

1 **Clean Version**

2

3 **OPTIMIZATION OF TORREFACTION CONDITIONS OF COFFEE INDUSTRY RESIDUES USING**
4 **DESIRABILITY FUNCTION APPROACH**

5

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8

9 **Abstract**

10 The aim of the present study is to analyze the influence of independent process variables such as
11 temperature, residence time, and heating rate on the torrefaction process of coffee chaff (CC) and spent
12 coffee grounds (SCGs). Response surface methodology and a three-factor and three-level Box-Behnken
13 design were used in order to evaluate the effects of the process variables on the weight loss (W_L) and the
14 Higher Heating Value (HHV) of the torrefied materials. Results showed that the effects of the three factors on
15 both responses were sequenced as follows: temperature > residence time > heating rate. Data obtained
16 from the experiments were analyzed by analysis of variance (ANOVA) and fitted to second-order polynomial
17 models by using multiple regression analysis. Predictive models were determined, able to obtain satisfactory
18 fittings of the experimental data, with coefficient of determination (R^2) values higher than 0.95.
19 An optimization study using Derringer's desired function methodology was also carried out and the optimal
20 torrefaction conditions were found: temperature 271.7°C, residence time 20 min, heating rate 5°C/min for CC
21 and 256.0°C, 20 min, 25°C/min for SCGs. The experimental values closely agree with the corresponding
22 predicted values.

23

24 **Keywords:** Torrefaction, Coffee residue, Response surface methodology; Desirability function; Optimization

25

26 **1. Introduction**

27 Torrefaction is a thermal pretreatment process operating at low temperature (200-300°C), under atmospheric
28 conditions, in the absence of oxygen. It is interesting for upgrading ligno-cellulosic biomass to a higher
29 quality fuel, and for its following conversion into heat or other energy carriers, such as electricity and biofuels
30 (Poudel et al., 2015). During torrefaction, the bound and unbound moisture as well as high volatile fraction of
31 organic components, particularly hemicellulose and some lignin, are released from biomass. They form a
32 solid product mainly composed of cellulose and lignin (Medic et al., 2012), with lower H/C and O/C ratios and
33 higher carbon content than raw material (Lee et al., 2012). However, the thermal decomposition behaviour of
34 each kind of biomass can greatly vary with of the polymer structure and the ash content, that may catalyze
35 some reactions (Lee et al., 2012).

36 The kinetic mechanism of the torrefaction is also influenced by the operating parameters, such as the
37 reaction temperature, the residence time, and the heating rate (Mundike et al, 2016). Many researchers
38 showed that the torrefaction temperature is the determining factor for obtaining the most optimized yield and
39 quality of the final solid product (Phanphanich and Mani, 2011; Chen and Kuo, 2011; Medic et al., 2012). In
40 general, the higher the torrefaction temperature, the more oxygenated compounds are converted into
41 volatiles, obtaining a char-like solid product characterized by higher energy density. The effect of the

1 residence time on the char yield and Higher Heating Value (HHV) is more difficult to interpret. Mundike et al.
2 (2016) for *Lantana camara* plant, showed that increasing residence time from 25 to 80 min at 280 °C, char
3 yield decreases from 65.97% to 52.42% and HHV increases from 22.37 MJ/kg to 24.95 MJ/kg. Chiou et al.
4 (2015) investigated several pomaces and nut shells, and found that mass yields decrease with longer
5 residence time along with the HHV values; in particular for apple pomace, the HHV value of char decreases
6 from 26.1 MJ/kg to 23.0 MJ/kg by increasing residence time from 20 min to 60 min at 260°C. As regards the
7 influence of the heating rate, only one study analyzes its effect on char yield and HHV (Mundike et al., 2016),
8 highlighting a minimal influence of this operating parameter on the torrefaction process.

9 Data in the Literature show that operating parameters should not be analyzed individually and that it is
10 necessary to employ statistical methods taking into account the interactions between parameters. One of the
11 most widespread methodologies to test process parameters and their interactive effects is the Response
12 Surface Methodology (RSM) (Myers et al., 2009). This multivariate statistic method consists of designing a
13 mathematical model that can exactly describe the overall process, in order to achieve best system
14 performance (Maran and Manikandan, 2012; Cotana et al., 2015). However, if the process requires the
15 optimization of several responses, the independent evaluation of each response cannot be the right way to
16 find the best solution for all responses concurrently because improving one response can worsen the other
17 one (Costa et al., 2011). For these cases, desirability function can be employed to solve this conflict, finding
18 an optimal experimental condition to successfully fulfill the optimization of all responses (Viacava et al.,
19 2015).

20 Although in the Literature there are several studies that involved torrefaction of biomass from different raw
21 materials (e.g. oil palm waste (Aziz et al., 2012), wheat straw (Shang et al., 2012)), there had been only one
22 work (Chen et al., 2012) that focused on the torrefaction of coffee residues, evaluating the influence of the
23 torrefaction conditions on its properties and structures, but not defining the optimal set of the operating
24 parameters.

25 Coffee is the second largest traded product in the world and a huge quantity and variety of residues is
26 generated during processing from fruit to cup (Murthy and Madhava Naidu, 2012). The International Coffee
27 Organization (ICO, 2016) estimated that about 9 million tons of coffee bean was consumed in 2016, the
28 majority of which in the EU, USA, Brazil, and Japan [ICO]. Coffee by-products are obtained from coffee
29 production (e.g. husk, pulp, parchment, mucilage), roasting industries (e.g. coffee silverskin) and also during
30 soluble coffee preparation (spent coffee grounds) (Cruz et al., 2014). Two interesting coffee residues for the
31 char production are the parchment skin, often referred to a coffee chaff (CC), that is a thin layer of endocarp,
32 yellowish in colour, inside the coffee beans, and the spent coffee grounds (SCGs), which are mainly
33 obtained from large facilities that process coffee bean to produce soluble coffee. CC represents about 4.2 %
34 (w/w) of coffee beans while, after brewing, 650 kg of SCGs are left per 1 ton of coffee green bean
35 (Ballesteros et al., 2014). Most of these residues have still no special use, being mostly discharged into the
36 environment (Santos et al., 2016). The employment of coffee wastes in value-added applications could give
37 therefore new life to these materials. To date, several applications have been tested for coffee residues,
38 mainly as biofuels, composts, animal feed, biosorbents and enzymes (Martinez-Saez et al., 2017). However
39 Oliveira and Franca (2015) reported that there is still a need for significant research to make the energy
40 recovery of coffee residues a technically and economically viable option. Since these residues are obtained

1 at their processing facilities, the torrefaction pre-treatment can be carried out on-site, decreasing the
2 transportation costs and improving the economic feasibility of the chain.
3 At the best of our knowledge, there are no papers using the desirability function approach to optimize the
4 operating parameters of the torrefaction process. Thus, the aim of this study is to perform torrefaction for CC
5 and SCG in a thermogravimetric analyzer, in order to find the optimization conditions based on minimizing
6 the weight loss and maximizing the calorific gain. RSM was employed to examine the effects of torrefaction
7 temperature, residence time, and heating rate on mass and energy yields of the solid products, investigating
8 the chemical and physical properties of the torrefied biomass.

10 **2. Materials and methods**

11 *2.1 Feedstock preparation*

12 SCGs used in this study were supplied by a cafeteria in the province of Perugia (Italy) that uses a mixture of
13 Arabica (*Coffea arabica*) and Robusta (*Coffea canephora*) coffee seeds. CC was provided by a coffee
14 company located in Pavia, Italy. Each byproduct was dried in an oven at 105°C for 24 h until its water
15 content was reduced to the mass fraction of about 5%. Both samples were ground using an ultra-centrifugal
16 mill (mod. ZM200, Retsch) and sieved in order to obtain a particle size lower than 500 µm. The dried
17 samples were then stored at room temperature in air-tight containers until use.

19 *2.2 Torrefaction process*

20 A thermogravimetric analyzer (TGA-701, LECO Co., USA) was employed to carry out the torrefaction tests.
21 In each test, 0.3 g of raw material was placed in a ceramic crucible which was placed into the TGA. Nitrogen,
22 at a flow rate of 3.5 L/min, was used as method process gas. The torrefaction test began at the temperature
23 of 30°C and then, by a specified heating rate, the samples were heated to the required torrefaction
24 temperature; the materials were held at a specific residence time, depending on the experimental conditions
25 defined in the paragraph 2.5. The torrefied samples were extracted from the TGA when the temperature
26 inside the furnace was lower than 100°C, in order to avoid any oxidation of the char.

27 The weight loss of the torrefied biomass was calculated using the following equation:

$$29 \quad W_L = \left[\frac{M_0 - M_T}{M_0} \right] * 100 \quad (1)$$

31 where W_L is the weight loss (%), M_0 is the initial mass of biomass before torrefaction and M_T is the residue
32 mass after torrefaction.

36 *2.3 Elemental analysis and energy value of biomass*

37 Biomass properties were analyzed before and after torrefaction. In particular, raw materials were subjected
38 to proximate, ultimate, and structural compositional analysis while torrefied samples were analyzed in terms
39 of ultimate composition. The proximate analysis (moisture, ash, volatile matter, and fixed carbon content)
40 was carried out in compliance with UNI EN 14774-2, UNI EN 14775, and UNI EN 15148 standard methods

1 by using a thermogravimetric analyzer (TGA-701, LECO Co., USA). Ultimate analysis was performed by
2 using a LECO Truspec CHN analyser, in compliance with UNI EN 15104 standard method.

3 The fiber compositional analysis (cellulose, hemicellulose, lignin) was carried out according to NREL
4 laboratory analytical procedures (Sluiter et al., 2008), following the method adopted in a previous study
5 (Buratti et al., 2015).

6 HHV of the samples was calculated by applying the model developed by Friedl et al. (2005), from their C, H,
7 and N contents. In particular HHV was attained using the following equation:

$$8 \quad \text{HHV (kJ/kg)} = 3.55C^2 - 232C - 2230H + 51.2C * H + 131N + 20,600 \quad (2)$$

10 where C, H, and N are the weight percentage obtained from the ultimate analysis.
11 All analytical procedures were performed in triplicate and a mean value was reported.

12

13

14 *2.4 Thermogravimetric analysis*

15 Thermal stability of the raw materials was evaluated by using a thermogravimetric analyzer (TGA-701, LECO
16 Co., USA). Samples of about 0.2 g were heated from 30°C to 900°C under a nitrogen atmosphere, at a flow
17 rate of 3.5 L/min and a constant heating rate of 10 °C/min.

18

19 *2.5 Experimental design*

20 A three-level, 3-factor Box–Behnken statistical screening design (BBD) was employed to determine the main
21 effects, interaction effects, and quadratic effects of the torrefaction operating conditions (temperature,
22 residence time, and heating rate) on the weight loss and HHV of biomass. BBD is an independent, quadratic
23 design with no embedded factorial or fractional factorial points, where the variable combinations are at the
24 midpoints of the edges of the variable space and at the center.

25 An experimental design with 15 experimental runs and three center points for the estimation of the pure
26 error, replicated three times (three blocks) resulting in a total of 45 experiments, was used to optimize the
27 chosen key variables.

28 Each independent factor used in this design was coded at three levels between +1, 0 and -1, corresponding
29 to the minimum level, medium level, and maximum level. The low, medium, and high levels of each process
30 factor were restricted to the region over which existing published Literature reported desirable values for
31 other kind of biomass (Chen et al., 2015) and were also selected on the basis of the results, as obtained
32 from preliminary experiments. The factors and their coded values are shown in Table 1.

33

34 **[Table 1]**

35

36 A non-linear regression method was used to fit the second order polynomial to the experimental data and to
37 identify the relevant model terms. The general form of the predictive polynomial quadratic equation is given
38 as:

39

$$40 \quad Y = \beta_0 + \sum_{j=1}^k \beta_j x_j + \sum_{j=1}^k \beta_{jj} x_j^2 + \sum_{i < j} \beta_{ij} x_i x_j \quad (3)$$

41

1 where Y is the response (weight loss and HHV of torrefied biomass); β_0 is the intercept
2 coefficient; β_j , β_{jj} and β_{ij} are interaction coefficients of linear, quadratic, and the second-order terms; k is the
3 number of independent parameters ($k = 3$ in this study); x_j are the independent variables (temperature,
4 residence time, heating rate).

6 *2.6 Statistical analysis*

7 Analysis of variance (ANOVA) and regression analysis were performed with Minitab 17.1.0 software, in order
8 to evaluate the statistical significance of the full quadratic polynomial model, with a confidence level of 95%
9 ($P = 0.05$). Experimental data was analyzed with several descriptive statistical analysis, such
10 as p value, F value, degrees of freedom (DF), determination coefficient (R^2), adjusted determination of
11 coefficient (R_{adj}^2), and predicted determination of coefficient (R_{pred}^2), in order to evaluate the statistical
12 significance of the developed model. Then the model was employed for the construction of three dimensional
13 response surface plots and for analyzing the interactive effect of each variable.

15 *2.7 Multi-response optimization*

16 Both responses (weight loss and HHV of the torrefied biomass) were concurrently optimized by multi-
17 response analysis (Derringer and Suich, 1980) by using Derringer's desired function methodology. The
18 approach of desirability function is first transform each response into a dimensionless individual
19 desirability function (d_i), ranging from 0 to 1 (lowest to highest desirability). Then, the overall desirability
20 function (D) is calculated by taking the geometric average of all individual desirability values (Eq. 4), as:

$$22 \quad D = [d_1^{v_1} * d_2^{v_2} * \dots * d_n^{v_n}]^{1/n}, \quad 0 \leq v_i \leq 1 \quad (i = 1, 2, \dots, n), \quad \sum_{i=1}^n v_i = 1 \quad (4)$$

24 where d_i is the individual desirability of the response Y_i ($i = 1, 2, 3, \dots, n$), n is the number of responses
25 and v_i represents the importance of each response.

26 In particular, if the D value is equal to 1, all responses achieve the target, while D equals 0 when any one
27 response cannot reach the requirement.

28 The desired response of weight loss was the minimum of the target goal, whereas the desired HHV was the
29 maximum. The same importance was assumed for each response during the optimization analysis. The
30 software Minitab 17.1.0 was employed for the analysis of the results.

32 *2.8. Validation of the model*

33 Experiments at optimum conditions were carried out with three replications, in order to validate the optimized
34 models, by comparing the experimental data with the predicted values.

36 **3. Results and discussion**

37 *3.1 Characterization of raw and torrefied biomass*

38 The basic properties of CC and SCGs are shown in Table 2. SCGs are characterized by the lowest ash,
39 hemicellulose, and lignin contents, whereas they have the highest hemicellulose content

1 (33.4%). The higher volatile matter content of SCGs could be attributed to its higher content of holocellulose
2 (sum of hemicellulose and cellulose). The lignocellulosic composition of both biomasses is in agreement with
3 the Literature (Zarrinbakhsh et al., 2016; Ballesteros et al., 2014).

4 5 **[Table 2]**

6
7 The TGA curves of CC and SCGs show as their thermal degradation follows the typical trend for
8 lignocellulosic biomass when exposed to heating until 900°C. The initial decrease in the TG curve is due to
9 the moisture release, after which thermal degradation occurs in two steps. The main mass loss is observed
10 during the second stage, at about 300°C for both samples. At this stage, the depolymerization of cellulose
11 and hemicellulose and the decomposition of some oils present in the sample occurs (Chiou et al., 2015).
12 Then both the curves are characterized by a continuous slight devolatilization zone, where lignin
13 decomposition and char formation occur. Comparing the TGA curves of CC and SCGs, it can be noticed that
14 the devolatilization step of CC occurs earlier. This behaviour could probably be due to the higher content of
15 lignin of CC, which decomposition happens in a wide range of temperatures, between 160°C and 900°C
16 (Yang et al., 2006).

17 18 **[Figure 1]**

19
20 The average values of elemental composition of raw material and of the torrefied solid products are shown in
21 Table 3, where it can be noticed that the weight percentage of C increases with increasing the torrefaction
22 temperature and residence time, while heating rate has less influence, especially at the highest
23 temperatures. At the same time the oxygen and hydrogen contents decrease considerably; this behaviour
24 can be explained with the removal of volatile components, containing these atoms, during the torrefaction
25 process. The elemental composition profiles are in agreement with expected changes in the biomass
26 composition after torrefaction (Bach et al., 2016).

27 28 **[Table 3]**

29
30 The changes in the elemental composition of raw and torrefied biomass are also given in the Van Krevelen
31 diagram (Fig. 2), which is a plot of atomic H/C ratio versus atomic O/C ratio. Each biomass shows a linear
32 relationship in which it is clear from the slope of the regression that the torrefaction has more influence on
33 hydrogen than on oxygen. Furthermore, the increase in carbon content improves the combustion properties
34 because the low values of H/C and O/C ratios decrease thermodynamic losses and increase the calorific
35 value (Chen et al., 2014).

36 37 **[Figure 2]**

38 *3.2 Box–Behnken design and analysis*

39 A total number of 45 runs, including nine centre points (used to determine the experimental error) was
40 carried out, in order to evaluate the optimum conditions and to study the influence of the process variables
41 on the torrefaction process. Tables 4 and 5 show the experimental conditions with their respective

1 experimental responses, together with the predicted values from the BBD model. The trials were performed
2 in random order, for minimizing the effects of unexpected variability on the observed responses.

3

4 **[Table 4]**

5

6 **[Table 5]**

7

8 By applying multiple regression analysis on the experimental data, the relationship between the response
9 variables and the input variables was expressed by second order polynomial equations with interaction
10 terms. The final models generated in coded factors are shown below:

11

12

13 $W_L \text{ (CC)} = 28.808 + 14.753X_1 + 4.265X_2 + 0.866X_3 - 1.141X_1^2 - 0.623X_2^2 + 0.908X_3^2 - 0.302X_1X_2 -$
14 $0.755X_1X_3 + 0.246X_2X_3$ (5)

15

16 $W_L \text{ (SCGs)} = 24.694 + 15.725X_1 + 4.110X_2 + 1.118X_3 - 2.164X_1^2 + 0.357X_2^2 + 0.213X_3^2 + 0.419X_1X_2 -$
17 $0.123X_1X_3 - 0.852X_2X_3$ (6)

18

19 $HHV \text{ (CC)} = 22215.6 + 1923.9X_1 + 305.7X_2 - 41.7X_3 + 408.4X_1^2 + 403.6X_2^2 + 285.7X_3^2 - 271.6X_1X_2 -$
20 $370.4X_1X_3 + 354.5 X_2X_3$ (7)

21

22 $HHV \text{ (SCGs)} = 27409.4 + 3859.5X_1 + 403.3X_2 + 412.6X_3 - 246.8X_1^2 + 551.2X_2^2 + 279.5X_3^2 - 477.4X_1X_2 -$
23 $227.3X_1X_3 - 346.5X_2X_3$ (8)

24

25

26 Analysis of variance (ANOVA) was performed for adequacy and fitness of predicted models. In particular, the
27 influence of each factor on the model was evaluated by the Fisher's statistical test (F-test). The results of
28 ANOVA, reported in Table 6, show that the Fisher's F-values for the HHV and WL models of CC and SCGs
29 are 143.12, 846.97, 419.85, and 1080.20 respectively, demonstrating that the regression models are highly
30 significant. Furthermore, the corresponding p values suggest if F values are large enough to show statistical
31 significance. At this regard, all p values are markedly lower than 0.05 (<0.0001), confirming that the models
32 are statistically significant.

33

34 **[Table 6]**

35

36 The adequacy of the model was further analyzed by the evaluation of the determination coefficient (R^2) and
37 the lack of fit (LOF) test. In particular, a model can be considered acceptable if the R^2 value is higher than
38 0.95, meaning that up to 95% of the data variability could be explained by the model (Bajar et al., 2016). The
39 values of R^2 of the HHV and WL models of CC and SCGs are 0.9795, 0.9965, 0.9929, and 0.9945
40 respectively, validating the precision of the deduced models. However, since R^2 is sensitive to the degree of
41 freedom, increasing with adding more model terms, the adjusted coefficient of determination (R^2_{adj}) value is
42 more useful to check the model adequacy, correcting the R^2 value for the number of terms in the model (Glyk
43 et al., 2015). All values were higher than 0.95, confirming again the accuracy of the proposed models with
44 the responses in the specified field conditions. Furthermore the predicted R^2 (R^2_{pred}) and adjusted R^2 values
45 were in reasonable agreement, being within 0.2 of each other for all the models (Lou et al., 2013). It

1 indicated that the proposed regression model adequately represents the actual relationship among the
2 chosen variables.

3 The acceptability of the model can be verified by the LOF test, which compares the residual error (the error
4 associated with the fitted model) to the pure error from the replicated design points (Luo et al., 2010). A p -
5 value higher than 0.05 means that the LOF is insignificant relative to the pure error. There is a chance of
6 15.8%, 23.5%, 47.8%, and 6% for the HHV and WL models of CC and SCGs that the LOF F-values could
7 occur due to noise, highlighting a non-significant shortage of the models in the prediction of experimental
8 data.

9 The adequacy of the developed mathematical models was also verified by constructing diagnostic plots,
10 such as predicted versus actual values. Fig. 3 shows that data points on this plot lie very close to the straight
11 line, indicating a fair agreement between the experimental data and the model and a good response to the
12 model.

13

14 **[Figure 3]**

15

16 Residuals were also investigated, in order to verify if they fit a normal distribution. The normality assumption
17 was evaluated by the normal probability plot of the residuals, as shown in Fig. 4. Since the regression data
18 on the plot are very close to a straight line (Swamy et al., 2014), it is possible to confirm that data was
19 normally distributed and the variation of the predicted from the actual values was random.

20

21 **[Figure 4]**

22

23 *3.3 Effect of independent variables on the torrefaction process*

24 The influence of the independent variables on the responses and their interactions were evaluated by
25 plotting three dimensional (3D) response surface graphs, as shown in Fig. 5 and 6.

26 The response surface plots showed the influence of any two variables on the process, while the third
27 variable was kept as constant. The nonlinear nature of 3D response surfaces plots indicate the interactions
28 between each of the independent variables (temperature, residence time, and heating rate) in determining
29 the weight loss and the calorific gain.

30

31 **[Figure 5]**

32

33 **[Figure 6]**

34

35 *3.3.1 Effect on weight loss*

36 Fig. 5 and 6 show the effects of torrefaction temperature (X_1), residence time (X_2), and heating rate (X_3) on
37 the weight loss of CC and SCGs. Both samples had weight loss that depended more on temperature than
38 residence time and heating rate. In particular, by comparing the p -values of the regression coefficients (tab.
39 6), the effects on weight loss for both samples could be sequenced as torrefaction temperature > residence
40 time > heating rate. This result is in agreement with previous studies that showed the greater influence of
41 temperature than residence time and heating rate on the weight loss (Chiou et al., 2015; Mundike et al.,

1 2016; Nam and Capareda, 2015). The independent variables significantly ($p < 0.0001$) influence the weight
2 loss of CC in a linear and quadratic manner while, for SCGs, only torrefaction temperature has a significant
3 impact between the quadratic terms. Among the interaction variable coefficients, only residence time-heating
4 rate and temperature-heating rate were found to be significant in determining the response of SCGs and CC,
5 respectively.

6 All independent variables have positive effect in linear terms for both samples, while temperature showed a
7 negative effect on its quadratic terms in both cases. The interactive effects between temperature-residence
8 time and residence time-heating rate showed positive effects for SCGs and CC, respectively. At this regard,
9 Literature studies show that the weight loss model could depend only on torrefaction temperature and
10 residence time (Na et al., 2013) or on higher order interaction terms (Medic et al., 2012) as a function of the
11 kind of the tested biomass.

12 Fig. 5 and 6 show that the weight loss of CC and SCGs is intensified with increasing of temperature,
13 residence time, and heating rate. In particular, over the temperature range of 220–300 °C, the weight loss
14 increases from 8.6-16.3% to 38.4–44.9% and from 4.5%-10.3% to 34.6%-42.1% for CC and SCGs,
15 respectively. Therefore, at the same torrefaction temperature, the average weight loss of CC is much higher
16 than that of SCGs. This difference is mainly due to the different chemical composition. In the temperature
17 range of torrefaction, thermal degradation of hemicellulose is more severe than the decomposition of
18 cellulose and lignin (Mundike et al., 2016). Therefore biomass with higher content of hemicellulose, such as
19 SCGs, should be characterized by higher values of weight loss. However, the higher ash content of CC
20 could contribute to increase its thermal decomposition (Uemura et al., 2011), causing the highest weight
21 loss. Furthermore, the hemicellulose fraction in SCGs has a different chemical composition with respect the
22 one of CC, because the concentration of the most reactive hemicellulose (xylan) is less with a high
23 proportion of glucomannan (Ballesteros et al., 2014), less reactive than xylan (Prins et al., 2006).

24 The residence time has a positive influence on the weight loss during the torrefaction process because a
25 longer hold period allows more time for the formation of oxygenated volatiles (Mundike et al., 2016), with
26 higher weight loss. The influence of heating rate on both biomass (CC and SCGs) shows a positive effect on
27 the weight loss, for identical temperature and residence time. This trend could be due to an increased rate of
28 depolymerization and dehydration of lignocellulosic polymers into volatiles (Supramono et al., 2015).

29

30 3.3.2 Effect on HHV

31 The response surface plots estimating the specific surface area of HHV versus independent variables are
32 also shown in Fig. 5 and 6. For both biomass, HHV is significantly affected ($p < 0.0001$) by the torrefaction
33 temperature in a linear manner. From the regression analysis of the model equation (Tab. 6), it is clear that
34 the linear, square as well as the interaction effects of the independent process variables are highly significant
35 ($p < 0.0001$) on the HHV of SCGs. Instead, the HHV of torrefied CC is not significantly affected ($p=0.419$) by
36 the linear term of heating rate. According to the F-values, the linear term of heating rate has the most
37 significant influence on the HHV of SCGs and CC. Among the interaction terms, temperature-residence time
38 and temperature-heating rate has a larger significant effect on the HHV of SCGs and CC, respectively.
39 Furthermore, among the quadratic terms, residence time and temperature show the most influence on the
40 calorific value of SCGs and CC, respectively.

1 Both samples show an increase in char HHV in response to an increase in temperature and residence time,
2 as suggested by the positive linear coefficients in the equations 7 and 8, in agreement with those of other
3 studies (Rousset et al., 2011; Chen et al., 2012). Among the linear terms, only heating rate for CC has a
4 negative effect, despite it does not statistically influence HHV. Full quadratic analysis also shows that
5 temperature interacts negatively with residence time and heating rate for both biomass. These significant
6 interaction means that the effects of residence time and heating rate on HHV depend on the level of
7 torrefaction temperature. Among the squared terms, only temperature for SCGs shows a negative effect on
8 the HHV, indicating that the response is described by a convex surface and that high values tend to
9 decrease char HHV.

10 Analyzing the influence of torrefaction temperature for the same conditions (e.g. residence time of 40 min
11 and heating rate of 5°C/min) on the calorific gain of CC and SCGs, from tables 4 and 5 it can be seen that
12 CC has the largest HHV increase (+10.8%) compared to the one of SCGs (+6.9%) at 220°C, while the trend
13 is the opposite at 300°C (SCGs: +43.3%, CC: +35.5%). These results are in agreement with the ones
14 reported by Chen et al. (2015) for other kind of biomass, for which the calorific gain can reach up to about
15 60%. Moreover, this difference between the samples is probably due to the differences in the polymeric
16 structure, causing a different reduction of low-energy chemical bonds, such as H–C and O–C, and increase
17 in a high-energy chemical bond (C–C) (Yang et al., 2015).

18

19 *3.4 Determination and validation of optimum conditions*

20 Previous studies on the optimization of the torrefaction process were mainly based on the evaluation of the
21 energy yield of the product (Chin et al., 2013; Asadullah et al., 2014; Kim et al., 2013). Energy yield is
22 defined as the product of the char yield and the ratio of the HHV of torrefied biomass to the HHV of the raw
23 biomass. It indicates the amount of energy of the raw biomass that remains in the torrefied product.
24 However, as reported by Lee and Lee (2014), the evaluation of the energy yield is not sufficient to properly
25 optimize the operating conditions of torrefaction. In fact, with the increasing of the severity of the process, the
26 energy yield generally decreases, implying that the net usable energy of raw material is reduced. Therefore,
27 the optimized torrefaction condition is the one which allows to minimize the weight loss and maximize the
28 calorific value of the product. Since currently an accepted method to optimize the torrefaction process does
29 not exist (Chin et al., 2013), it was decided to apply the Derringer's desirability function method.
30 Composite desirability evaluates how the settings optimize a set of responses overall (Mahanty et al., 2014).
31 In this case, the importance parameter of 1 and equal weightages were given for both responses (W_L and
32 HHV).

33 As shown in Fig. 7, employing the Derringer's desirability function methodology, the optimum level of the
34 independent variables was obtained; in particular, the maximum desirability is predicted to be 52.1% and
35 56.2% at 271.7°C and 256.0°C torrefaction temperature, 20 min residence time, 5°C/min and 25°C/min
36 heating rate for CC and SCGs, respectively.

37

38 **[Figure 7]**

39

40 In order to verify the results of the model, a torrefaction treatment for both biomass was carried out under the
41 optimized conditions. Experiments were performed in triplicate and the average values are reported in Tab.

1 7. Results confirm the suitability of the developed quadratic models because the experimental findings are in
2 close agreement with the predicted values.

3

4 **[Table 7]**

5

6 **4. Conclusion**

7 Torrefaction tests carried out in this study allowed to observe the behaviour of CC and SCGs under a broad
8 range of torrefaction conditions, with only limited amount of samples and effort required to obtain a large
9 matrix of results. TGA tests provided the degree of torrefaction as a result of the operating conditions. These
10 tests not only provided an idea how material would behave during torrefaction, but also the operating
11 conditions for torrefaction tests at large scale.

12 In particular, the Box-Behnken response surface design proves to be very useful in determining the optimal
13 conditions for the torrefaction process of CC and SCGs. Response surface models of weight loss and
14 Higher Heating Value depend on the specific biomass, with most models containing a temperature–time
15 interaction, square of temperature, or square of time terms.

16 Analysis of variance showed high R^2 values, indicating a good fit of the regression models to the
17 experimental data. CC has the highest weight loss, while SCGs shows generally highest values of calorific
18 gain. The optimum conditions for the torrefaction process resulted in a weight loss of 28.7% and 21.6% and
19 a calorific gain of 26.7% and 29.9% for CC and SCGs respectively. Under the optimized conditions obtained
20 from the Derringer's desired function methodology, the experimental values are in close agreement with the
21 predicted ones.

22

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23 (3), 7637-7653.

24 25 **Figure Captions**

- 26 - Fig. 1. TG curves for CC and SCGs samples at a heating rate of 10 °C/min
27 - Fig. 2. Van Krevelen plot of atomic H/C ratio versus atomic O/C ratio of raw and torrefied CC (a) and
28 SCGs (b).
29 - Fig. 3. Relationship between predicted and actual values of a) W_L of CC, b) HHV of CC, c) W_L of SCGs,
30 d) HHV of SCGs,
31 - Fig. 4. Normal probability plots of residuals of a) W_L of CC, b) HHV of CC, c) W_L of SCGs, d) HHV of
32 SCGs
33 - Fig. 5. 3D response surface plots of W_L and HHV showing the effect of process variables for CC.
34 - Fig. 6. 3D response surface plots of W_L and HHV showing the effect of process variables for SCGs
35 - Fig. 7. Optimization plots of operating variables for a) CC and b) SCGs.

36 37 **Highlights**

- 38 ➤ Response surface methodology was applied for optimization the torrefaction process
39 ➤ Reaction temperature, residence time and heating rate were the independent variables
40 ➤ Calorific gain was 26.7% and 29.9% for CC and SCGs under the optimum conditions
41 ➤ Weight loss was 28.7% and 21.6% for CC and SCGs under the optimum conditions

42

Table 1. Independent process variables, range and levels in experimental design

Independent variables	Symbols	Coded levels		
		-1	0	+1
<i>Temperature (°C)</i>	X_1	220	260	300
<i>Residence time (min)</i>	X_2	20	40	60
<i>Heating rate (°C/min)</i>	X_3	5	15	25

Table 2. Proximate, fiber and calorific analyses of CC and SCGs

Raw material	CC	SCGs
Volatile matter (% db)	79.30	80.58
Fixed carbon (% db)	14.76	16.90
Ash (% db)	5.94	2.52
Cellulose (% db)	22.83	12.31
Hemicellulose (% db)	19.30	33.44
Lignin (% db)	28.59	24.52
HHV (MJ/kg, db)	18.62	21.69

Table 3. Elemental composition of raw and torrefied materials.

Material	Temperature (°C)	Residence time (min)	Heating rate (°C/min)	Elemental analysis (%)			
				N	C	H	O ^a
CC	<i>Raw material</i>			2.4	45.8	8.1	43.7
	220	20	15	2.5	49.7	7.7	40.0
	220	40	5	2.9	50.2	7.6	39.3
	220	40	25	3.1	51.2	7.4	38.3
	220	60	15	3.0	51.2	7.5	38.2
	260	20	5	3.1	53.9	7.0	36.0
	260	20	25	3.2	53.7	6.7	36.4
	260	40	15	3.2	53.2	7.1	36.5
	260	60	5	3.3	55.9	6.3	34.4
	260	60	25	3.3	56.6	6.5	33.5
	300	20	15	3.6	60.0	6.3	30.2
	300	40	5	3.9	61.0	5.7	29.4
	300	40	25	3.8	57.7	6.5	32.0
	300	60	15	4.0	60.2	5.7	30.0
SCGs	<i>Raw material</i>			1.9	50.7	9.4	37.9
	220	20	15	2.4	52.9	9.0	35.8
	220	40	5	2.4	53.6	9.0	35.1
	220	40	25	2.5	55.3	8.9	33.4
	220	60	15	2.6	55.5	9.0	33.0
	260	20	5	3.0	59.5	8.7	28.8
	260	20	25	2.7	62.7	8.5	26.1
	260	40	15	3.0	60.9	8.3	27.8
	260	60	5	2.9	63.2	7.9	26.0
	260	60	25	3.1	63.8	8.0	25.1
	300	20	15	3.0	68.6	7.9	20.5
	300	40	5	3.8	68.1	7.4	20.7
	300	40	25	3.4	68.8	7.2	20.7
	300	60	15	3.6	69.6	7.1	19.6

^a Oxygen was calculated by difference

Table 4. Experimental responses of the torrefaction process of CC

Run Order	X1 (°C)	X2 (min)	X3 (°C/min)	Weight loss (%)			HHV (MJ/kg)		
				Actual	Predicted	Residual	Actual	Predicted	Residual
1	220	40	5	11.41	12.28	0.87	20.84	20.66	0.18
2	220	40	25	15.90	15.52	0.38	21.32	21.31	0.00
3	300	40	25	44.12	43.52	0.60	24.41	24.42	0.01
4	220	20	15	8.73	7.80	0.93	20.59	20.53	0.07
5	260	60	5	33.79	32.32	1.47	23.11	23.07	0.04
6	300	20	15	38.37	37.91	0.46	25.27	24.92	0.36
7	300	60	15	44.95	45.84	0.89	24.91	24.98	0.08
8	260	60	25	34.86	34.55	0.31	23.44	23.35	0.09
9	260	40	15	28.25	28.89	0.64	22.33	22.21	0.12
10	300	40	5	42.77	43.30	0.53	25.09	25.24	0.15
11	260	40	15	29.05	28.89	0.16	22.06	22.21	0.15
12	220	60	15	16.21	16.94	0.73	21.41	21.68	0.27
13	260	20	25	24.84	25.53	0.69	22.25	22.38	0.12
14	260	20	5	23.85	24.29	0.44	22.43	22.82	0.39
15	260	40	15	29.35	28.89	0.46	22.54	22.21	0.32
16	300	60	15	45.04	45.72	0.68	24.94	24.99	0.05
17	220	40	5	11.70	12.16	0.46	20.82	20.66	0.16
18	260	40	15	28.94	28.77	0.17	22.18	22.22	0.04
19	300	20	15	38.39	37.79	0.60	25.26	24.92	0.33
20	260	60	25	34.89	34.43	0.46	23.80	23.35	0.45
21	260	40	15	28.54	28.77	0.23	22.25	22.22	0.03
22	260	40	15	28.61	28.77	0.16	22.31	22.22	0.09
23	300	40	25	43.98	43.40	0.58	24.09	24.43	0.33
24	260	20	25	23.82	25.41	1.59	22.33	22.38	0.05
25	260	20	5	23.78	24.17	0.39	22.60	22.82	0.22
26	220	20	15	8.67	7.68	0.99	20.58	20.53	0.05
27	220	60	15	16.52	16.82	0.30	21.43	21.69	0.26
28	300	40	5	42.85	43.18	0.33	25.10	25.25	0.15
29	220	40	25	15.59	15.40	0.19	21.33	21.32	0.01
30	260	60	5	33.37	32.21	1.16	23.08	23.08	0.00
31	300	20	15	38.45	37.79	0.66	25.14	24.91	0.23
32	260	20	25	24.32	25.41	1.09	22.27	22.38	0.11
33	300	60	15	44.75	45.72	0.97	24.85	24.98	0.13
34	220	40	25	15.66	15.40	0.26	21.33	21.31	0.01
35	220	60	15	16.13	16.82	0.69	21.29	21.68	0.39
36	260	40	15	29.13	28.77	0.36	21.93	22.21	0.29
37	220	40	5	11.84	12.16	0.32	20.94	20.65	0.29
38	220	20	15	8.31	7.68	0.63	20.66	20.52	0.14
39	260	20	5	24.10	24.17	0.07	22.54	22.81	0.28
40	300	40	25	43.87	43.40	0.47	24.13	24.42	0.29
41	260	40	15	29.52	28.77	0.75	22.28	22.21	0.07
42	260	60	25	34.55	34.43	0.12	23.70	23.34	0.35
43	300	40	5	43.21	43.18	0.03	25.52	25.24	0.27
44	260	40	15	27.88	28.77	0.89	22.07	22.21	0.15
45	260	60	5	32.94	32.21	0.73	23.33	23.07	0.25

Table 5. Experimental responses of the torrefaction process of SCGs

Run Order	X ₁ (°C)	X ₂ (min)	X ₃ (°C/min)	Weight loss (%)			HHV (MJ/kg)		
				Actual	Predicted	Residual	Actual	Predicted	Residual
1	220	40	5	5.54	5.83	0.29	23.47	23.01	0.46
2	220	40	25	7.90	8.31	0.41	24.23	24.29	0.06
3	300	40	25	40.04	39.51	0.53	31.21	31.55	0.34
4	220	20	15	4.56	3.52	1.04	22.89	23.04	0.15
5	260	60	5	30.15	29.16	0.99	28.66	28.65	0.02
6	300	20	15	34.75	34.14	0.61	32.16	31.72	0.45
7	300	60	15	41.95	43.19	1.24	31.63	31.57	0.06
8	260	60	25	30.27	29.69	0.58	28.81	28.78	0.03
9	260	40	15	24.85	24.75	0.10	27.50	27.48	0.03
10	300	40	5	37.70	37.52	0.18	30.90	31.18	0.28
11	260	40	15	24.89	24.75	0.14	27.53	27.48	0.05
12	220	60	15	10.52	10.90	0.38	24.28	24.80	0.52
13	260	20	25	22.40	23.18	0.78	28.84	28.66	0.17
14	260	20	5	18.42	19.24	0.82	27.01	27.15	0.14
15	260	40	15	24.49	24.75	0.26	27.71	27.48	0.23
16	300	60	15	42.27	43.07	0.80	31.59	31.44	0.15
17	220	40	5	5.43	5.70	0.27	23.20	22.88	0.32
18	260	40	15	24.39	24.62	0.23	27.54	27.35	0.19
19	300	20	15	34.49	34.01	0.48	31.78	31.59	0.20
20	260	60	25	30.20	29.57	0.63	28.87	28.65	0.22
21	260	40	15	24.14	24.62	0.48	27.00	27.35	0.35
22	260	40	15	25.37	24.62	0.75	27.33	27.35	0.02
23	300	40	25	39.37	39.39	0.02	31.08	31.43	0.35
24	260	20	25	22.32	23.05	0.73	28.53	28.54	0.00
25	260	20	5	18.43	19.11	0.68	26.64	27.02	0.38
26	220	20	15	4.61	3.40	1.21	22.96	22.91	0.05
27	220	60	15	10.04	10.78	0.74	24.50	24.68	0.17
28	300	40	5	37.60	37.40	0.20	31.13	31.06	0.07
29	220	40	25	8.08	8.18	0.10	24.19	24.16	0.03
30	260	60	5	29.80	29.03	0.77	28.58	28.52	0.06
31	300	20	15	34.66	34.11	0.55	31.79	31.64	0.15
32	260	20	25	22.04	23.15	1.11	28.68	28.59	0.10
33	300	60	15	42.18	43.17	0.99	31.68	31.49	0.19
34	220	40	25	8.39	8.28	0.11	24.37	24.21	0.16
35	220	60	15	10.35	10.88	0.53	24.63	24.73	0.10
36	260	40	15	24.51	24.72	0.21	27.72	27.40	0.32
37	220	40	5	5.39	5.80	0.41	23.21	22.93	0.28
38	220	20	15	4.27	3.50	0.77	22.67	22.96	0.29
39	260	20	5	18.65	19.21	0.56	26.93	27.07	0.14
40	300	40	25	39.95	39.49	0.46	31.11	31.48	0.36
41	260	40	15	24.97	24.72	0.25	26.99	27.40	0.41
42	260	60	25	30.51	29.67	0.84	29.11	28.70	0.41
43	300	40	5	37.53	37.50	0.03	31.19	31.11	0.08
44	260	40	15	24.64	24.72	0.08	27.37	27.40	0.03
45	260	60	5	29.99	29.13	0.86	28.22	28.57	0.35

Table 6. ANOVA of response surface quadratic models

Source	DF	F-value	Prob > F
WL, CC			
Model	9	846.97	<0.0001
X ₁	1	8513.19	<0.0001
X ₂	1	711.60	<0.0001
X ₃	1	29.35	<0.0001
X ₁ ²	1	23.50	<0.0001
X ₂ ²	1	7.02	0.012
X ₃ ²	1	14.89	0.001
X ₁ X ₂	1	1.78	0.191
X ₁ X ₃	1	11.15	0.002
X ₂ X ₃	1	1.18	0.285
Lack of fit (LOF)	27	1.82	0.235
R ² = 0.9965, R ² _{adj} = 0.9953, R ² _{pred} = 0.9931			
HHV, CC			
Model	9	143.12	<0.0001
X ₁	1	1427.12	<0.0001
X ₂	1	36.03	<0.0001
X ₃	1	0.67	0.419
X ₁ ²	1	29.68	<0.0001
X ₂ ²	1	28.98	<0.0001
X ₃ ²	1	14.52	0.001
X ₁ X ₂	1	14.22	0.001
X ₁ X ₃	1	26.45	<0.0001
X ₂ X ₃	1	6.06	0.019
Lack of fit (LOF)	27	2.25	0.158
R ² = 0.9795, R ² _{adj} = 0.9726, R ² _{pred} = 0.9603			
WL, SCGs			
Model	9	1080.20	<0.0001
X ₁	1	10954.35	<0.0001
X ₂	1	748.13	<0.0001
X ₃	1	55.40	<0.0001
X ₁ ²	1	95.77	<0.0001
X ₂ ²	1	2.61	0.116
X ₃ ²	1	0.93	0.342
X ₁ X ₂	1	3.89	0.057
X ₁ X ₃	1	0.34	0.566
X ₂ X ₃	1	16.07	<0.0001
Lack of fit (LOF)	27	3.54	0.060
R ² = 0.9972, R ² _{adj} = 0.9963, R ² _{pred} = 0.9945			
HHV, SCGs			
Model	9	419.85	<0.0001
X ₁	1	4397.56	<0.0001
X ₂	1	48.01	<0.0001
X ₃	1	50.25	<0.0001
X ₁ ²	1	8.30	0.007
X ₂ ²	1	41.40	<0.0001
X ₃ ²	1	10.65	0.003
X ₁ X ₂	1	33.64	<0.0001
X ₁ X ₃	1	7.63	0.009
X ₂ X ₃	1	17.72	<0.0001
Lack of fit (LOF)	27	1.14	0.478
R ² = 0.9929, R ² _{adj} = 0.9905, R ² _{pred} = 0.9865			

Table 7. Predicted and experimental values of the responses at optimum conditions

Sample	X_1 (°C)	X_2 (min)	X_3 (°C/min)	W_L (%)			HHV (MJ/kg)		
				Measured	Predicted	Deviation (%)	Measured	Predicted	Deviation (%)
CC	271.7	20	5	28.2±0.4	28.7	-1.8	23.15±0.17	23.60	-1.9
SCGs	256.0	20	25	21.9±0.3	21.6	1.4	28.39±0.23	28.18	0.7

Figure 1
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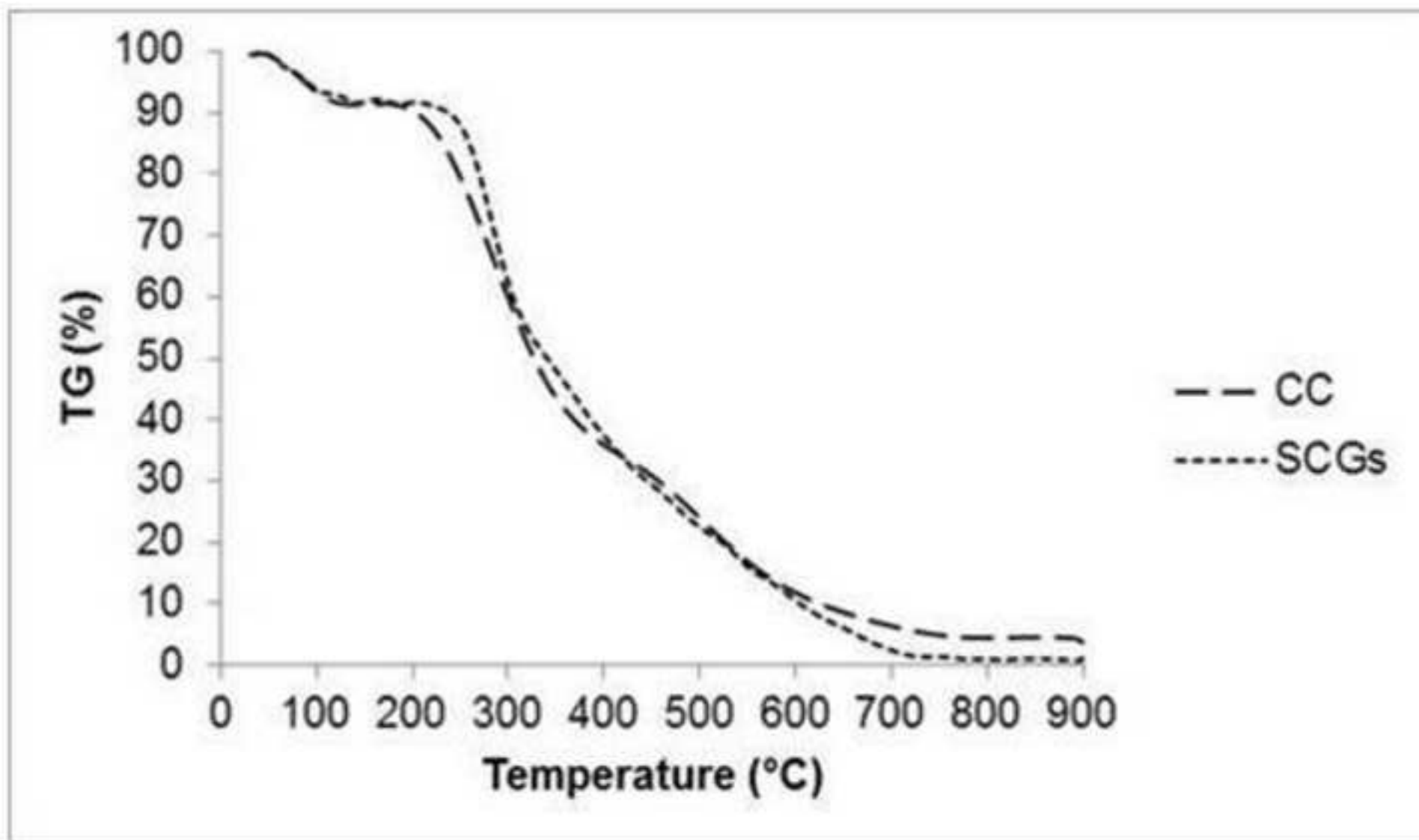


Figure 2
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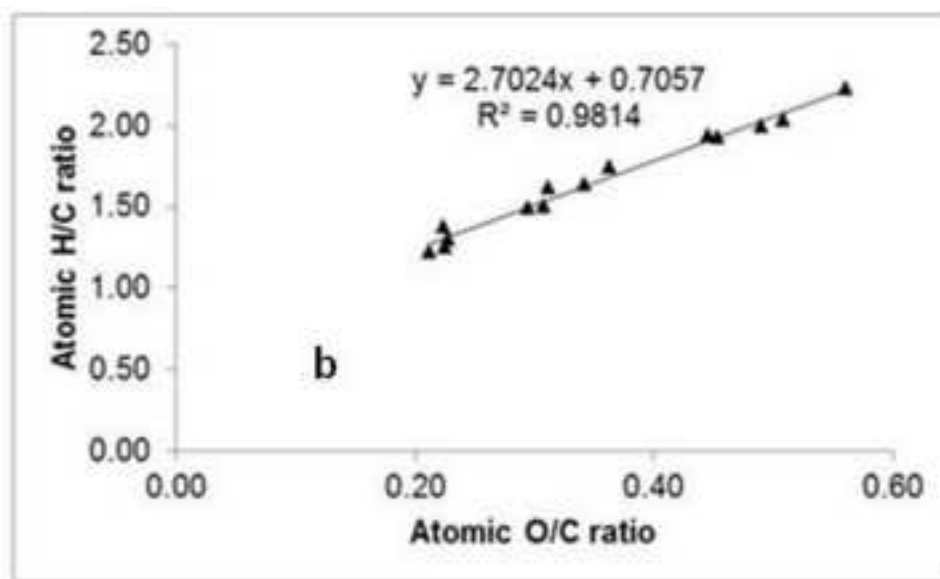
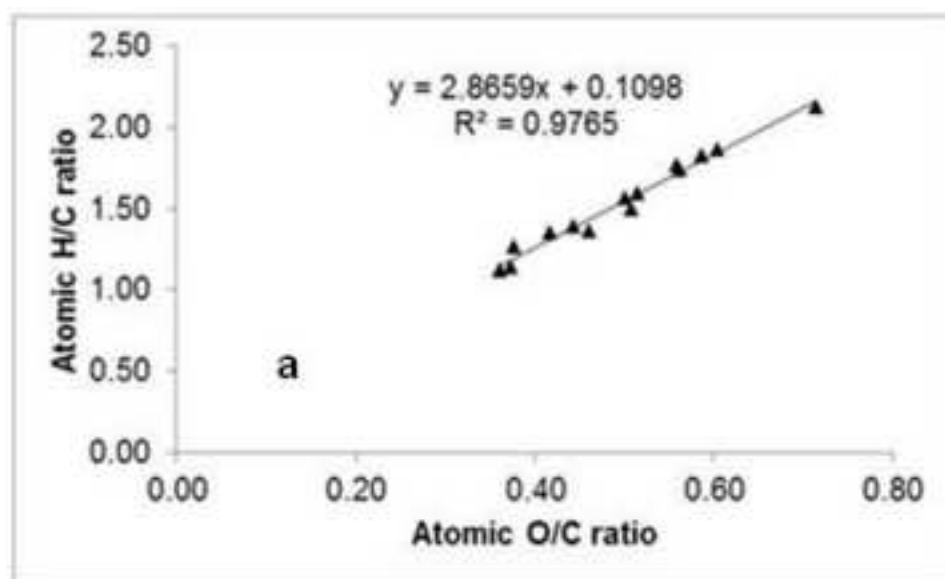


Figure 3
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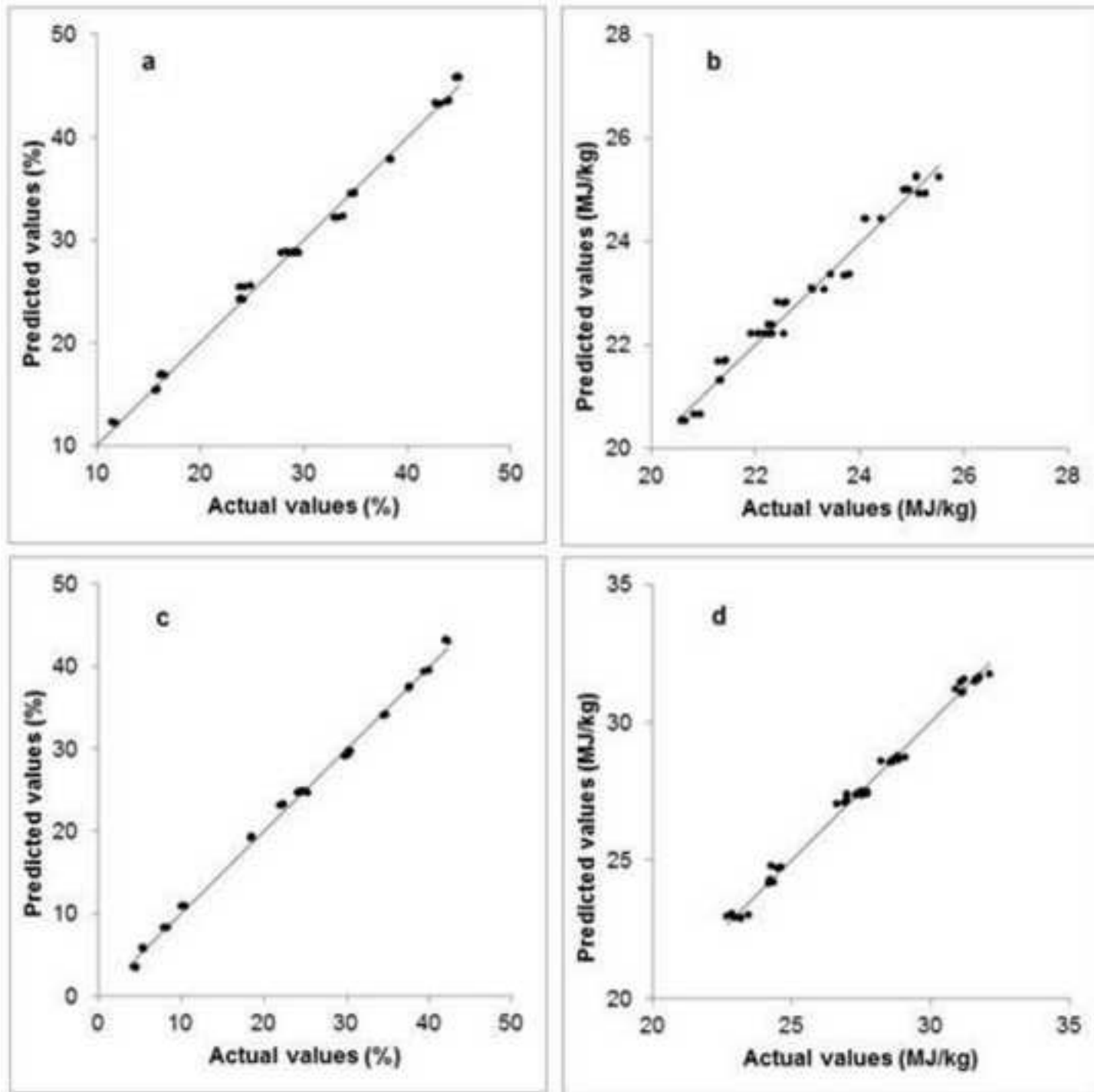


Figure 4
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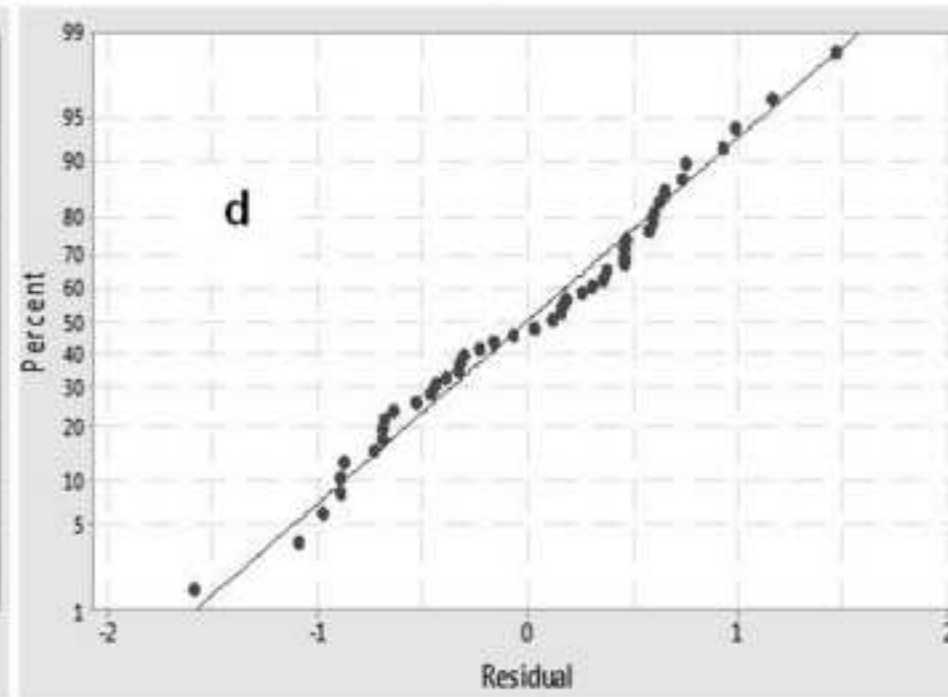
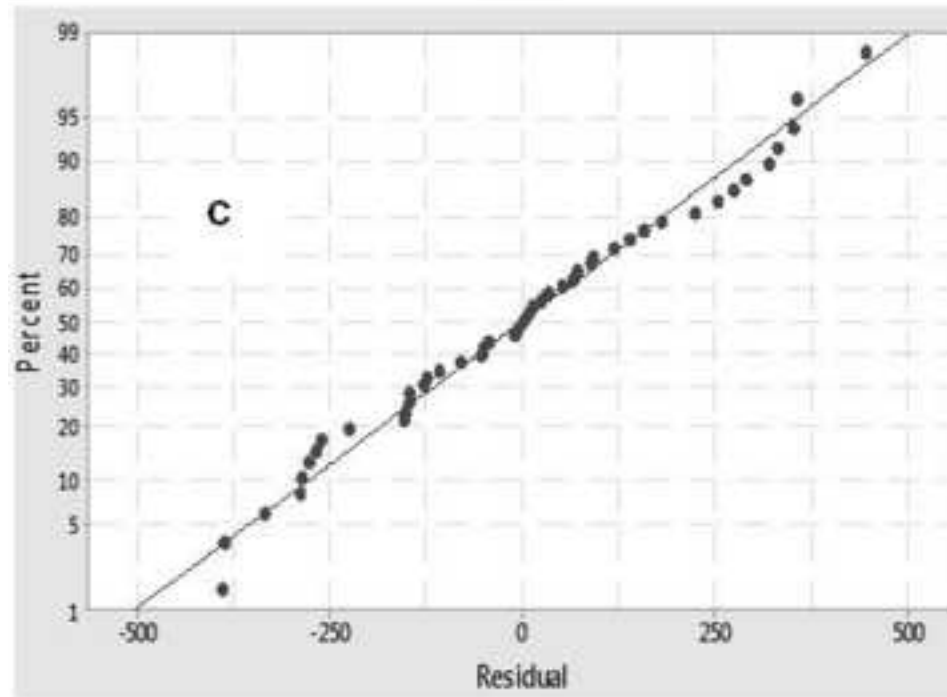
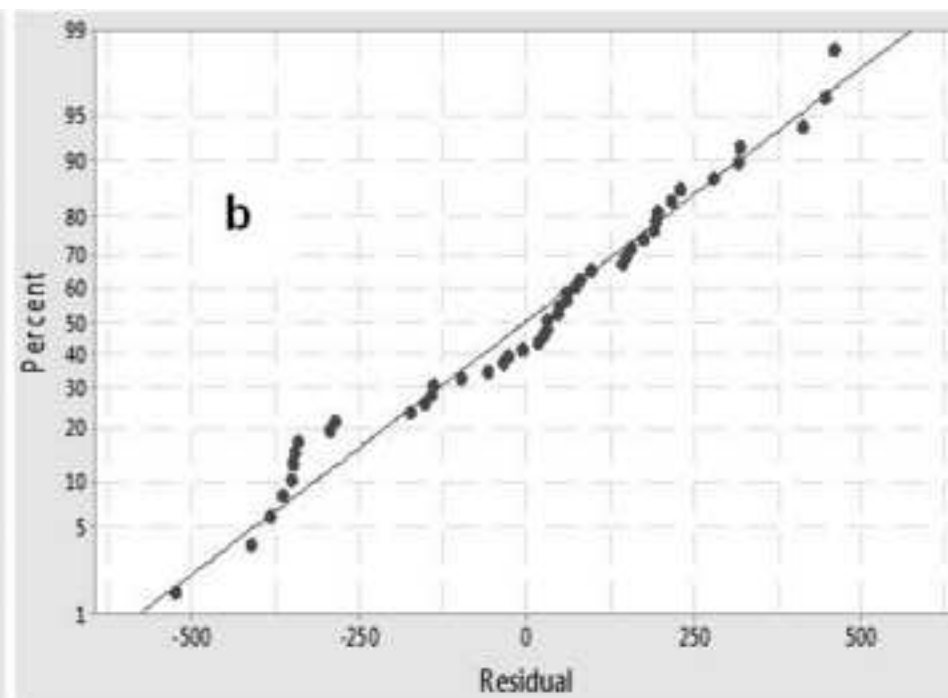
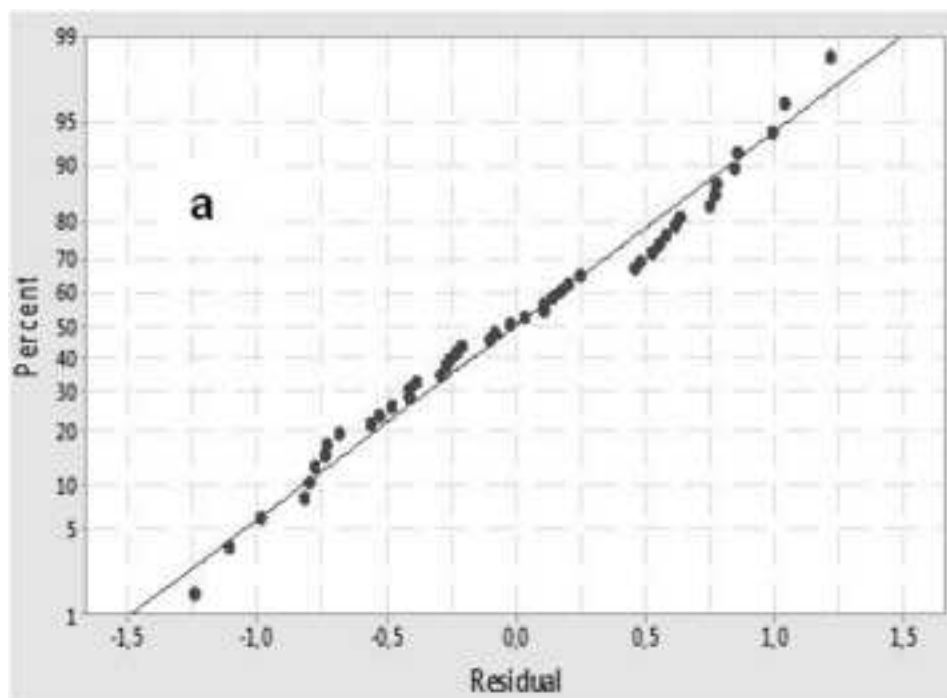


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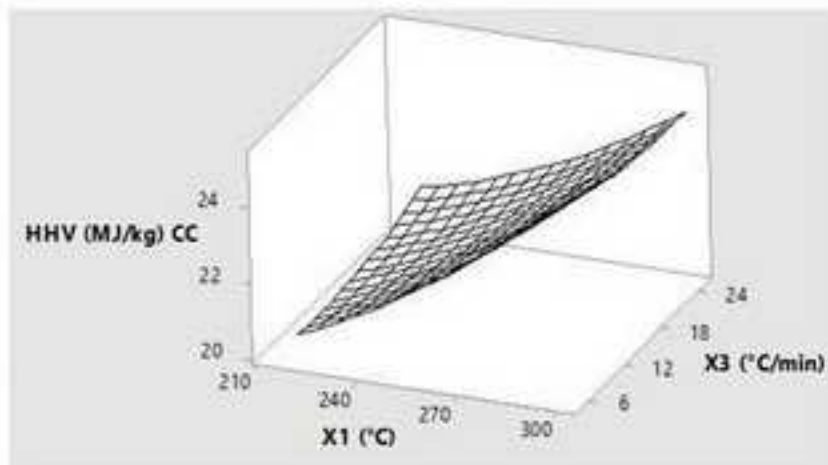
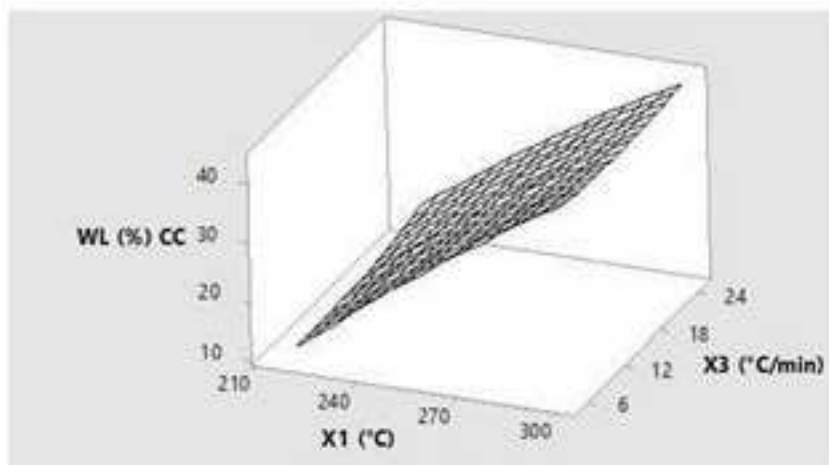
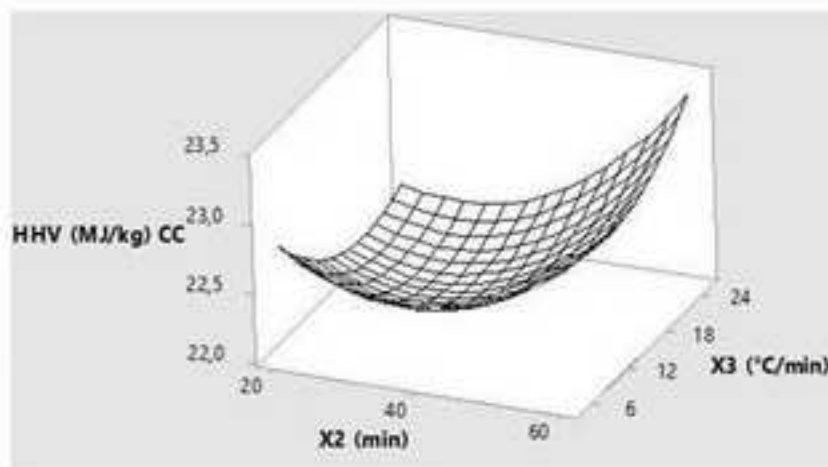
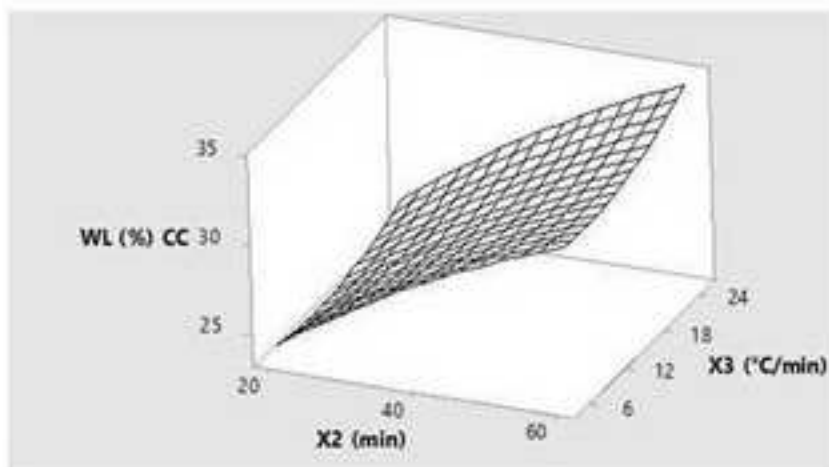
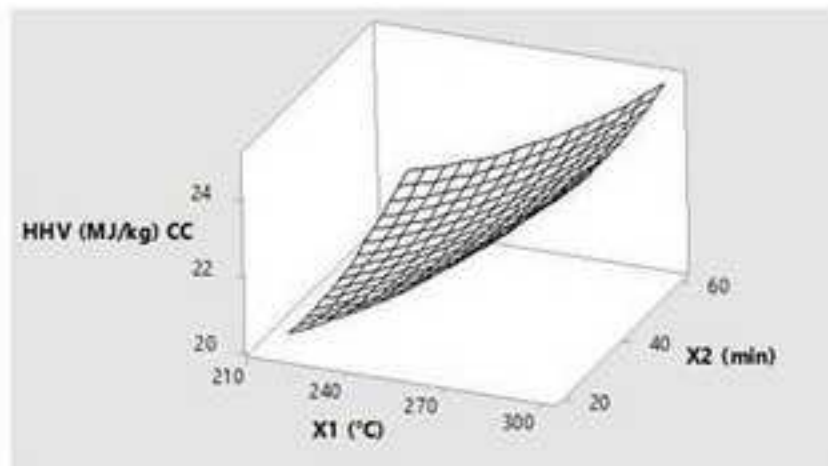
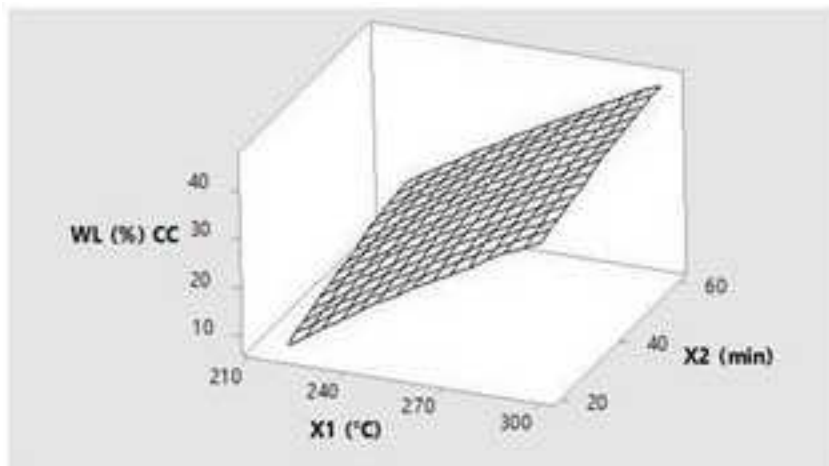


Figure 6

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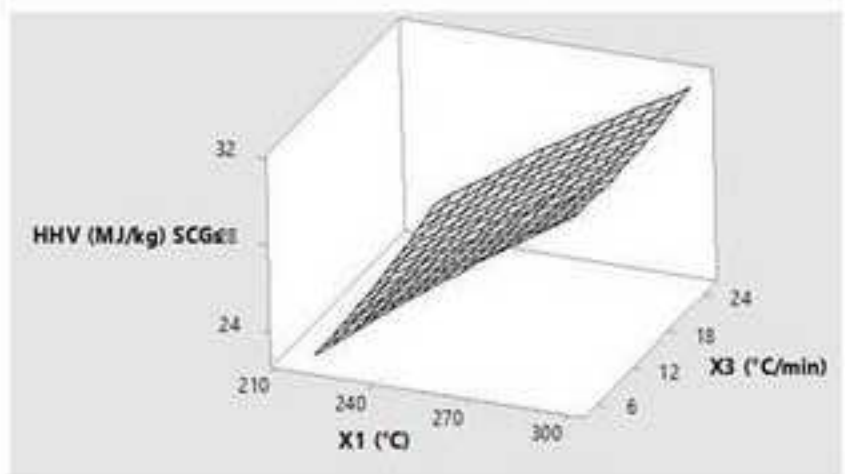
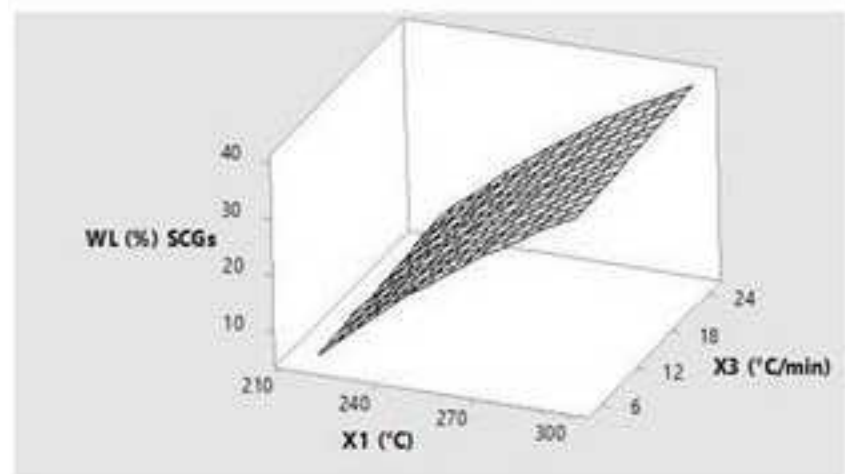
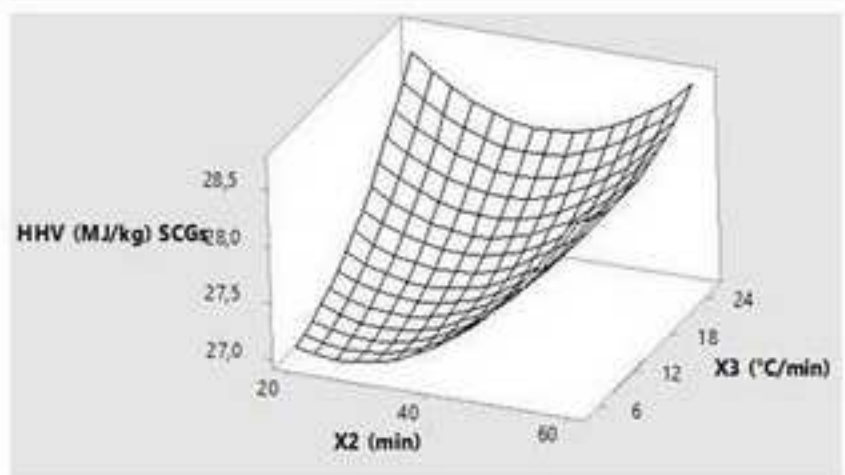
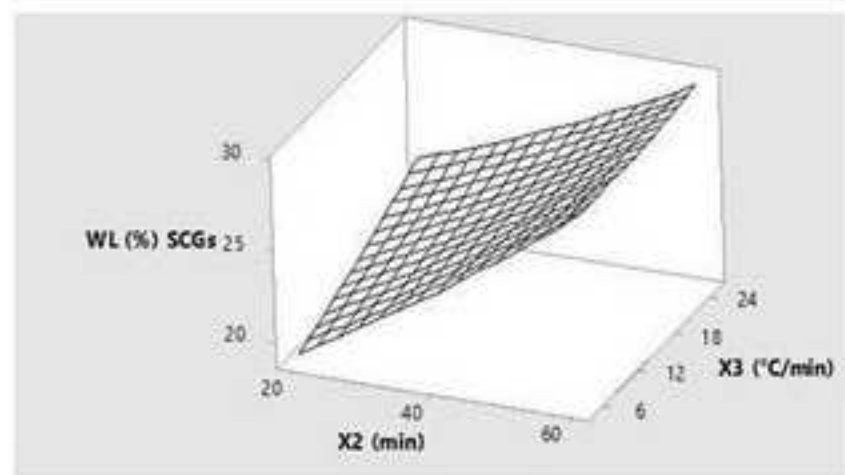
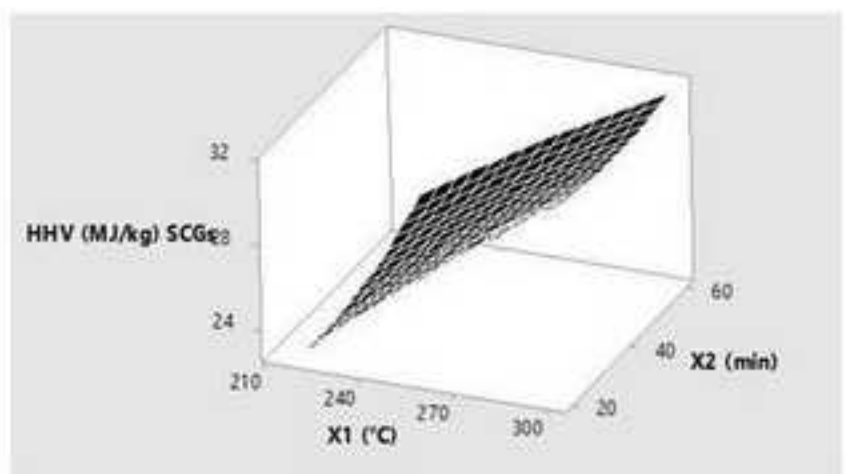
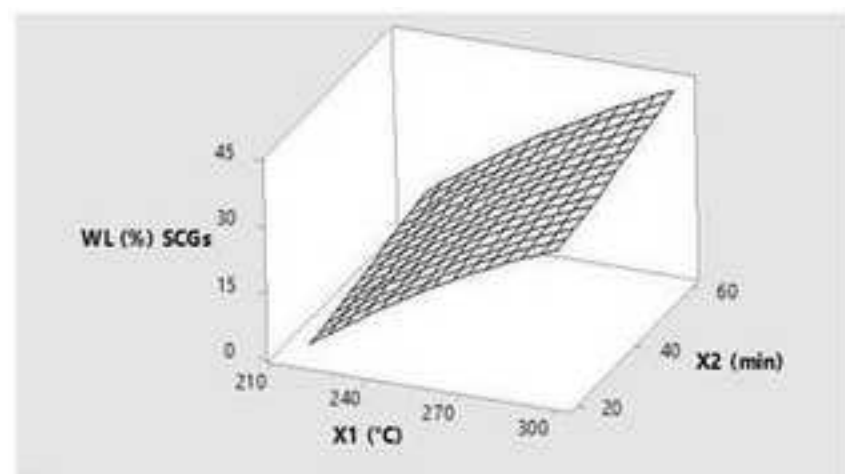


Figure 7
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