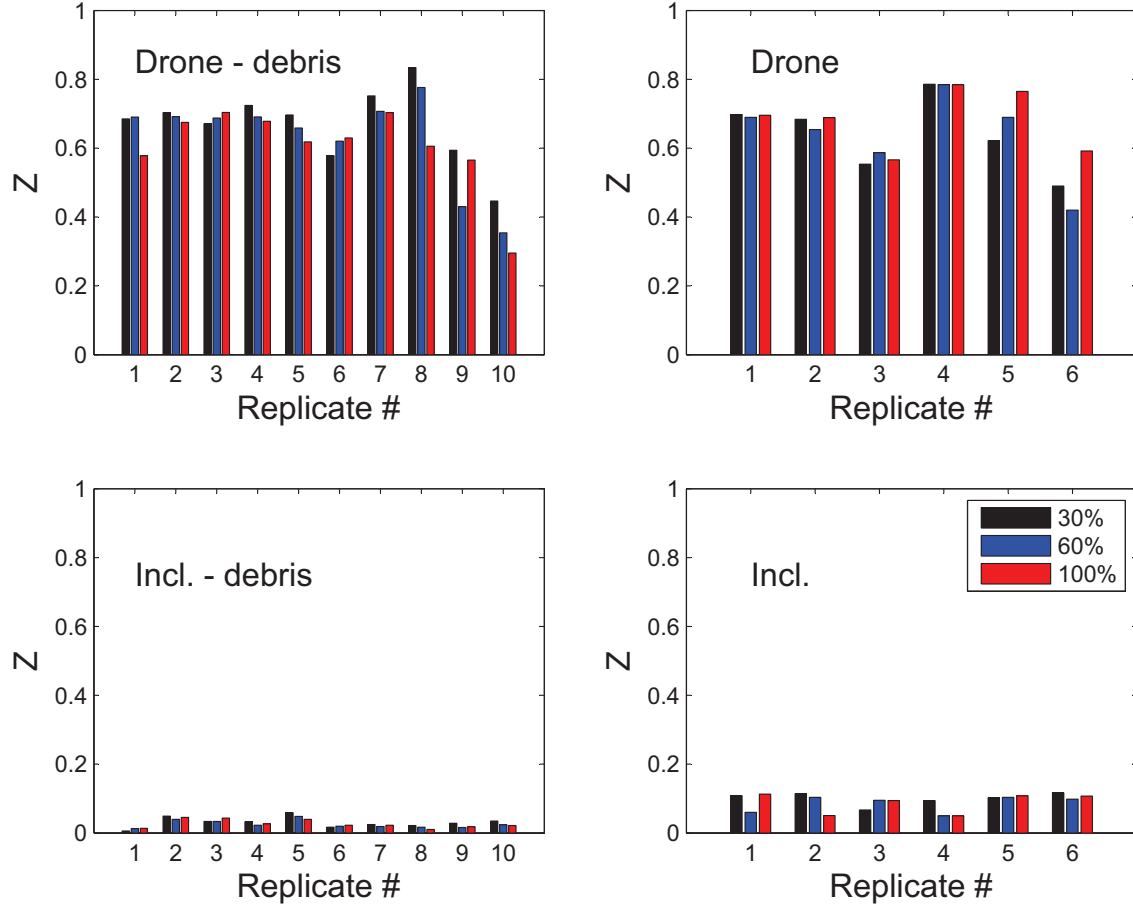


Figure 3.8: Diverging segment:  $Z$  values for each experimental condition. In the top row, drone data (Drone) are reported in case of debris and without tracers. In the bottom row, data are showed for the fixed-inclined configuration (Incl.) in case of debris and without tracers. For each replicate,  $Z$  values are computed considering 30% (black bars), 60% (blue bars), and 100% (red bars) of the image sequences.

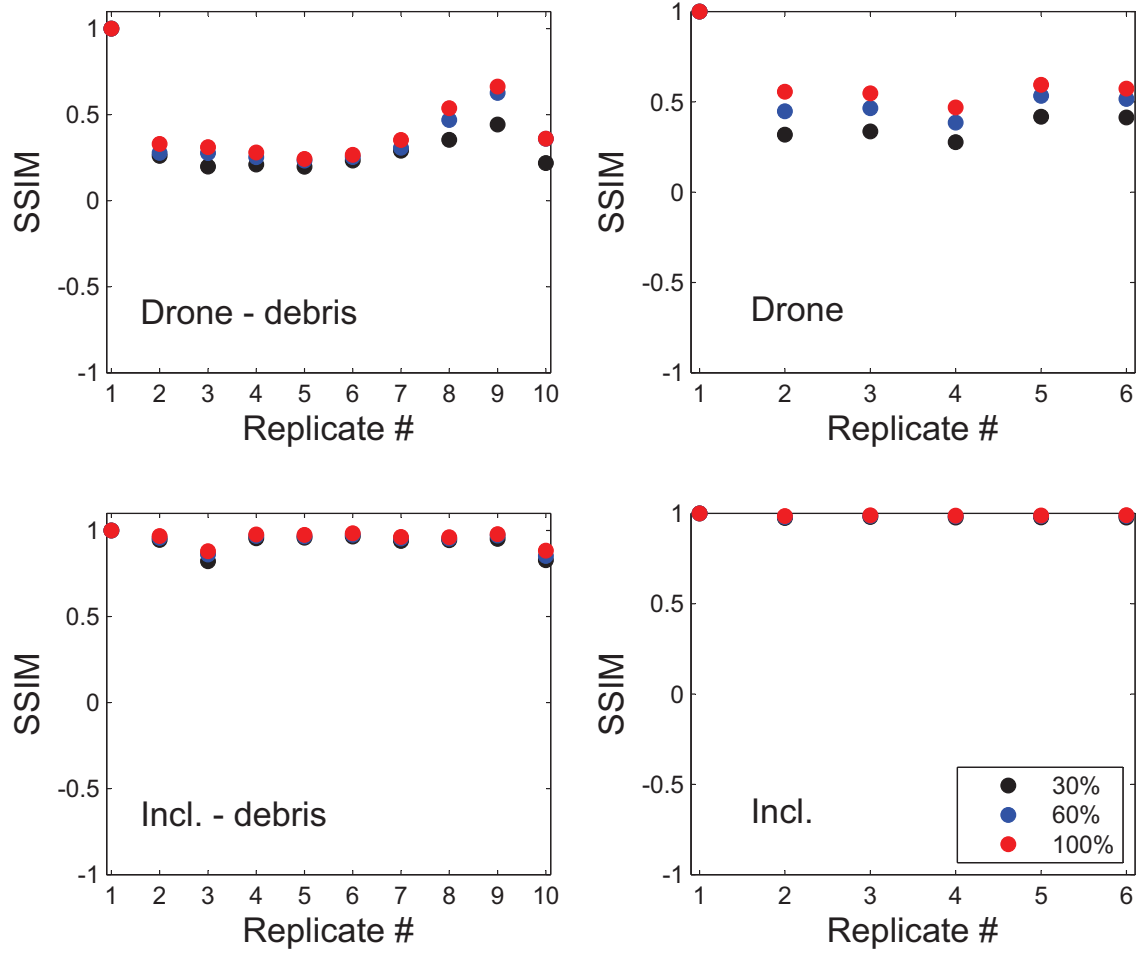


Figure 3.9: Diverging segment: SSIM values for each experimental condition. In the top row, SSIM values are displayed for drone data (Drone) in case of debris and without tracers. In the bottom row, SSIM values are showed for the fixed-inclined configuration (Incl.) in case of debris and without tracers. For each replicate, SSIM values are computed considering 30% (black markers), 60% (blue markers), and 100% (red markers) of the image sequences.



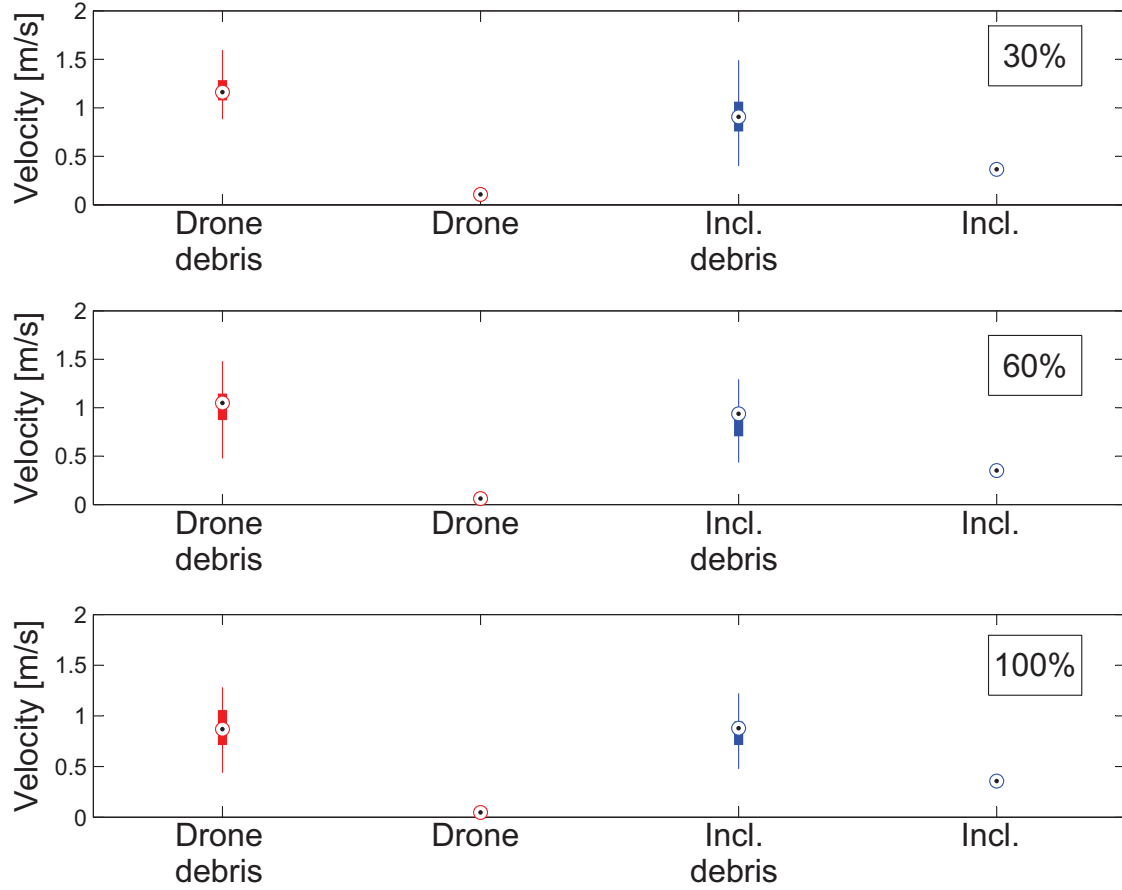


Figure 3.10: Diverging segment: maximum velocity values in the shared subarea for each experimental condition. Markers indicate medians computed over the experimental replicates. The edges of the box indicate the 25th and 75th percentiles, and the whiskers extend to extreme data points that are not outliers. For each replicate, maximum velocity values are computed considering 30% (top graph), 60% (central graph), and 100% (bottom graph) of the image sequences.

data, and from a minimum of 0.35 m/s to a maximum of 0.37 m/s for the inclined-debris data). In the inclined-debris data, the average range is equal to 0.94 m/s, whereas the average range is 0.75 m/s for the drone-debris data. Interestingly, the variability of drone-debris data is strongly statistically significant ( $p = 0.0089$ ) with respect to the observation time length, whereas the variability of inclined-debris data with regards to time is weakly statistically significant ( $p = 0.0225$ ). Similar to maximum velocities, all data are highly affected by the absence of added material (see, for instance, the low values for drone data in Figure 3.11). Specifically, for all observation time lengths, data from both configurations are strongly statistically significant ( $p = 8.2 \times 10^{-11}$ ,  $1.4 \times 10^{-13}$ , and  $1.9 \times 10^{-12}$  when 30%, 60%, and 100% of the image sequences are analyzed, respectively, for the drone data, and  $p = 6.1 \times 10^{-4}$ ,  $3.6 \times 10^{-7}$ , and  $2.0 \times 10^{-8}$  when 30%, 60%, and 100% of the image sequences are analyzed, respectively, for the fixed inclined data).

## 4 Discussion

As illustrated in Section 2, observations are achieved in challenging outdoor settings from a traditional LSPIV installation, a modified orthogonal LSPIV configuration, and an aerial platform. Despite practical challenges, spatially distributed surface velocity measurements are obtained at limited costs in areas that are generally difficult or impossible to access with alternative measurement techniques. Even if proximal, the selected experimental locations present radically different characteristics that impact image quality and, therefore, surface flow velocity measurements. On the one hand, the artificially channelled stream reach features high flow regime and a dark stream bed, and the high concrete banks block reflections due to direct sunlight. On the other hand, the diverging segment of the stream is characterized by very shallow depths and incident sunlight, that severely affects tracer visibility. An overview of the experimental results is presented in Figure 4.1.

Based on Figures 3.2 and 3.8, maps obtained from drone data tend to present larger regions at low velocity. In case of the diverging segment of the stream and the drone configuration, the number of low velocity nodes is very significant. While this may suggest that the drone elevation from the water surface is very high, this result also hints at the challenging experimental conditions and, in particular, at the severe illumination settings that limit tracer visibility. In the same location, in case of the fixed-inclined configuration, low velocities nodes are very few and, according to  $Z$  values, experimental conditions can not be deemed critical. In the absence of tracing material, see Figure 3.2 for the artificial channel, the number of low velocity nodes increases in all configurations but it is much more appreciable

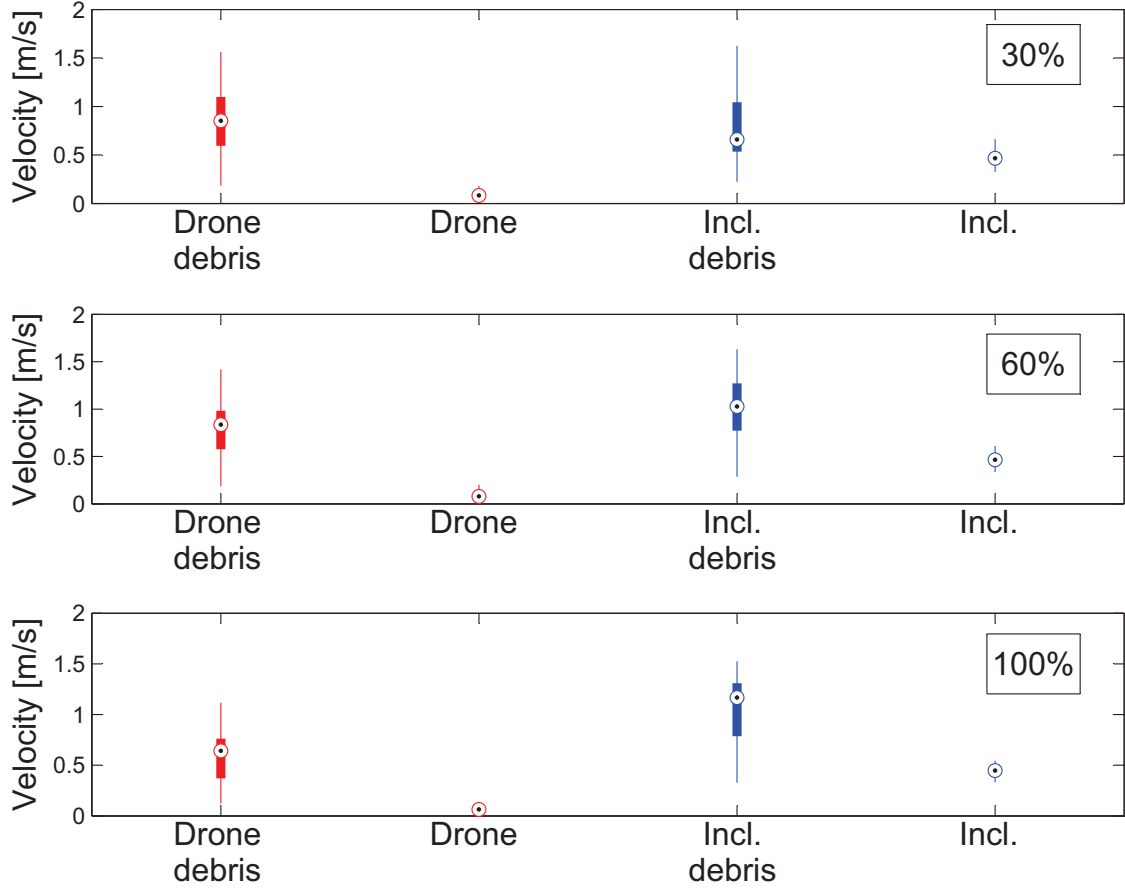


Figure 3.11: Diverging segment: velocity range values for cross-sections in the shared subarea for each experimental condition. Markers indicate medians computed over the experimental replicates. The edges of the box indicate the 25th and 75th percentiles, and the whiskers extend to extreme data points that are not outliers. For each replicate, velocity range values are computed considering 30% (top graph), 60% (central graph), and 100% (bottom graph) of the image sequences.

<b>Artificial Channel</b>	<b>Z</b>	<b>D</b>	<b>SSIM</b>	<b>Max</b>	<b>Range</b>
Drone	- Higher values for beads data - Significance to tracers	- No significance to tracers nor obs. time	- Generally lower values than other configurations - No significance to tracers nor obs. time	- Generally higher values than other configurations - Higher values with bead data - Significance to tracers	- Generally higher values than other configurations - Higher values with bead data - Significance to tracers
Ortho	- Significance to tracers	n.a.	- No significance to tracers nor obs. time	- Significance to tracers and obs. time	- Significance to tracers
Inclined	- No significance to tracers nor obs. time	n.a.	- Generally higher values than other configurations - Significance to obs. time and tracers	- Significance to tracers	- Significance to tracers
<b>Diverging Segment</b>	<b>Z</b>	<b>D</b>	<b>SSIM</b>	<b>Max</b>	<b>Range</b>
Drone	- Generally higher values than other configurations - No significance to tracers nor obs. time	- No significance to tracers nor obs. time	- No significance to tracers nor obs. time	- Generally higher values than other configurations - Significance to tracers	- Significance to tracers and obs. time
Inclined	- Significance to tracers	n.a.	- Generally higher values than other configurations - No significance to tracers nor obs. time	- Significance to tracers	- Generally higher values than other configurations - Significance to tracers and obs. time

Figure 4.1: Synoptic table of the experimental results for experiments in the artificial channel and the diverging segment of the stream. The acronym n.a. stands for not applicable.

in case of the drone. Therefore, a change in the experimental conditions is more clearly captured from the aerial rather than the fixed setups. This suggests that the aerial configuration is more sensitive to the transit of tracers. However, in the diverging segment in Figure 3.8, the variability to tracers of data from the fixed configuration is statistically significant. With regards to the stability of the aerial platform, Figure 3.3 shows that the number of velocity vectors opposing the flow is around 20% of the total nodes. Since this result is not affected by different types of tracers, it could be addressed by either designing more sophisticated techniques for drone-vibration removal in images or improving the onboard GPS.

As presented in Figures 3.4 and 3.9, generated maps are generally repeatable and the SSIM is constant among different replicates. Specifically, the SSIM index should capture the similarity in the structure of the maps (that is, for instance, if high velocity regions are found at consistent locations among different experimental replicates). Given the stationarity of the observed phenomena (experiments are performed on the same day and at few hours difference), this finding demonstrates the repeatability of the measurements. It is commented that in the computation of the SSIM index, the first time-averaged map is used as reference and, therefore, SSIM= 1 for the first experimental replicate. Interestingly, maps from drone data are slightly affected by the observation time length, whereas the similarity increases for longer experiments in case of fixed configurations. In data for the fixed-ortho configuration Figure 3.4, the variability is higher than in the alternative configurations. This behavior can be attributed to the fact that this configuration captures the smallest field of view and, therefore, it is the most highly influenced by small variations in illumination and reflections. On the other hand, in data relative to the fixed-inclined configuration in Figure 3.4, the similarity among different replicates is very high and probably due to the fact that a large field of view is captured at a relatively low resolution. This finding hints that accurate drone-based measurements may be collected through short-time observations. Further, different from alternative configurations, the medium distance of the aerial camera from the the study area enables to capture tracers' transit while guaranteeing experiment repeatability.

Figures 3.5 and 3.10 show that drone-based estimates tend to be higher and, therefore, closer to actual velocities, than estimates from fixed configurations. In case of the artificially channelled stream, measurements from the current meter result in an average velocity of 2.54 m/s in the center of the stream (corresponding to the location of the shared subarea). While lower velocity is expected on the stream surface due to wind effects, all LSPIV estimates are lower than benchmark measurements, thus suggesting that optical measurements can be severely impacted by illumination and tracers' visibility. However, in this work, experiments are per-

497 formed during an entire day and, therefore, in case of varying illumination conditions.  
 498 Hence, it is expected that improvements in seeding the water surface and the con-  
 499 tinuous deployment of tracers may lead to more accurate velocity estimates. With  
 500 regards to the diverging segment, benchmark measurements from manual tracking  
 501 result in average velocities equal to  $1.5 - 1.8$  m/s, approximately 60% higher than  
 502 medians obtained from drone-debris data considering 30% of the image sequences,  
 503 see Figure 3.10. Therefore, in this location, tracer visibility also plays a crucial role  
 504 and it highly affects data from the fixed-inclined configuration. These results show  
 505 that optical measurements still mandate considerable improvement for accurate hy-  
 506 drological measurements; however, the medium-ranged fields of view captured with  
 507 aerial platform offer diffused rather direct illumination, thus leading to flow velocity  
 508 estimates closer to actual values.

509 The effect of the absence of tracers results in different scenarios in the two lo-  
 510 cations. In the artificially channelled stream, see Figure 3.5, maximum velocity  
 511 estimates from the drone without tracers are similar to drone-beads data. On the  
 512 other hand, the fixed-ortho configuration is highly affected by the absence of tracers  
 513 and maximum velocities considerably decrease. With regards to the fixed-inclined  
 514 configuration, maximum velocities are also impacted. In the diverging segment, see  
 515 Figure 3.10, drone-based estimates tend to be slightly higher than measurement from  
 516 the fixed configuration for all the considered observation time lengths. In addition,  
 517 both configurations, and in particular the drone, are highly impacted by the absence  
 518 of tracers, regardless the length of the image sequences.

519 In the artificially channelled location, drone-based ranges are on average higher  
 520 than in case of fixed configurations, see Figure 3.6. The lowest values are obtained  
 521 in case of the fixed-ortho configuration, whereas highest variability is found for the  
 522 drone-debris data. In the absence of tracers, the fixed-ortho configuration is very  
 523 highly affected. In the diverging segment, see Figure 3.11, average range values from  
 524 drone data are lower than average data from the inclined-debris configuration. In  
 525 the absence of tracers, maps from the drone result in ranges proximal to zero, see  
 526 Figure 3.11.

527 The influence of the observation time length also leads to different results for  
 528 the analyzed parameters. In particular, indices  $Z$ ,  $D$ , and the range are not highly  
 529 affected by the time length of the experiment. Conversely, the fixed configurations  
 530 demonstrate a dependence, even if not always statistically significant, on the length  
 531 of the observations in the SSIM index computed in the artificially channelled stream,  
 532 see Figure 3.4. Drone-based SSIM values are instead unaffected by time, with the  
 533 exception of experiments without tracers in Figure 3.9. Maximum velocities com-  
 534 puted for all configurations are affected, though not statistically significantly, by the

observation time length. Specifically, mean values and standard deviations tend to decrease with longer image sequences. This finding suggests that longer observations do not necessarily lead to more accurate surface flow observations, as regions without visible tracers are considered in the time-averaging process.

Finally, the effect of the different type of tracer is evaluated in the artificially channelled location in experiments performed with the drone configuration. The index  $Z$  and the maximum velocities in the subarea result sensitive to different tracers, whereby a smaller number of low velocity nodes and lower maximum velocities are found for debris. This finding suggests that the good visibility of the beads may lead to accurate velocity estimations (high maxima). Finally, while all configurations are impacted by the absence of tracers, more relevant differences are found in drone-based data, whereas the fixed-inclined configuration is the least effected.

Based on the high sensitivity to the transit of tracers and on the fact that maximum velocity estimates are closer to benchmark values, airborne observations are found promising for hydrological surface flow measurements. Notably, we remark that a low-cost ready-to-fly aerial platform and a sport camera are here utilized for surface flow measurements. Future technological advancements are expected to highly improve the platform stability and image quality, thus offering accurate measurements at competitive costs. We also emphasize that airborne observations offer several practical advantages with respect to traditional fixed implementations. Indeed, several acquisition parameters can be adjusted to improve data quality. Such parameters include: the platform's elevation, the size of the field of view with respect to image resolution, and the selection of the measurement area. With regards to the study area, we expect airborne observations to be beneficial for diverse compartments of hydrological catchments, spanning from ephemeral rills to floodplain environments, where traditional ground-measurement systems are impractical. However, the slope of the water surface should be accounted for in case of observations over rather extended areas.

## 5 Conclusions

In this work, an assessment of drone-based LSPIV is presented by comparing experiments executed with a commercial drone to measurements with fixed LSPIV configurations and independent benchmark surface flow velocity measurements. Experiments are conducted at two different locations on a mountainous stream in the Italian Alps using high-visibility beads and debris as tracers. To estimate the performance of the experimental configurations, LSPIV analyses are also performed on videos of the water surface recorded without any added tracing material. The tested

locations present radically different settings, whereby shadowy surfaces and high flow rates are observed in the artificially channelled stream reach and direct sunlight and lower velocities are found in the diverging stream reach.

Based on experimental findings, drone-based data lead to maps comparable to LSPIV traditional configurations. However, experiments executed with the drone result in maximum velocities closer to benchmark values as compared to the alternative LSPIV configurations. Drone-based data are sensitive to the presence of tracing material and the different type of tracer. On the other hand, traditional fixed LSPIV implementations (fixed-inclined) are not highly influenced by the observation time length and the absence of tracers. The modified fixed configuration (fixed-ortho) captures smaller fields of view and, therefore, presents higher variability with regards to varying experimental conditions.

Experimental findings support the use of drones for hydrological surface flow measurements. Nonetheless, the following factors should be considered to obtain accurate results: i) height of the vehicle with respect to the water surface: larger heights may be detrimental for measurement accuracy due to decreased visibility; ii) illumination conditions: direct sunlight may decrease the visibility of tracing material; iii) presence of tracers: the effect of homogeneously distributed floaters highly improves the generation of velocity maps. An important issue that should be addressed in the future entails the stability of the drone. Advanced image analysis and/or integration of high-precision GPS in the system should be explored to mitigate limitations in the drone's hovering capability, that may result in velocity vectors in the opposite direction of the flow.

Traditional fixed-inclined LSPIV implementations also present several issues. While not sensibly affected by the time length of the observation, measurements are consistently underestimated with respect to drone-based data and benchmark values. In addition, the low sensitivity of the methodology to the type or absence of tracers in the traditional fixed-inclined configurations raises several questions on the validity of the technique in challenging settings. On the one hand, selecting low image resolutions with respect to the actual fields of view may help mitigating the scarcity of tracers. On the other hand, the high distance of the apparatus from the water surface also results in lower velocity values and less accurate maps.

Besides ascertaining the potential of aerial platforms for noninvasive surface flow measurements, experimental findings point out several inherent limitations in LSPIV practice. Specifically, the sensitivity of the approach to the quantity and spatial distribution of tracing material severely constrains the applicability of the methodology in natural environments. According to results presented in this work, all configurations suffer from tracer-related factors, especially in case of the adversely illuminated



609 water surface in the diverging segment of the stream. Toward future enhancements  
610 of remote surface flow observations, alternative algorithms, such as particle track-  
611 ing velocimetry, should be taken into account to determine the flow velocity field in  
612 natural water bodies.

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