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Abstract: High-throughput techniques for the compositional analysis of lignocellulosic biomass are essential to allow the genetic analysis and genetic improvement of bioenergy feedstocks. In this study, we investigated the feasibility of using near-infrared (NIR) spectroscopy for rapid assessment of wood chemical traits in a large sample of *Populus nigra* L. individuals evaluated in clonal trials at two contrasting sites. Spectra were acquired from 5,799 wood samples collected in 3 harvests corresponding to two coppice rotations at one site and one coppice rotation at the second. Calibrations were developed and validated using 120 reference samples, representing spectral and chemical variations in the samples. The resulting global and site specific calibrations for most of the traits were at least good enough for ranking of genotypes, demonstrating the usefulness of NIR analysis for phenotyping the studied population. Clonal repeatability (H^2c) estimates of the studied traits based on all samples were moderate to high (H^2c ranging from 0.57 to 0.89 in the 3 harvests). When data were pooled over coppice rotations or sites, the genotype × environment interaction was more evident across sites than across rotations. However, the interaction was smaller than the genotype main effect for all traits, except for glucose and extractives contents. Importantly, the interaction resulted more from re-ranking of a few genotypes than from scale changes, which may encourage breeding for improved main wood components. Optimization of the NIR analysis for assessing clonal trials would facilitate the exploitation of standing genetic variation in tree breeding by enabling multivariate indirect prediction, evaluation of potential trade-offs and detection of marker-trait associations.

April 10th, 2017

Dr. Elisabete Frollini, Editor-in-Chief, Industrial Crops and Products,

Dear Dr. Elisabete Frollini,

We are happy to send you a revised version of our manuscript entitled "Near-infrared spectroscopy enables the genetic analysis of chemical properties in a large set of wood samples from *Populus nigra* (L.) natural populations".

We would like to thank you as well as the reviewers for your comments and suggestions. You'll find hereafter our point-by-point responses to reviewers indicating when applicable where changes have been made in the manuscript. Furthermore, we have recorded these changes using the track change mode and they are thus highlighted in the revised version of the manuscript. Finally, we have also made some changes to fulfil the Author's guidelines: the highlights have been shortened to a maximum of 85 characters and the figures (in PDF) have been converted into the EPS format.

Yours sincerely,

Vincent Segura, on behalf of co-authors:

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Highlights

- ~~Near-infrared (NIR) spectroscopy calibration models were built for wood chemical traits in black poplar were developed and yielded good correlations between predicted and reference values for most of the studied traits.~~
- ~~Genetic analysis revealed that the NIR predicted wood chemical traits~~ These traits showed substantial expression of genetic variation in two sites were under moderate to high genetic control.
- ~~The $G \times E$ interaction effect across sites was more important across sites than across coppice rotations, but it was smaller than the genotype main effect for~~ significant for all the studied traits, ~~except glucose and extractives contents.~~
- The ~~interaction~~ $G \times E$ effect ~~was~~ mainly resulted from re-ranking of a few genotypes between the two sites.
- ~~Optimization of the NIR prediction is powerful to screen analysis for assessing clonal trials would facilitate the exploitation of standing genetic variation under clonal selection in poplar tree breeding.~~

1 Near-infrared spectroscopy enables the genetic analysis of chemical properties in a
2 large set of wood samples from *Populus nigra* (L.) natural populations

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14

15 Abstract

16 High-throughput techniques for the compositional analysis of lignocellulosic biomass are
17 essential to allow the genetic analysis and genetic improvement of bioenergy feedstocks. In
18 this study, we investigated the feasibility of using near-infrared (NIR) spectroscopy for rapid
19 assessment of wood chemical traits in a large sample of *Populus nigra* L. individuals
20 evaluated in clonal trials at two contrasting sites. Spectra were acquired from 5,799 wood
21 samples collected in 3 harvests corresponding to two coppice rotations at one site and one
22 coppice rotation at the second. Calibrations were developed and validated using 120
23 reference samples, representing spectral and chemical variations in the samples. The resulting
24 global and site specific calibrations for most of the traits were at least good enough for
25 ranking of genotypes, demonstrating the usefulness of NIR analysis for phenotyping the
26 studied population. Clonal repeatability (H_c^2) estimates of the studied traits based on all
27 samples were moderate to high (H_c^2 ranging from 0.57 to 0.89 in the 3 harvests). When data
28 were pooled over coppice rotations or sites, the genotype \times environment interaction was more
29 evident across sites than across rotations. However, the interaction was smaller than the
30 genotype main effect for all traits, except for glucose and extractives contents. Importantly,
31 the interaction ~~was~~ resulted more from re-ranking of a few genotypes than from scale
32 changes, which may encourage breeding for improved main wood components. Optimization
33 of the NIR analysis for assessing clonal trials would facilitate the exploitation of standing
34 genetic variation in tree breeding by enabling multivariate indirect prediction, evaluation of
35 potential trade-offs and detection of marker-trait associations.

36 **Keywords:** *Populus nigra* L., Near-infrared spectroscopy, Cell wall composition, Genetic
37 variation, Clonal repeatability, Genotype \times Environment interaction

38

39 1. Introduction

40 There is currently a considerable interest in moving to alternative and sustainable sources of
41 energy because of the increasing global energy demand, depletion of fossil fuel reserves,
42 fossil fuel-derived climate change and energy related geopolitical tensions. To circumvent
43 some of the prevailing challenges, special focus has recently been given to the production of
44 biofuels from lignocellulosic biomass. Lignocellulosic ethanol is expected to provide a large
45 share of global transportation fuel needs with much less adverse effects than fossil fuels
46 (Schubert, 2006; Sticklen, 2008). However, realizing this potential will require the
47 synchronized occurrence of genetically improved material, suitable biomass production
48 systems and bioconversion technologies that efficiently convert biomass into bioethanol
49 (Ragauskas et al., 2006; Rubin, 2008).

50 Candidate biomass feedstocks for the production of second generation bioethanol comprise
51 perennial grasses (e.g., switchgrass and *Miscanthus*) and forest trees (e.g., poplars,
52 *Eucalyptus* and willow) (Abramson et al., 2010). Comparative advantages of poplars
53 (*Populus* spp. and hybrids) in the impending green economy include their rapid growth rates
54 (Bradshaw et al., 2000), good coppicing ability (Ceulemans and Deraedt, 1999) and
55 favourable cell wall chemistry (Guerra et al., 2013; Porth et al., 2013; Wegrzyn et al., 2010).
56 In particular, *Populus nigra* possesses many important characteristics such as adaptability,
57 rooting ability of stem cuttings and resistance to diseases that make it attractive as parent in
58 several hybrid breeding programs in Europe (Cagelli and Lefevre, 1995; Frison et al., 1994).

59 The major source of lignocellulosic biomass is the plant cell wall, a heterogeneous complex,
60 mainly composed of cellulose, hemicelluloses and lignin, with the cellulose microfibrils and
61 the hemicellulosic chains being embedded in lignin (Rubin, 2008). For bioethanol production,
62 the polysaccharides (cellulose and hemicelluloses) are of particular interest because their
63 enzymatic ~~hydrolysis~~hydrolyses releases fermentable monomeric sugars during

64 saccharification. Poplars show substantial variability in cell wall composition, with cellulose
65 content ranging from 42 to 49-%, hemicellulose from 16 to 23-%, and lignin from 21 to 29-%
66 (Sannigrahi et al., 2010). More recently, substantial genetic variation in cell wall chemical
67 traits has been reported for black cottonwood (*P. trichocarpa*) (Guerra et al., 2016; Porth et
68 al., 2013; Wegrzyn et al., 2010) and black poplar (*P. nigra*) (Guerra et al., 2013).

69 A critical bottleneck in efficient and cost-effective biomass saccharification for bioethanol
70 production is the natural recalcitrance of plant cell walls to enzymatic hydrolysis (Rubin et
71 al., 2007). The most obvious way to reduce biomass recalcitrance is through genetic
72 improvement of trees for wood chemical composition. Poplar breeding for bioenergy can take
73 advantage of past improvements in growth and disease resistance. However, current poplar
74 clonal varieties have not been selected and bred for the qualitative characteristics of the
75 biomass. Thus, there is a need to explore the potential for improvement of cell wall
76 composition to release fermentable sugars and subsequently integrate biorefinery related
77 selection criteria into poplar tree breeding programs. More specifically, development of
78 dedicated bioenergy poplar for future biorefineries requires an understanding of the genetic
79 architecture (extent of genetic variation and covariation, degree of genetic control, underlying
80 polymorphisms/alleles) of both biomass production and biomass composition. This, in turn,
81 accelerates the selection or development of new clones that produce high biomass yields,
82 which are more amenable to bioconversion.

83 A recent approach to dissect the genetic architecture of “hard-to-measure” complex traits,
84 such as lignocellulosic biomass quality, is to combine high-throughput phenotyping and
85 genomics (Yang et al., 2014). The discovery and analysis of genetic information have been
86 facilitated by the advances in high-throughput sequencing and genotyping platforms together
87 with the availability of reference genome sequences for model forest tree species (Neale and
88 Kremer, 2011). However, high-throughput phenotyping is lagging behind genomics (Araus

89 and Cairns, 2014). Standard methods, such as wet chemistry, used for assessing the chemical
90 composition of wood are costly and low-throughput, which limit their use for assaying of
91 large number of samples as required in genetic studies and breeding programs. As a
92 consequence, the genetic analysis and genetic improvement of cell wall composition may be
93 hindered.

94 Near-infrared (NIR) spectroscopy is a high-throughput technology ~~for that can be applied~~
95 towards the rapid characterization of a large number of lignocellulosic biomass samples with
96 minimal cost. It is an indirect method based on multivariate statistical analysis to establish
97 relationship between NIR ~~absorption-absorbance~~ spectra and reference values of properties of
98 interest using a representative sample set. NIR spectroscopy has been successfully used to
99 predict wood chemical traits in many forest tree species (Tsuchikawa and Kobori, 2015),
100 including *Populus* (Robinson and Mansfield, 2009; Zhang et al., 2014; Zhou et al., 2011),
101 *Eucalyptus* (Alves et al., 2012, 2011; Baillères et al., 2002; Poke and Raymond, 2006;
102 Raymond and Schimleck, 2002), and *Pinus* (Alves et al., 2006; Jiang et al., 2014;
103 Schwanninger et al., 2011; Schwanninger and Hinterstoisser, 2011). Indeed, some studies
104 have utilized NIR predictions for estimating genetic parameters of wood properties, mainly in
105 *Pinus* (Da Silva Perez et al., 2007; Gaspar et al., 2011; Isik et al., 2011) and *Eucalyptus*
106 (Costa e Silva et al., 2008; Hamilton et al., 2009; Kube et al., 2001; Poke et al., 2006;
107 Raymond et al., 2001; Raymond and Schimleck, 2002; Schimleck et al., 2004; Stackpole et
108 al., 2011, 2010).

109 To our knowledge, the evaluation of calibration models covering standing genetic variation
110 available in natural and breeding populations of poplar is limited. NIR calibration is useful in
111 genetic studies and selection/breeding activities because such applications require assessment
112 of phenotypes in a large number of samples collected in multi-site environments. In this
113 context, development of calibrations mainly depends on the range of variation of the traits of

114 interest within and across environments. For poplars, this range may be defined not only by
115 the genetic composition of the study population but also by the environmental conditions of
116 the plantation site, short rotation coppice (SRC) management and the age of the tree at
117 sampling time. The purpose of this study was to develop NIR calibration models to predict
118 wood chemical properties, with the aim of applying the predictions to evaluate their genetic
119 variability in natural populations of European black poplar covering the range of the species
120 in Western Europe. Also, the resulting calibrations could be used for rapid screening of elite
121 *P. nigra* clones from natural populations to be used in breeding programs. More specifically,
122 this paper addresses the following objectives: (1) to develop and evaluate calibrations for
123 predicting phenotypes of wood chemical traits in a large sample size ($n = 5,799$) based on
124 NIR spectra, (2) to estimate genetic variation in wood chemical properties of young trees and
125 the degree of their genetic control, and (3) to quantify the magnitude and investigate the
126 nature of genotype \times environment ($G \times E$) interaction of the same traits measured across
127 coppice rotations as well as across sites.

128 **2. Materials and Methods**

129 *2.1. Wood samples and sample preparation*

130 Clonally replicated trials of a *P. nigra* association population were established in 2008 at two
131 contrasting sites located in central France (Orléans, ORL) and northern Italy (Savigliano,
132 SAV) under a SRC system. At each site, a randomized complete block design (RCBD) was
133 used, with a single tree per block and six replicates per genotype. The *P. nigra* population
134 assayed in this study represent the natural range of the species in Western Europe, as it was
135 composed of a diverse set of 1,160 cloned genotypes (hereafter, each cloned genotype
136 referred to as genotype) sampled in 14 natural metapopulations across 11 river catchments of
137 four European countries (Table 1). More details concerning the experimental design, site

138 characteristics (soil, climate) and plantation management practices can be found in Guet et al.
139 (2015).

140 **[insert Table 1 here]**

141 For the analysis of wood chemical properties, a total of 5,799 wood samples were taken at 1
142 m above the ground from 2-yr-old trees in three different harvests (rotations/sites): (i) 289
143 genotypes in 3 blocks resulting in 795 samples harvested in ORL in March, 2010 (end of first
144 coppice cycle, 2008-2009) (hereafter referred to as ORL2010); (ii) 1,066 genotypes in 3
145 blocks resulting in 2,805 samples harvested in ORL in February, 2012 (end of second coppice
146 cycle, 2010-2011) (hereafter referred to as ORL2012); and (iii) 777 genotypes in 3 blocks
147 resulting in 2,199 samples harvested in SAV in January, 2011(end of second coppice cycle,
148 2009-2010) (hereafter referred to as SAV2011). Circumference at 1m was measured on all
149 trees of the two sites just before harvest. For each harvest, the final number of biological
150 replicates per genotype ranged between 2 and 3 because of mortality. The samples collected
151 in ORL in 2010 and 2012 have been harvested during two successive 2-yr rotations of the
152 same stool. The wood samples were oven dried at 30°C for several days until a constant
153 weight was reached, shredded into small pieces with a big cutter and milled using RETSCH
154 SM 2000 cutting mills (SM2000, Retsch, Haan, Germany) to pass through a 1 mm metal
155 sieve in order to get biomass powders onto which NIR spectra were collected. The wood
156 samples were not debarked and both NIR measurements and biochemical analysis were made
157 on non-debarked wood samples.

158 *2.2. NIR spectra collection, pretreatment and selection of reference samples*

159 Once established, NIR calibration models can be an inexpensive and high-throughput method
160 for accurate estimation of wood chemical properties. However, their initial development
161 involves several steps, including spectral data collection, spectral data pretreatment, selection
162 and analysis of reference samples, application of a multivariate calibration method, model

163 selection and model validation. The NIR spectra of 5,799 wood powder samples were
164 measured with a spectrometer Spectrum 400 (Perkin Elmer, Waltham, MA, USA) over 45
165 days between the end of April 2015 and the beginning of July 2015. Prior to analysis,
166 samples were stabilized in a climatized chamber (20-%RH) at 24°C for a minimum of 1 day.
167 Samples in quartz cups were placed in a rotating device above the integration sphere window
168 and spectra acquired in a temperature controlled room (24°C). All measurements were done
169 in diffuse-reflectance mode and the obtained spectra were computed as Log (1/R) and
170 expressed in absorbance. The scanning range for all samples was from 10,000 cm⁻¹ to 4,000
171 cm⁻¹ (1,000-2,500 nm) with a spectral resolution of 8 cm⁻¹ and a zero filling factor of 4
172 resulting in a number of data points at every 2 cm⁻¹. For each wood sample, 64 scans were
173 acquired and averaged. Background was carried out regularly using Spectralon® as reference.
174 Undesirable sources that likely affect the quality of spectral data include sample moisture
175 content, particle size, temperature and humidity of the spectrometer laboratory, batch effects
176 (e.g., date of spectral data collection) and so on. Before applying multivariate analysis
177 methods such as partial least squares (PLS) regression, it is important to reduce or remove
178 undesired variations in the recorded sample spectra to reduce noise and enhance calibrations.
179 For this reason, several common spectral pretreatment techniques (normalization, detrend,
180 first and second derivatives on raw or normalized spectra) were applied to the raw spectra for
181 comparisons or to find the best combination. Absorption spectra were first restricted to the
182 wavenumber range of 8,000-4,000 cm⁻¹ since spectra recorded within 10,000-8,000 cm⁻¹ has
183 mainly noise. For illustration, plot of raw spectra of wood powder samples from ORL2012
184 harvest is shown in Figure S1. The spectra pretreatment was performed with R software (R
185 Core Team, 2015). The R packages *prospectr* (Ruedin, 2013) and *signal* (signal developers,
186 2013) were used to perform detrend and derivations, respectively. Since spectral data
187 pretreatment can improve exploratory analysis, principal component analysis (PCA) was

188 performed on the resulting 7 spectra modalities (raw, pretreated) to explore the data for
189 potential outlying spectra and clustering of the samples according to genotypes, date of
190 spectral data collection, temperature and humidity of the spectrometer laboratory and
191 operators (not shown). In this initial exploratory analysis, no samples were removed as
192 outliers.

193 Careful selection of representative samples (reference samples) is a prerequisite to develop
194 NIR spectra based calibrations. We chose to select 120 reference samples based on spectral
195 data because the NIR spectra basically contains information about several properties of a
196 wood sample for which the calibration is carried out. These samples should therefore be
197 selected in order to represent most of the spectral variation of a large population of wood
198 samples ($n = 5,799$) collected from a multi-environment experiment. Also, the reference
199 samples should best represent the sources of variation likely to occur in future samples such
200 as plantation site, coppice rotation and genotype, which could enhance the robustness of the
201 resulting calibrations. To do so, we first calculated the mean spectrum for each genotype
202 within harvest. PCA was then performed on the resulting genotypic spectra across all
203 harvests. The results obtained provided two types of information. First, compared to other
204 spectra modalities, first derivative spectra (first derivative on raw spectra) showed a more
205 uniform distribution of the genotypic spectra on the first 2 PCs (Figure S2) and were thus
206 chosen to be used for the selection of reference samples. Second, the genotypic spectra
207 showed clear clusters in the space of the first 2 PCs according to harvests (Figure S2). We
208 thus decided to select an equal number of genotypes from each harvest to constitute the
209 reference sample set. Euclidean distances were computed between the genotypic spectra
210 within harvests. Subsequently, a representative subset of genotypes was selected within each
211 harvest following the Kennard-Stone algorithm which allows to select samples with a
212 uniform distribution over the predictor space (Kennard and Stone, 1969). A total of 45

213 genotypes (i.e., 14-16 genotypes per harvest) were selected in order to reach a total of 120
214 samples when considering the 2 to 3 biological replicates of each genotype in each harvest.

215 2.3. Wood chemical analysis of reference samples

216 This section is described in detail in Supplementary Information Text (*SI Text*). The 120
217 selected samples were analyzed for chemical composition following standard analytical
218 methods (wet chemical analysis, HPLC, analytical pyrolysis) to generate reference values
219 used to develop dedicated calibrations to predict wood chemical traits in all the samples (n =
220 5,799). Wood chemical traits included: (i) extractives content; (ii) lignin content (Klason
221 lignin, acid-soluble lignin); and (iii) the content of the two most abundant cell wall sugars
222 (glucose, xylose)-~~content~~. Analytical pyrolysis was used to assess lignin composition [relative
223 proportion of p-hydroxyphenyl (H), guaiacyl (G) and syringyl (S) units] according to
224 Rodrigues et al. (2001, 1999) and Alves et al. (2006). Except for analytical pyrolysis, at least
225 two technical replicates were performed per sample. For analytical pyrolysis, technical
226 replicates were done only for a few samples to estimate the root mean square error (RMSE)
227 of the method for further comparison with the RMSE of the corresponding NIR calibration.

228 2.4. Development of NIR calibration models using partial least squares (PLS) regression

229 R software was used for PLS regression model development (R Core Team, 2015). To
230 perform the calibrations, we used the R package *pls* (Mevik and Wehrens, 2007). Various
231 home-made functions were also used to carry out the calibrations with PLS regression in a
232 cross-validation scheme with an optional detection of potential outlier observations.
233 Moreover, the function "carspls_LOO" was used for automatically selecting a subset of
234 wavenumbers to be included in the PLS regression as proposed by Li et al. (2009). The
235 selection is based on an iterative exclusion of wavenumbers according to their weight in a
236 PLS regression and following an exponential decreasing function. Consequently, the selected

237 wavenumbers are specific to the trait being calibrated. More details about this method are
238 given in Li et al. (2009).

239 Prior to a final calibration step, we detected potential outlying observations within the 120
240 reference samples using either box-and-whisker plots or *P-value* thresholds of z-tests on the
241 cross-validation residuals of PLS calibrations. The final calibration step involved splitting of
242 the 120 reference samples into a calibration set (n = 99, ~ 5/6) and a validation set (n = 21, ~
243 1/6) using Kennard-Stone algorithm (Kennard and Stone, 1969) per harvest on first derivative
244 spectra. Next, outliers detected in the previous step were removed from both calibration and
245 validation data sets. The resulting calibration set was then used to build the model with a
246 leave-one-out (LOO) cross-validation with or without automatic wavenumber selection using
247 the CARS algorithm (Li et al., 2009). The optimal number of components in the PLS
248 regression model was optimized within the cross-validation using Wold's criterion (Li et al.,
249 2002), which was set up at 1. The following statistics were calculated for each model both
250 within the training (cross-validation) and validation sets:

251 - The coefficient of determination defined as $R^2 = 1 - (RSS/TSS)$, where RSS is the
252 residual sum of squares (sum of squares of differences between observed and
253 predicted values), and TSS is the total sum of squares (sum of squares of differences
254 between observations and their mean):

255 - The root mean square error defined as $RMSE = \sqrt{(RSS/n)}$, where RSS is defined as
256 above and n is the number of observations;

257 - The ratio of prediction to standard deviation defined as $RPD = SD/RMSE$, where SD
258 is the standard deviation of the observations, and RMSE is defined as above.

259 The models with best statistics were selected and, when validated, ~~validated (Table 2).~~
260 ~~Finally, the models were~~ used to predict all samples (n = 5,799) included in the study.

2.5. Estimation of genetic parameters for NIR-predicted wood chemical traits

The NIR-predicted wood chemical traits were all approximately normally distributed and data transformations were not considered necessary prior to genetic analysis. In order to estimate variance components of traits, linear mixed models (Henderson, 1984) involving spatial effects were fitted using breedR package (Muñoz and Sanchez, 2015) in software R for the analysis of all predicted traits within harvests. Both block and spatial effects account for the environmental variation within the experimental field. Block effects account for global field variations, while spatial effects capture the environmental heterogeneity not accounted by the block effects because of the relatively large size of each block. Furthermore, spectra data have been collected according to the ordered field positions of the trees. So spectra collection date is likely to contribute to the so called spatial variation revealed by the variograms. Accounting for the date effect could help to interpret the spatial effects, if necessary.

For each of the traits, the following ~~spatial~~-mixed model was fitted:

$$y = X\beta + Zu + Rb + Nd + \cancel{Cs} + e \quad (1)$$

where y is a vector of individual tree data for a predicted wood chemical trait, β is a vector of fixed effects (over all mean or intercept), u is a vector of random effects of genotypes (genetic effects of genotypes or genotypic values), b is a vector of random effects of blocks, d is a vector of random effects of the dates of NIR spectra collection, ~~s is a vector of random spatial effects~~ and e is a vector of ~~random effects of spatially independent~~ residuals. X , Z , R , ~~and N , and C~~ are known incidence matrices relating the observations to the fixed effects in vector β and random effects in vectors u , b , ~~and d , and s~~ , respectively, assuming $u \sim N(0, \sigma_G^2 I)$, $b \sim N(0, \sigma_b^2 I)$, $d \sim N(0, \sigma_d^2 I)$, $s \sim N(0, \sigma_s^2 H)$, $e \sim N(0, \frac{\sigma_e^2}{e} IR)$, where σ_G^2 is the genotypic variance, σ_b^2 is the block variance, σ_d^2 is the date variance, ~~R is the residual covariance matrix~~ $\frac{\sigma_s^2}{s}$ is the spatial residual variance, $\frac{\sigma_e^2}{e}$ is the spatially independent residual

286 ~~variance~~, and I is an identity matrix. A spatial residual structure was implemented in order to
287 decompose e into. ~~For the~~ spatially dependent (ϵ) and spatially independent (y) residuals, ~~a~~
288 ~~covariance structure H that assumes separable first-order autoregressive processes in rows~~
289 ~~and columns was used as described in (Dutkowski et al., (2002)), leading to the following~~
290 decomposition of R :

$$291 R = \sigma_{\epsilon}^2 [AR1(\rho_{col}) \quad AR1(\rho_{row})] + \sigma_y^2 I \quad (2)$$

292 where σ_{ϵ}^2 is the spatially dependent residual variance, σ_y^2 is the spatially independent residual
293 variance, I is an identity matrix and $AR1(\rho)$ is a first-order autoregressive correlation matrix.

294 The ~~spatial~~-mixed model described in model 1 was compared with a model without
295 decomposition of the residual term into spatially dependent and independent effects based on
296 the Akaike information criterion (AIC) and was found to have a lower AIC (i.e., better
297 performance) in all data sets (i.e., ORL2012 and SAV2011 harvests) for all predicted
298 phenotypes. However, spatial trends were not modelled for ORL2010 harvest because the
299 number of genotypes per harvested block was not large enough to capture the within block
300 spatial variation. Moreover, the level of sampling within each block induced heterogeneity in
301 the spatial distribution of the corresponding samples, so estimation of spatial effects over the
302 trial could be biased.

303 Within each harvest and for each phenotype, reduced models (dropping block or spectra
304 collection date effect) were also fitted and compared to the corresponding full models based
305 on the AIC. Finally, the model yielding the best fit (lowest AIC) was selected for variance
306 component estimation and to adjust the phenotype for non-genetic random effects (block,
307 date, spatially dependent residuals).

308 Variance components from the selected mixed model were further used to estimate broad-
309 sense heritabilities of the NIR-predicted wood chemical traits within harvests. Individual tree
310 broad-sense heritability (H_1^2) was calculated using the following equation:

311311 |
$$H_i^2 = \frac{\sigma_G^2}{\sigma_G^2 + \sigma_e^2} \quad (23)$$

312 | where σ_G^2 and σ_e^2 are the genotypic and residual variance components, respectively. Clonal

313 | mean broad-sense heritability or clonal repeatability (H_c^2) was calculated as:

314314 |
$$H_c^2 = \frac{\sigma_G^2}{\sigma_G^2 + \sigma_e^2/r} \quad (34)$$

315 | where r is the average number of replicates per genotype for a trait under consideration for a

316 | given harvest. Standard errors for heritability were calculated using the Delta method (Lynch,

317 | M, Walsh, 1998). Standard errors were multiplied by 1.96 to construct the 95-% confidence

318 | interval (CI) for heritability.

319 | Finally, we used genotypes shared between coppice rotations or sites for fair comparisons of

320 | genetic parameter estimates and for assessing stability of genetic parameters between

321 | rotations as well as between sites or characterizing G × E interaction. A set of 289 genotypes

322 | were shared between rotations within Orleans' trial (ORL2010 vs ORL2012 harvests), while

323 | 683 genotypes were shared between sites (ORL2012 vs SAV2011 harvests).

324 | For analysis within harvests based on shared genotypes, the following model was fit:

325 |
$$y = X\beta + Zu + e \quad (45)$$

326 | Where y is a vector of individual tree data for a predicted wood chemical trait that was

327 | adjusted for block, date and spatially dependent effects with the previously selected spatial

328 | mixed model (model 1) and the remaining parameters were assigned as described in model 1.

329 | In order to test and evaluate the extent of G × E interaction across rotations and sites, the

330 | following G × E mixed model was fitted:

331 |
$$y = X\beta + Zu + Mp + e \quad (56)$$

332 | where y is a vector of individual tree data for a predicted wood chemical trait that was

333 | adjusted for block, date and spatially dependent effects with model 1, β is a vector of fixed

334 effects (over all mean and rotations or sites), p is a vector of random effects of $G \times E$
335 interaction. X , Z and M are incidence matrices relating the observations to the fixed effects in
336 vector β and random effects in vectors u and p , respectively, assuming, $p \sim N(0, \sigma_{G \times E}^2 I)$,
337 where $\sigma_{G \times E}^2$ is the $G \times E$ interaction variance. The remaining parameters were assigned as
338 described in model 1. Likelihood ratio tests (LRT) between the full model and a reduced
339 model without $G \times E$ interaction effect were performed to test the significance of $G \times E$
340 interaction effect. Correlations between adjusted genotype means were also estimated using
341 the Spearman's rank correlation to further characterize the stability of genotype means
342 between rotations or sites. Finally, when the extent of $G \times E$ variance was found to be
343 ~~relatively large by comparison to the between genotypes variance~~ more than 50% of the
344 genotypic variance (Shelbourne, 1972), a further decomposition of the $G \times E$ interaction was
345 carried out following method 1 of Muir et al. (1992). Such decomposition enables to partition
346 the $G \times E$ sum of squares into scaling effect and genotype rank change.

347 3. Results

348 3.1. Variability in wood chemical properties of reference samples

349 The descriptive statistics for traits analyzed in the laboratory of the 120 reference samples are
350 presented in Table 2. The range of variation in most of the traits analyzed was considerable,
351 and provided the potential to develop reliable calibrations. For example, Klason lignin
352 content ranged from 16.8-% to 26.5-%, whereas glucose content ranged from 30.6-% to 50.3
353 %. Overall, the range of wood chemical traits within our reference data set was 5 to 21 times
354 the RMSE of the standard methods, making this reference data set acceptable for building
355 near-infrared multivariate calibration models (Table 2).

356 **[insert Table 2 here]**

357 3.2. Calibration, validation and prediction

358 The absorption spectra modalities (with or without pretreatment) and reference values of the
359 reference samples were used to develop NIR calibration models at a global scale for a
360 majority of the traits, except for lignin contents, where site specific models showed higher
361 predictive performance than the global ones (Table 3). The reference values in the calibration
362 and validation data sets for the wood chemical traits of black poplar were comparable (i.e.,
363 had similar means and ranges), which means that reliable models can be developed and
364 effectively verified (Figure S3).

365 Summary statistics that demonstrate the performance of the models in calibration and
366 validation data sets are reported in Table 3 and plots of the predicted versus measured
367 component values for selected calibrations are shown in Figure S4. Pretreated spectral data
368 provided better calibrations than raw spectra. Automatic wavenumber selection improved
369 model performance, for some of the traits, compared with full range.

370 Global calibration models developed for the prediction of H-lignin, lignin H/G and S/G
371 ratios, xylose/glucose, C5/C6 and extractives were good, with coefficients of determination
372 (R^2) ranging from 0.75-0.91 and 0.72-0.83 in calibration and validation data sets, respectively
373 (Table 3, Figure S4). The R^2 values for calibration and validation sets of glucose were 0.76
374 and 0.64, respectively. The models for G-lignin and S-lignin had moderate performance in
375 cross-validation ($R^2_{cv} = 0.68$ and 0.64 , respectively), while the model for S-lignin showed a
376 higher accuracy of prediction ($R^2_{val} = 0.77$). The model for xylose showed inadequate fit in
377 the calibration data set ($R^2_{cv} = 0.48$) as well as a poor prediction performance in the
378 validation data set ($R^2_{val} = 0.29$).

379 On the other hand, global models for lignin content (Klason lignin, Py-lignin and acid-soluble
380 lignin) were very specific (i.e., they were good in predicting samples included in the models
381 but very poor in predicting samples of an independent validation set) (Table 3). Therefore, we
382 followed the site specific approach to model these characteristics (Table 3, Figure S4). For

383 Klason lignin, the model developed for Orleans site ($R^2_{cv} = 0.78$, $R^2_{val} = 0.60$) had a better fit,
384 whereas good models for Py-lignin and acid-soluble lignin were obtained at Savigliano (R^2_{cv}
385 $= 0.79$, $R^2_{val} = 0.73$ and $R^2_{cv} = 0.77$, $R^2_{val} = 0.79$, respectively).

386 With the exception of Klason lignin, Py-lignin, acid-soluble lignin and xylose global models,
387 the remaining global models described in Table 3 and Figure S4 were used to predict wood
388 chemical properties for the entire sample set ($n = 5,799$) to study ~~phenotypic the~~ variability,
389 degree of genetic control and $G \times E$ interaction. Klason lignin at Orleans and Py-lignin and
390 acid-soluble lignin at Savigliano were predicted with the site specific models because of the
391 poor prediction performance of their corresponding global models. On the other hand, Klason
392 lignin at Savigliano and Py-lignin and acid-soluble lignin at Orleans were not predicted since
393 their corresponding site specific models had poor performances, especially in validation sets.
394 Xylose was not predicted since the quality of the model was considered poor as mentioned
395 before.

396 Boxplots of the distributions of NIR-predicted wood chemical traits (without adjustment for
397 micro-environmental effects) are presented in Figure S5. The range of phenotypic variation in
398 most predicted wood traits was considerable. For example, the predicted Klason lignin
399 content ranged from 16.1-% to 27.9-%, whereas predicted glucose content ranged between
400 30.2-% and 49.7-%. All the predicted values were in line with the results obtained for the
401 reference data set (i.e., they were pretty much close to the limits or within the range of
402 variation observed for the reference data set) (Table 2). Moreover, based on comparisons of
403 the RMSE of the models (Table 3) to the RMSE of the standard methods (Table 2), the
404 uncertainties associated with the predictions can be regarded as acceptable. It is worth
405 mentioning, however, that the predicted S/G values (0.69-1.43) didn't fall within the range of
406 values reported for other populations of *P. nigra* (1.3-2.1) (Guerra et al., 2013) and *P.*
407 *trichocarpa* (1.5-2.4) (Guerra et al., 2016) despite variations of almost the same magnitude.

408

[insert Table 3 here]

409 3.3. Variance components and broad-sense heritability of wood chemical traits within
410 harvests ~~using shared genotypes~~

411 Due to some unbalance in genotype representation across harvests, the genetic analysis of
412 individual harvests using only the shared genotypes was done to ensure fair comparisons of
413 genetic parameters for the same traits. Thus, a set of 289 genotypes were shared between
414 ORL2010 and ORL2012 harvests (i.e., between coppice rotations), while 683 genotypes were
415 shared between ORL2012 and SAV2011 harvests (i.e., between sites).

416 Based on analysis using 289 genotypes, high clonal repeatability (H_c^2) values were found for
417 lignin monomers (H, G, S) (0.74 ± 0.05 to 0.81 ± 0.04), lignin composition (H/G, S/G) (0.75
418 ± 0.05 to 0.81 ± 0.04), Klason lignin (0.75 ± 0.05 to 0.80 ± 0.04) and cell wall sugars ($0.72 \pm$
419 0.06 to 0.80 ± 0.04) in the 2 rotations (Figure 1, Table S1). The exception was extractives
420 content, for which the H_c^2 values were moderate to high (0.57 ± 0.09 to 0.72 ± 0.06). Using
421 683 genotypes, high H_c^2 values were found for all traits except extractives, namely, lignin
422 monomers (0.74 ± 0.04 to 0.88 ± 0.02), lignin composition (0.77 ± 0.03 to 0.89 ± 0.01) and
423 cell wall sugars (0.70 ± 0.04 to 0.81 ± 0.02) in the two sites (Figure 2, Table S2). For
424 extractives, the H_c^2 values were moderately high (0.62 ± 0.05 to 0.70 ± 0.04). At Savigliano,
425 where trees grew more rapidly, the genetic control over all the chemical traits was generally
426 stronger with the exception of C5/C6. For example, H_c^2 for lignin S/G ratio was higher (0.89
427 ± 0.01) at Savigliano compared to $H_c^2 = 0.77 \pm 0.03$ at Orleans. These differences in
428 heritability of the same traits between the two sites can be explained by scale effects (i.e.,
429 both increased expression of genetic variation and decreased residual variation at Savigliano
430 as compared to Orleans) (Table S2). In comparison to site, rotation effects on H_c^2 were rather
431 low for all traits with the exception of extractives, suggesting differences in magnitude of G \times
432 E interaction between rotations and sites. For extractives content, H_c^2 varied more between

433 rotations than between sites. Next, in order to reflect the genetic variation in wood traits that
434 could be present in the entire population, we repeated the genetic analysis using all genotypes
435 available in each harvest.

436 **[insert Figure 1 & Figure 2 here]**

437 ~~3.4. Variance components and broad sense heritability of wood chemical traits within~~
438 ~~harvests using all genotypes~~

439 To estimate genetic parameters for the NIR-predicted wood chemical traits for each single
440 harvest using all genotypes available, data were analyzed with a full mixed model accounting
441 for spatial effect. ~~Variance components, their standard errors and heritability (i.e., individual~~
442 ~~tree broad sense heritability and clonal mean broad sense heritability together with their 95 %~~
443 ~~confidence intervals) estimates for NIR predicted wood chemical traits for the three harvests~~
444 ~~using all genotypes are provided in Table S3. High clonal repeatability values were found for~~
445 ~~lignin monomers (0.72 ± 0.03 to 0.88 ± 0.02), lignin composition (0.75 ± 0.05 to $0.88 \pm$~~
446 ~~0.02), xylose/glucose (0.72 ± 0.06 to 0.79 ± 0.03) and C5/C6 (0.72 ± 0.03 to 0.80 ± 0.04) in 3~~
447 ~~harvests (Table S3). A moderate to high H^2_c values were obtained for extractives (0.61 ± 0.04~~
448 ~~to 0.72 ± 0.06) and glucose (0.67 ± 0.04 to 0.76 ± 0.03) in 3 harvests. Analyses of variation in~~
449 ~~lignin contents involved either of the two sites. The clonal repeatability for Klason lignin was~~
450 ~~high and varied from $H^2_c = 0.72 \pm 0.03$ to $H^2_c = 0.80 \pm 0.04$ in 2 harvests at Orleans~~
451 ~~(ORL2012 and ORL2010, respectively). At Savigliano, Py lignin and acid soluble lignin had~~
452 ~~high clonal repeatability values, with $H^2_c = 0.70 \pm 0.04$ and $H^2_c = 0.82 \pm 0.02$, respectively.~~
453 ~~The precision of broad sense heritability estimates both at individual tree and clonal mean~~
454 ~~levels in this study was generally high, with two sided confidence intervals (95 %) ranging~~
455 ~~from 0.01 (S/G ratio at Savigliano) to 0.09 (extractives for ORL2012 harvest) (Table S1-S2).~~
456 Overall, the clonal repeatability estimates were highly comparable between the two analyses,
457 i.e., all genotypes versus shared genotypes (Figure 1-2, Table S1-S3). We conclude that the

458 genotypes shared between the harvests were adequate enough to capture the genetic variation
459 existing in the entire population. This is interesting because we would not miss important
460 information when analysing the $G \times E$ interaction both across rotations and sites using the
461 shared genotypes.

462 ~~3.5.3.4.~~ Genotype \times environment ($G \times E$) interaction effect on wood chemical traits

463 ~~using shared genotypes~~

464 To assess the stability of genetic parameters or characterize $G \times E$ interaction for the NIR-
465 predicted wood chemical traits, genotypes shared between rotations (ORL2010 vs ORL2012
466 harvests) or between sites (ORL2012 vs SAV2011 harvests) were used. Two strategies were
467 adopted to assess $G \times E$ interaction including estimation of variance components and
468 correlation between environments.

469 Combined mixed model analysis of variance of 289 genotypes evaluated across rotations at
470 Orleans showed that the $G \times E$ interaction effect was significant (LRT P -values < 0.001 ,
471 0.01) for all traits, except for H-lignin and lignin H/G ratio (Table S4). However, the
472 magnitude of the $G \times E$ interaction variance was rather low, compared to the genotypic
473 variance component for all traits. The $G \times E$ interaction variance component explained only
474 1-18-% of the total phenotypic variance, whereas the genotype main effect accounted for 30-
475 53-% (Figure 3, Table S4). Based on the 683 genotypes tested across sites, for all traits highly
476 significant (LRT P -value < 0.001) $G \times E$ interaction was found ~~for all traits (Table S5)~~ and
477 the GxE variance reached more than 50% of the corresponding genetic variance, ~~with the~~
478 ~~exception for extractives and glucose, it explained 19-27 % of the total variation, whereas the~~
479 ~~genotype main effect accounted for 34-43 %~~ (Figure 4, Table S5). Importantly, for glucose
480 and extractives, the $G \times E$ interaction variance component (27-% and 26-%, respectively) was
481 even larger than the genetic variance component (24-% and 14-%, respectively) and this was
482 somewhat mirrored in the relative values of the clonal repeatability, especially for extractives.

483 Compared to extractives, glucose content is a key wood chemical trait in term of bioethanol
484 production. During bioethanol production, glucose is released from cellulose in the plant cell
485 walls via enzymatic hydrolysis of lignocellulosic biomass and could then be converted into
486 bioethanol via fermentation. To assess if the observed $G \times E$ interaction for glucose in
487 particular and all biochemical traits ~~extractives~~ in general would have practical implications
488 for poplar tree breeding for bioethanol production, it is noteworthy to further decompose the
489 corresponding interaction variance components. Because the $G \times E$ interaction was in general
490 more evident over sites than over rotations for all traits, we sought to zoom into the nature of
491 the $G \times E$ interaction across sites.

492 **[insert Figure 3 & Figure 4 here]**

493 Thus, $G \times E$ interaction was dissected according to the method 1 described by Muir et al.
494 (1992). Results of the partitioning of the $G \times E$ interaction sum of squares into sources due to
495 scaling effects (heterogeneity of variances) and re-ranking indicated that the $G \times E$
496 interaction for all traits was dominated by changes in genotype ranking over the two sites
497 (Table 4). Nevertheless, it appeared that only 9-134% of the genotypes, which correspond to
498 the most interactive ones, were found to explain 50-% of the $G \times E$ interaction sum of
499 squares. Whereas the impact of $G \times E$ interaction seemed higher for glucose and extractives
500 on the basis of the relative magnitude of their variance components, the proportion of
501 interactive genotypes were found to be quite similar to those for other traits, suggesting less
502 practical importance of the observed interaction. Extractives had some level of scale effect
503 (14.9-%) and still high re-ranking (85.1-%), whereas glucose had little or no scale effect (0.23
504 %) and high re-ranking (99.77-%) (Table 4). Similarly, the genetic variances, expressed in
505 terms of genetic standard deviation (σ_G), were not similar between the two sites for
506 extractives, with higher variation at Orleans (i.e, some level of scale effect), whereas, for
507 glucose, the genetic variances were more homogeneous between Orleans and Savigliano

508 (1.24 and 1.26, respectively) (i.e., little or no scale effect). Although H-lignin and extractives
509 had similar patterns of partitioning of $G \times E$ interaction sum of squares, the Spearman's rank
510 correlation was much weaker for extractives, which was consistent with the relatively
511 stronger $G \times E$ effect on this particular trait, as shown by the ratio of σ_G^2 to $\sigma_{G \times E}^2$ (Table 4).

5125 **[insert Table 4 here]**

1
2

513 Furthermore, we assessed the stability of genotype ranking across rotations or sites on a
514 genotypic mean basis for each wood trait using Spearman's rank correlation coefficients (r_s).
515 Thus, (r_s) between the two rotations were stronger than 0.60 ($r_s = 0.64 - 0.71$) for all traits
516 except C5/C6, glucose and extractives (Table S4), which was consistent with the relatively
517 low level of $G \times E$ interaction observed across rotations for most traits (1-9%). For C5/C6,
518 glucose and extractives, the correlations were in the range of 0.48-0.50, which was consistent
519 with the relatively higher proportion of $G \times E$ interaction variances for these three traits (12-
520 18%) (Figure 3, Table S4). By contrast, the Spearman's rank correlations of genotypic
521 means between the two sites were lower than 0.60 for most of the traits ($r_s = 0.45 - 0.56$),
522 and has turned out to be much weaker for extractives ($r_s = 0.23$) and glucose ($r_s = 0.34$)
523 (Table 4), which corroborated the relatively high level of $G \times E$ interaction observed across
524 sites compared to across rotations (Figure 4, Table S5).

525 **4. Discussion**

526 A study of this scale would not have been possible using the standard method of wood
527 compositional analysis because of the high cost and time required. For example, to analyze
528 the 120 reference samples in two technical replicates using the wet chemistry method, it took
529 about two months. It means that, it would have taken around 8 years to analyze about the
530 6,000 samples included in our study. A way to circumvent such technical limitation is to use
531 an attractive technique that combines NIR spectroscopy with multivariate statistical analysis.
532 NIR spectroscopy is an inexpensive and high-throughput technique for phenotyping large-

533 scale wood samples required for the genetic analysis of biofuel related traits and,
534 consequently, it can provide the opportunity to select or develop biofuel-type poplar clones.
535 Nevertheless, NIR spectroscopy is an indirect method which is reliable only if calibration
536 models are provided. In this study, chemical composition data from standard methods and
537 NIR spectra of reference samples were used to develop and validate calibrations taking into
538 consideration the phenotypic variation induced by multi-environment evaluation. The models
539 based on a 120-samples reference set were then used to predict the composition of the 5,799
540 black poplar samples covering the range of the species in Western Europe. Using NIR
541 predictions, we evaluated their genetic variability and the extent of $G \times E$ interaction across
542 coppice rotations and sites. To our knowledge, this is the first work to evaluate large-scale
543 clonal trials of *P. nigra* for wood chemical traits using an indirect method of measurement.

544 4.1. Calibration reliability

545 When global calibration models developed for the prediction of 6 wood chemical traits (H-
546 lignin, S/G, H/G, xylose/glucose, C5/C6, extractives) in samples of European black poplar
547 were tested on an independent validation data set, they gave good fits, ~~with $R^2_{val} = 0.72-0.83$~~
548 ~~and $RPD_{val} = 2.0-2.5$ (Table 3, Figure S4)~~, suggesting their potential use in genetic analysis
549 of large data sets or for ranking of genotypes with respect to their predicted phenotypic
550 performances in initial selection steps in breeding programs. RPD values of ~ 1.5 indicate
551 that the models are acceptable as initial screening tools, whereas RPD greater than 2.5
552 suggest that the models are good for screening candidates in breeding programs (Yeh et al.,
553 2005). Although site effects were apparent on some traits such as xylose/glucose ratio, we
554 were able to develop non-site specific calibrations for such parameters.
555 By contrast, global models for lignin content (Klason lignin, Py-lignin, acid-soluble lignin)
556 showed clearly poorer performance in the validation set than in the calibration set ~~(Table 3)~~.
557 There is no obvious explanation for these apparent differences in global model performance

558 between calibration and validation sets. ~~However, it might be related to differences in bark~~
559 ~~content (bark to wood ratios) of the samples between the two sites since spectra were~~
560 ~~recorded on non-debarked wood samples in this study. Although we did not measure the~~
561 ~~samples bark content, diameter of harvested trees might indicate the bark content of the~~
562 ~~corresponding samples. The wood samples from Savigliano had larger mean circumference~~
563 ~~(172.2 mm at 1 m aboveground at harvest) than those from Orleans (45.3 mm and 58.2 mm at~~
564 ~~ORL2010 and ORL2012 harvests, respectively). Consequently, samples from Savigliano~~
565 ~~might had less bark content than those from Orleans.~~ We further examined if model fit was
566 better for site specific calibration than global ones for lignin content and found that site
567 ~~specific models were performed only in one of the two sites.~~ The Klason lignin model had a
568 good fit at Orleans, while Py-lignin and acid-soluble lignin models had good fits at
569 Savigliano, which does explain why we could not have global models for these
570 characteristics.

571 Only a few studies have investigated the efficiency of NIR calibration models for prediction
572 of poplar wood composition and these focused on hybrid poplars instead of natural
573 populations. Robinson and Mansfield (2009) used NIR spectra of 267 wild and transgenic
574 hybrid poplar samples coupled with a modified thioacidolysis protocol for predicting lignin
575 monomer proportions (S, G, and H). The authors reported highly accurate calibrations with
576 prediction R^2 values of 0.96, 0.96, and 0.71 for S, G and H, respectively. More recently, Zhou
577 et al. (2011) used Fourier transform infrared spectroscopy (FTIR) and acetyl bromide method
578 to develop a calibration model for predicting lignin content in hybrid poplar wood samples.
579 They reported a strong calibration with cross-validation R^2 of 0.81 and prediction R^2 of 0.88.
580 Our global model for H-lignin had higher prediction R^2 than the local model developed by
581 Robinson and Mansfield (2009). However, we found lower prediction R^2 values for the
582 predominant G and S lignin monomers. Compared with the lignin model developed by Zhou

583 et al. (2011), our local models for Klason lignin, Py-lignin and acid-soluble lignin had
584 slightly lower R^2 values. The differences between these previous studies and our work may
585 be largely related to differences in study population and standard laboratory methods.
586 However, ~~as mentioned before~~, in this study spectra were recorded on non-debarked and non-
587 extracted wood samples because it is practically difficult to debark and extract a large amount
588 of samples ($n = \sim 6,000$). Although the presence of bark and extractives may disturb the
589 spectra, we still attained sufficiently accurate models for a majority of the traits analysed.
590 Furthermore, the R^2 value may be misleading as it depends not only on the model error but
591 also on the range of variation of the trait of interest within or across sites. For example, in this
592 study the effect of site on the range of variation of some of the traits analyzed was quite high
593 (Figure S5). Consequently, different R^2 values can be obtained for calibrations for the same
594 trait at the two sites while still having the same prediction error. Higher R^2 values can be
595 obtained for calibrations at site that is more variable than other.

596 4.2. Variabilities, $G \times E$ interactions and broad-sense heritability of wood chemical 597 properties

598 In this study, using NIR predictions of a large number of wood samples from *P. nigra* clonal
599 trials, we assessed variabilities, $G \times E$ interaction and broad-sense heritability for wood
600 chemical traits. ~~Yet, the contents of H lignin, G lignin, S lignin, H/G, S/G, Klason lignin, Py-~~
601 ~~lignin, acid-soluble lignin, glucose, xylose/glucose, C5/C6 and extractives in wood samples~~
602 ~~of 5,799 2-yr-old trees were predicted (Figure S5) by the corresponding final NIR calibration~~
603 ~~models (Table 3).~~ The range of phenotypic variation in most NIR-predicted wood chemical
604 traits in the black poplar populations studied was substantial. Guerra et al. (2013) used
605 Pyrolysis molecular beam mass spectrometry (pyMBMS) to determine C6 sugars, total lignin
606 content and S/G ratio in wood samples of 2-yr-old trees, representing 17 open-pollinated
607 families of *P. nigra*. Porth et al. (2013) used wet laboratory approaches to determine xylose,

608 glucose, Klason lignin and acid soluble lignin in wood samples of 9-yr-old trees, representing
609 natural populations of *P. trichocarpa*. The range of variation observed for predicted glucose
610 content (30.2-49.7-%) in the present study is in accordance with that reported for C6 sugars
611 (27.7-39.7-%) by Guerra et al. (2013) and for glucose (40.7-61.7-%) by Porth et al. (2013).
612 We obtained predicted Klason lignin content (16.1-27.9-%) that is well comparable to the
613 results of total lignin content (Klason and soluble lignin) reported by Guerra et al. (2013)
614 (19.5-26.5-%) and Porth et al. (2013) (14.7-25.7-%). The range of variation of the predicted
615 lignin S/G ratio (0.69-1.43) described in this study doesn't mirror the range between 1.3 and
616 2.1 reported by Guerra et al. (2013) despite almost the same magnitude of variations, which
617 might arise from the differences in the standard methods of lignin monomers determination.
618 The effects of harvest on some of the predicted wood chemical traits are evident in Figure S5.
619 This motivated us to ask whether there is a significant influence of $G \times E$ interaction on the
620 wood chemical traits. Understanding the magnitude and nature of $G \times E$ interaction would be
621 useful for establishing breeding objectives. To estimate the importance of $G \times E$ interaction,
622 we examined variance contributions of $G \times E$ interaction for the wood traits and correlations
623 of same traits between environments based on genotype means. In this study, significant $G \times$
624 E interaction was observed across rotations as well as across the two sites for a majority of
625 the traits assessed, suggesting differential responses of genotypes to the environmental
626 conditions. The $G \times E$ interaction variance component ~~explained~~ accounted for 1-18-% a
627 lower proportion of the total variance across rotations, ~~whereas it accounted for 19-27-% than~~
628 across sites ~~(Figure 3-4, Table S4-S5). T and~~ this was consistent with the rank correlations of
629 genotype means between rotations (~~$r_s = 0.64 - 0.71$~~) and sites (~~$r_s = 0.45 - 0.56$~~) obtained
630 for most traits examined ~~(Table S4, Table 4)~~. Together, it implies that genotype ranking was
631 relatively more maintained between rotations than between sites. The observed differences in
632 the magnitude of interactions between rotations and sites were not surprising, since the clonal

633 trials were established at two contrasting sites, particularly in terms of soil fertility.
634 Savigliano is characterized by a higher soil fertility compared to Orleans (Guet et al., 2015).
635 Given the differences in edaphic factors between the trial sites, the significant $G \times E$ effect
636 revealed for wood chemical traits across sites could result indirectly from the effects of
637 edaphic factors on tree growth.

638 When the contributions of $G \times E$ interaction and genotype main effects to the total
639 phenotypic variances of predicted wood traits were compared, all the traits had a higher
640 percentage of variance due to genetic variance component, suggesting less consequences of
641 interaction in poplar tree breeding for improved wood quality. The exceptions were the
642 glucose and extractives contents across sites, for which the $G \times E$ variance components (~~27 %~~
643 ~~and 26 %, respectively~~) were larger than the genetic variance components (~~24 % and 14 %,~~
644 ~~respectively~~), which was also consistent with the relatively lower rank correlations of
645 genotype means between sites for these two traits. ~~Since glucose is one of the key wood~~
646 ~~chemical traits in term of cellulosic ethanol production, we assessed whether the observed~~
647 ~~interaction for this trait should be of concern for poplar tree breeders. For this, we partitioned~~
648 ~~the $G \times E$ sum of squares and calculated the number of genotypes contributing to 50 % of the~~
649 ~~$G \times E$ sum of squares for glucose and the other traits. The partitioning of $G \times E$ sum of~~
650 ~~squares across sites for all wood traits indicated that the interaction was mainly caused by re-~~
651 ~~ranking of a few genotypes between the two sites (Table 3), with a core set of 72 genotypes~~
652 ~~(10.5 %) out of 683 explained 50 % of $G \times E$ sum of squares for half of the traits~~
653 ~~investigated. Although the $G \times E$ interaction seemed more important for glucose and~~
654 ~~extractives on the basis of the relative magnitude of the variance components~~ Nevertheless,
655 the partitioning of the $G \times E$ sum of squares revealed that the $G \times E$ effect they were was
656 mainly caused by a few interactive genotypes as for the other traits. This suggests that the
657 interaction would have less consequences in the poplar tree breeding programs for biofuel

658 production because there exists a high possibility to identify genotypes with stable wood
659 quality across the two sites. To test this assumption, we have further computed the relative
660 loss in genetic gain that would arise when selecting the best 5% genotypes for some relevant
661 traits (S/G, H/G, glucose, xylose/glucose, C5/C6) on their genotype mean across the 2 sites
662 instead of their genotype mean within each targeted site. We found that this loss would be
663 fairly low relatively to the maximum expected gain in the two targeted sites (13.1 and 14.3%
664 on average at Orléans and Savigliano, respectively).

665 To date, only a few studies have investigated the effect of $G \times E$ interaction on wood
666 chemical properties, especially in poplars. Kačík et al. (2012) studied poplar hybrid clones
667 and reported the presence of significant clone \times site interaction for wood chemical traits
668 (lignin content, cellulose, holocellulose, extractives, S/G ratio). However, the authors did not
669 provide further information about the implications of the observed interaction for poplar tree
670 breeding for wood quality. Similarly, Zhang et al. (2015) found significant clone \times site
671 interaction for lignin content and extractives in triploid hybrid clones of *P. tomentosa*.
672 However, clone by site variance exceeded clonal variance only for holocellulose content, for
673 which the authors did not detect significant interaction, and not for lignin content or
674 extractives.

675 Consistent with the observed $G \times E$ interaction, extractives content ~~showed had~~ relatively low
676 within-site broad-sense heritability estimates in this study. Compared to the main wood
677 components, extractives content may be of less interest as a direct selection trait in poplar
678 breeding programs for biofuel production. Since chemical analysis was carried out on non-
679 debarked wood samples in the present study, we wondered if such particular pattern of
680 variation for extractives content would be somehow related to variation in bark proportion.
681 To test this hypothesis, we sought to use the diameter of the samples as a proxy of bark
682 proportion: samples with relatively large diameter are expected to have less bark, and

683 consequently, less extractives. Clearly, extractives content tended to decrease with increasing
684 tree diameter (not shown). We thus extended our $G \times E$ analyses to tree circumference at 1 m
685 aboveground at harvest in order to check if it could explain the particular pattern of variation
686 observed for extractives in comparison with the other wood chemical traits. Interestingly, we
687 found that, albeit highly significant, the $G \times E$ interaction effect accounted for much less
688 variation than the genotype main effect, resulting in G to $G \times E$ variances ratio of 3.90 and
689 1.31, as well as rank correlations between genotype means of 0.68 and 0.53 across rotations
690 and sites, respectively (Table S6). This pattern of $G \times E$ across rotations and sites was pretty
691 much consistent with the pattern observed for all wood chemical traits, but did not explain the
692 exceptionally interactive aspect of extractives. We thus conclude that, of all the traits
693 evaluated in this study, extractives content was the most interactive trait with moderate
694 heritability and we found no evidence for our hypothesis that the $G \times E$ effect on extractives
695 is confounded by $G \times E$ effect on tree circumference. This result is also supported by the fact
696 that we developed a good global calibration for extractives regardless of the differences in
697 sample bark content between the two sites.

698 We also quantified the extent of genetic variation present within the European populations of
699 black poplar in the clonal trials using the NIR predictions. Broad-sense heritability was
700 estimated at both individual tree and clonal mean levels. For clonal selectionpopulations,
701 clonal mean broad-sense heritability (clonal repeatability) is more meaningful. Genetic
702 analysis with NIR predictions revealed that the studied wood chemical traits were under
703 moderate to high genetic control,—. However, care must be taken when interpreting the
704 heritability estimates reported in the present study because they were estimated from
705 phenotypic data that had been adjusted for within-site non-genetic random effects like block,
706 date and spatially dependent residuals. Consequently, they were overestimated to an extent
707 that corresponds to an omission of non-genetic random variances in the denominator of the

708 heritability ratio when estimated from the first model (using all genotypes within each
709 harvest, as reported in Table S3). ~~with clonal repeatability varied from 0.57 ± 0.09~~
710 ~~(extractives at ORL2012) to 0.89 ± 0.01 (lignin S/G ratio at SAV2011) in the 3 harvests~~
711 ~~based on shared genotypes and an average number of 2.7-2.8 replicates per genotype (Figure~~
712 ~~1-2, Table S1-S2). Useful heritability estimates were obtained with high precision. These~~Still,
713 our results suggested that satisfactory genetic gains could be realized in wood chemical traits
714 through clonal selection using a minimum fairly low number of replicates (2.7-2.8 per
715 genotype on average) when NIR analysis is integrated in a breeding program to evaluate large
716 sets of candidate clones. In this regard, the information produced in this research could be
717 used for screening individuals with desirable traits from large-scale clonal trials as future
718 potential parent trees for hybrid breeding programs aimed at cellulosic ethanol production. A
719 general trend was observed for the studied traits in terms of clonal repeatability. Lignin
720 monomers and lignin composition had the highest values, followed by lignin contents, cell
721 wall sugars and extractives (Figure 1-2, Table S1-S2). However, the estimated clonal
722 repeatability differed more between sites than between rotations for the same traits, which
723 was in agreement with the $G \times E$ interaction results. The higher clonal repeatability estimates
724 obtained for most of the traits at Savigliano may be explained by the existence of a relatively
725 favourable growth conditions for poplar trees at this site, which resulted in both increased
726 expression of genetic variation and reduced residual variation. Savigliano could be a suitable
727 growth site to apply the clonal evaluation as it provided the genotypes relatively suitable
728 conditions for expressing their genetic potential compared to Orleans.

729 Using direct method of measurements, previous studies in *P. nigra* (Guerra et al., 2013) and
730 *P. trichocarpa* (Guerra et al., 2016; Porth et al., 2013; Wegrzyn et al., 2010) have also shown
731 that wood chemical properties are under moderate to high genetic control. For example,
732 Guerra et al. (2013) studied 17 cloned open-pollinated families of *P. nigra* and reported

733 individual broad-sense heritability (H^2_i) values of 0.46, 0.58 and 0.70 for C6 sugars, lignin
734 and S/G, respectively. In the current report, the estimated H^2_i values of 0.47 ± 0.05 - $0.55 \pm$
735 0.04 , 0.52 ± 0.07 - 0.59 ± 0.06 and 0.55 ± 0.04 - 0.75 ± 0.03 for glucose, Klason lignin and S/G,
736 respectively, compares favourably well with H^2_i values reported by these previous authors
737 (Figure 1-2, Table S1-S2). More recently, Guerra et al. (2016) studied *P. trichocarpa* clones
738 sampled in provenances and reported the clonal repeatability (H^2_c) estimates of 0.22, 0.33 and
739 0.81 for C6 sugars, lignin and S/G, respectively, with an average number of 3 biological
740 replicates per clone. In comparison with the results of S/G reported by these authors, we
741 found similar H^2_c values for S/G (0.77 ± 0.03 - 0.89 ± 0.01) (Figure 1-2, Table S1-S2). Porth et
742 al. (2013) studied the narrow-sense heritability of several wood properties in natural
743 populations of *P. trichocarpa* using molecular markers to measure relatedness and reported
744 values of 0.46, 0.66, 0.97 for glucose, Klason lignin and soluble lignin, respectively. We
745 found higher clonal repeatability for glucose (0.70 ± 0.04 - 0.77 ± 0.03) and Klason lignin
746 (0.75 ± 0.05 - 0.80 ± 0.04), but a lower value for acid-soluble lignin (0.83 ± 0.02), indicating
747 that acid-soluble lignin may be under relatively lower genetic control in *P. nigra* than *P.*
748 *trichocarpa* (Figure 1-2, Table S1-S2).

749 4.3. Adapting the NIR method to clonal trials

750 An initial step to harness the standing genetic variation in poplar is to evaluate natural
751 populations in multi-site clonal trials. This allows to study the relative importance of genetic,
752 environment and G × E interaction ~~of genotype by environment~~ on important biomass
753 production and biomass composition related traits. In parallel, screening good candidates
754 from clonal trials as future parents would increase the genetic diversity available for breeding
755 poplar trees for cellulosic ethanol production. The goal of bioenergy poplar breeding program
756 is to simultaneously improve biomass production and biomass composition. To incorporate
757 wood quality traits into breeding programs, however, tree breeders need a low-cost and high-

758 throughput techniques for determination of biomass composition. Standard methods for
759 analysis of biomass composition such as wet chemistry are useful for evaluating small sample
760 sets, but they ~~had~~have limitations to be used in tree breeding programs, where screening of a
761 large number of samples is mandatory to identify those possessing desirable traits. Standard
762 methods are laborious, costly and time consuming. An alternative way is to use NIR
763 spectroscopy coupled with multivariate statistical approaches. NIR spectroscopy is a high-
764 throughput technique for screening a large population. It is easy to operate, allows non-
765 destructive analysis, needs little sample preparation, provides reliable information, requires
766 less time and minimal cost for assessing large number of samples and captures multiple
767 features of the samples with one operation (Lupoi et al., 2014).

768 The moderate to high heritability estimates and the detection of $G \times E$ interaction in this
769 study are encouraging for NIR determination of wood chemical traits and for use in poplar
770 breeding programs for cellulosic ethanol production. Integration of NIR analysis in multi-site
771 clonal trials would allow simultaneous multi-trait evaluation and gives access to identify
772 potential trade-offs between biomass production and biomass composition, which in turn,
773 supports poplar breeding programs to better monitor multi-trait selection and exploit the large
774 variation present in natural gene pools. As a first check at the genotypic level, we have
775 computed the correlations within each harvest between growth and wood properties and
776 haven't found any adverse correlation within our dataset (Table S7). These results are
777 encouraging towards the development of performing clones dedicated to biomass and biofuel
778 production.

779 Despite its importance, optimal procedures for developing NIR calibrations for rapid
780 prediction of wood composition in multi-site poplar clonal trials are not well established. In
781 the present study, we developed NIR calibration models and successfully applied this indirect
782 method to analyze the sources and extent of variability ~~and sources of variability~~ for wood

783 chemical traits in large-scale clonal trials of *P. nigra*, which is the first work, as far as we
784 know. Finally, future work on development of new calibration models would be useful to
785 further establish the NIR calibration protocols for clonal trials. Some of the important points
786 to consider will be the number of technical replicates for the reference samples to reduce the
787 uncertainties associated with the standard methods and the number of biological replicates
788 per genotype to reach enough accuracy on a clonal basis.

789 5. Conclusions

790 From our study of wood chemical traits in clonal trials of European black poplar at two
791 contrasting sites, three important conclusions can be drawn. (1) We successfully developed
792 global and site specific NIR calibration models for predicting wood chemical traits in natural
793 populations of European black poplar with reasonable accuracy. (2) We demonstrated the
794 high throughput nature of the NIR method, by applying the calibrations to predict the wood
795 chemical composition of the 5,799 trees and by the analyses of these NIR predictions to
796 estimate trait variance components and broad-sense heritabilities. (3) We further used the
797 NIR predictions to test and evaluate the extent of $G \times E$ interaction across coppice rotations
798 within a single site as well as across sites.

799 In this study, the moderate to high heritability estimates and the detection of $G \times E$
800 interaction suggests that the NIR-based technique can efficiently be used for dissecting the
801 genetic basis of wood chemical properties in a multi-environment large-scale poplar clonal
802 trials and for screening elite individuals from such trials as future parents for interspecific
803 hybridization. Integration of such indirect method in poplar tree breeding programs would
804 allow the exploitation of standing genetic variation in poplars for developing poplar
805 genotypes that combine high biomass yield with superior wood quality for cellulosic ethanol
806 production. Furthermore, the observed moderate to strong genetic control over the NIR-
807 predicted wood chemical traits should pave the way for more detailed dissection of the

808 genetic and molecular basis of the NIR-predicted wood compositional variation through
809 molecular marker analysis of the NIR predictions. In particular, it would be useful to extend
810 such analysis to association mapping aimed at identifying individual loci controlling the
811 predicted phenotypic variation in the studied population of *P. nigra*.

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1010 **FIGURES CAPTIONS**

1011 **Figure 1.** Estimated clonal mean broad-sense heritability (clonal repeatability) (H_c^2) with
1012 error bars corresponding to the 95% confidence intervals (CIs) for NIR-predicted wood
1013 chemical traits evaluated in 2-yr-old *Populus nigra* trees grown in a clonal trial at Orleans
1014 (France). Trees grown over two successive 2-yr rotations (2008-2009, 2010-2011) of the
1015 same stool. ORL2010 and ORL2012 represent harvests from the first and second coppice
1016 rotations, respectively, within a trial at Orleans. For trait abbreviations see the caption of
1017 Table 2.

1018
1019 **Figure 2.** Estimated clonal mean broad-sense heritability (clonal repeatability) (H_c^2) with
1020 error bars corresponding to the 95% confidence intervals (CIs) for NIR-predicted wood
1021 chemical traits evaluated in 2-yr-old *Populus nigra* trees grown in clonal trials at two
1022 contrasting sites (Orleans, France; Savigliano, Italy). Trees grown over two successive 2-yr
1023 rotations at Orleans (2008-2009, 2010-2011) and 1-yr and 2-yr rotations at Savigliano (2008,
1024 2009-2010) of the same stool. Results are based on the data from the second rotations at the
1025 two sites. ORL2012 and SAV2011 represent harvests from Orleans and Savigliano,
1026 respectively. For trait abbreviations see the caption of Table 2.

1027
1028 **Figure 3.** Decomposition of total phenotypic variance for NIR-predicted wood chemical
1029 traits evaluated in 2-yr-old *Populus nigra* trees grown in a clonal trial at Orleans (France).
1030 Stacked barplot of the percentage of the total phenotypic variance explained by the genotype
1031 main effect (σ_G^2), genotype \times environment (G \times E) interaction effect ($\sigma_{G \times E}^2$) and residual
1032 effect (σ_θ^2) variance components for 10 wood chemical traits evaluated across first and
1033 second coppice rotations within a trial at Orleans (ORL2010 and ORL2012 harvests,
1034 respectively) using 289 shared genotypes. For trait abbreviations see the caption of Table 2.

1035

1036 **Figure 4.** Decomposition of total phenotypic variance for NIR-predicted wood chemical
1037 traits evaluated in 2-yr-old *Populus nigra* trees grown in clonal trials at two contrasting sites.
1038 Stacked barplot of the percentage of the total phenotypic variance explained by the genotype
1039 main effect (σ_G^2), genotype \times environment (G \times E) interaction effect ($\sigma_{G \times E}^2$) and residual
1040 effect (σ_e^2) variance components for 9 wood chemical traits evaluated across sites (Orleans
1041 and Savigliano) using 683 shared genotypes. ORL2012 and SAV2011 represent harvests
1042 from Orleans and Savigliano, respectively. For trait abbreviations see the caption of Table 2.

1043 **TABLES**

1044 **Table1.** Location, river management and number of studied genotypes in ORL and SAV for the 14 *P. nigra* metapopulations. Where metapopulations were
 1045 represented by individual trees sampled in different stands distributed along one river, a range of latitudes, longitudes and altitudes is given. Metapopulations
 1046 were ordered by country according to the latitude of origin. Altitude is expressed in metres a.s.l.

Country	River catchment	Metapopulation	Latitude	Longitude	Altitude	Cohorts ¹	River management ²	Number of studied genotypes		
								ORL	SAV	Common
France	Adour	Adour	42°53'N–43°23'N	0°02'W–00°56'W	52-902	Mature	Partially regulated	62	52	49
Italy	Basento	Basento	40°24'N–40°38'N	15°56'E–16°39'E	37-286	Juvenile/mature	Partially regulated	26	15	14
France	Dranse	Dranse	46°23'N	06°30'E	374	Juvenile/mature	Dynamic	40	42	39
France	Durance	Durance	43°51'N	04°59'E	60	Juvenile/mature	Partially regulated	14	8	1
Germany	Kuhkopf	Kuhkopf	49°49'N	08°30'E	91	Juvenile/mature	Regulated	53	46	37
France	Loire	Loire	47°00'N–47°51'N	00°44'W–02°58'E	29-154	Juvenile/mature	Dynamic	215	197	165
Netherlands	NL	NL	50°31'N–52°37'N	03°35'E–06°23'E	0-287	Mature	Regulated	47	42	37
France	Nohèdes	Nohede	42°37'N	02°17'E	820	Mature	Dynamic	43	38	35
Italy	Paglia	Paglia	42°45'N–42°52'N	11°45'E–11° 55'E	235-358	Juvenile/mature	Dynamic	47	42	41
France	Drôme	Ramieres	44°41'N–44°45'N	04°55'E–05°24'E	145	Juvenile/mature	Dynamic	178	99	91
France	Rhin	Rhin	48°16'N–48°37'N	07°41'E–07°49'E	135-160	Mature	Regulated	66	50	48
Italy	Stura	Stura	44°17'N–44°23'N	06°56'E–07°12'E	825-1699	Juvenile/mature	Dynamic	25	29	25
Italy	Ticino	Ticino	45°12'N–45°16'N	08°59'E–09°04'E	60-70	Juvenile/mature	Dynamic	103	78	62
France	Allier	ValAllier	46°24'N	03°19'E	220	Juvenile/mature	Dynamic	147	39	39

¹Juvenile trees were defined as non-reproductive trees.

²Regulated if water flows have been regulated to facilitate navigation or to prevent floods; dynamic if water flows are not regulated and allow some flooding events.

1048 **Table 2.** Descriptive statistics for lignin monomers (H, G, S), lignin composition (H/G, S/G),
 1049 lignin content (Klason lignin, Py-lignin, acid-soluble lignin), cell wall sugars (xylose,
 1050 glucose, xylose/glucose, C5/C6) and extractives analyzed by standard laboratory methods for
 1051 the 120 reference wood samples of 2-yr-old *Populus nigra* trees grown in clonal trials at two
 1052 contrasting sites. Values are based on individual trees.

1053 DW: dry weight; CWR: cell wall residue (extractives-free dry weight); RMSE: root mean
 1054 square error of the standard methods for replicate analysis.

1055

Trait	Unit	RMSE	Min.	Max.	Mean
H-lignin	% CWRLi <u>gnin</u>	0.60	2.80	11.0	5.00
G-lignin	% CWRLi <u>gnin</u>	0.84	41.20	53.10	46.40
S-lignin	% CWRLi <u>gnin</u>	1.01	39.50	54.90	48.60
H/G	fold	0.01	0.05	0.25	0.11
S/G	fold	0.03	0.86	1.34	1.06
Klason lignin	% CWR	1.61	16.80	26.5	21.50
Py-lignin	% CWR	1.49	20.20	27.00	23.10
Acid-soluble lignin	% CWR	0.32	4.60	7.00	6.10
Xylose	% CWR	1.15	13.10	18.70	15.30
Glucose	% CWR	1.87	30.60	50.30	40.40
Xylose/Glucose	fold	0.03	0.29	0.48	0.38
C5/C6	fold	1.14	17.9	29.30	23.90
Extractives	% DW	0.54	6.20	17.70	10.40

1056

1057 **Table 3.** NIR calibration models (leave-one-out cross-validation) and validation statistics for
1058 the wood chemical properties of 2-yr-old *Populus nigra* trees grown in clonal trials at two
1059 contrasting sites based on NIR spectroscopy measurements of 120 reference samples. For
1060 trait abbreviations see the caption of Table 2.

1061 nblambda: wavenumber; nbcomp: number of PLS components; R^2_{cv} : coefficient of
1062 determination of cross-validation; $RMSE_{cv}$: root mean square error of cross-validation;
1063 RPD_{cv} : ratio of performance to deviation of cross-validation; nobs: number of samples
1064 statistically analyzed; R^2_{vai} coefficient of determination of validation; $RMSE_{vai}$: root mean
1065 square error of validation; RPD_{vai} : ratio of performance to deviation of validation; norm:
1066 normalized spectra; dt: detrending spectra; der1: first derivative spectra; der2: second
1067 derivative spectra; norm-der1: first derivative on normalized spectra; norm-der2: second
1068 derivative on normalized spectra; Full range spectrum: 8,000-4,000 cm^{-1} .

1069

Trait	Model type	Calibration set (n = ~ 5/6)							Validation set (n = ~ 1/6)					
		nblambda	Pretreatment	nbcomp	R^2	RMSE	RPD	nobs	nb. outliers	R^2	RMSE	RPD	nobs	nb. outliers
					R_{cv}	$_{cv}$	$_{cv}$			R_{val}	$_{val}$	$_{val}$		
H-lignin	Global	Full range	der2	9	0.75	0.80	2.0	91	8	0.80	0.89	2.3	21	0
G-lignin	Global	29	der2	5	0.68	1.26	1.8	94	5	0.51	1.33	1.5	20	1
S-lignin	Global	Full range	norm-der2	13	0.64	1.25	1.7	90	9	0.77	1.02	2.2	20	1
H/G	Global	652	der2	8	0.82	0.02	2.4	92	7	0.83	0.02	2.5	19	2
S/G	Global	947	norm-der2	12	0.84	0.03	2.5	91	8	0.72	0.04	2.0	21	0
Klason lignin	Global	Full range	der1	5	0.61	1.17	1.6	91	8	0.27	1.44	1.2	20	1
	Site: ORL	Full range	dt	6	0.78	0.94	2.2	56	10	0.60	1.31	1.6	13	1
	Site: SAV	Full range	der2	5	0.25	1.43	1.2	33	0	-4.33	1.22	0.5	7	0
Py-lignin	Global	Full range	norm-der2	7	0.75	0.59	2.0	97	2	-0.18	0.78	1.0	21	0
	Site: ORL	Full range	norm-der2	7	0.72	0.65	1.9	65	1	-1.43	0.87	0.7	14	0
	Site: SAV	Full range	der1	8	0.79	0.39	2.2	26	7	0.73	0.35	2.1	6	1
Acid-soluble lignin	Global	Full range	der2	7	0.61	0.23	1.6	92	7	0.35	0.29	1.3	18	3
	Site: ORL	Full range	norm-der2	6	0.49	0.25	1.4	61	5	0.21	0.29	1.2	13	1
	Site: SAV	Full range	norm-der2	8	0.77	0.16	2.1	30	3	0.79	0.15	2.4	6	1
Xylose	Global	Full range	der1	6	0.48	0.73	1.4	88	11	0.29	0.85	1.2	21	0
Glucose	Global	46	norm-der1	6	0.76	1.49	2.0	94	5	0.64	1.25	1.7	18	3
Xylose/Glucose	Global	28	norm	8	0.79	0.02	2.2	90	9	0.75	0.02	2.0	20	1
C5/C6	Global	129	der2	6	0.85	0.89	2.6	91	8	0.81	1.08	2.4	21	0
Extractives	Global	326	der1	9	0.91	0.74	3.3	91	8	0.74	1.03	2.0	20	1

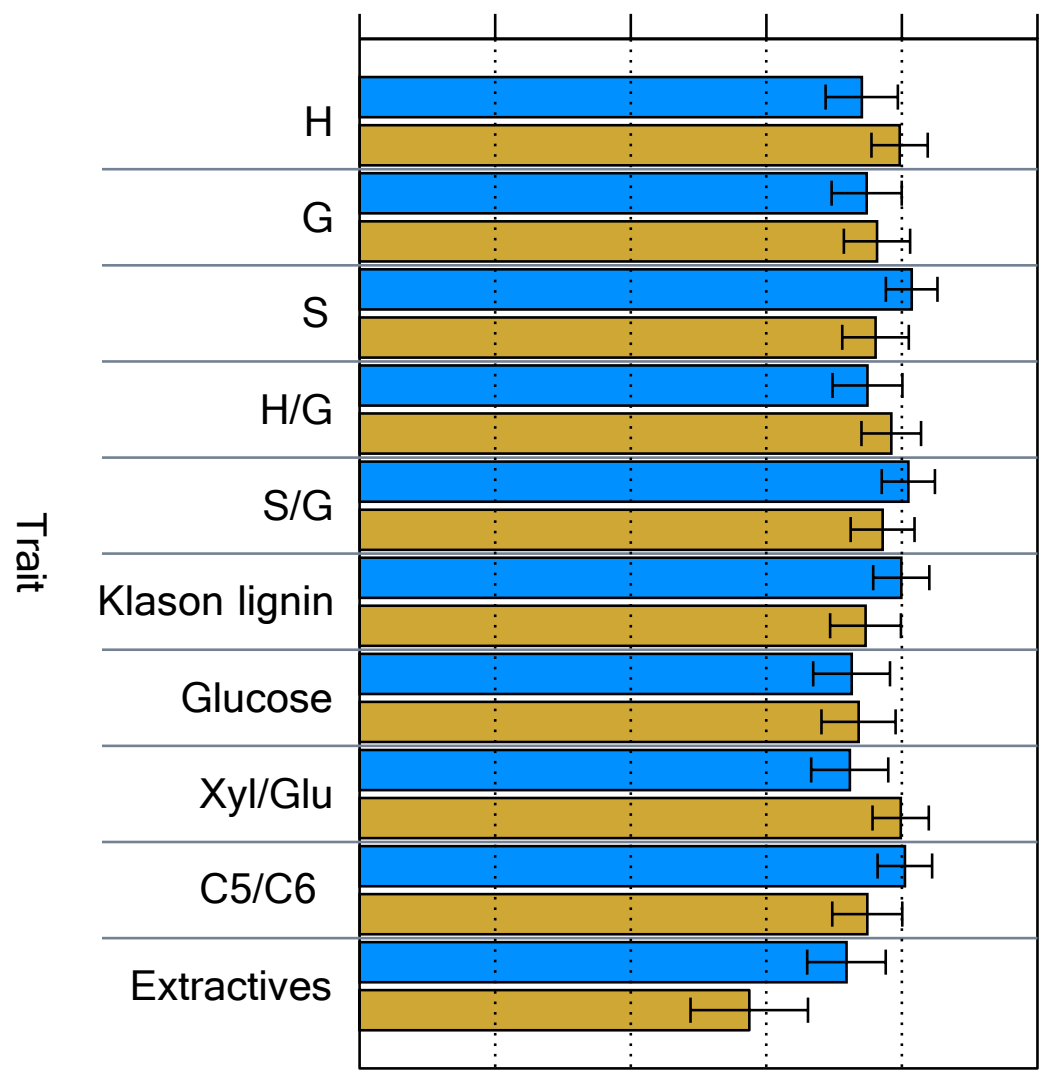
1072 **Table 4:** Partitioning of genotype \times environment ($G \times E$) interaction sum of squares ($SS G \times$
1073 E) across sites for NIR-predicted wood chemical traits evaluated in 2-yr-old *Populus nigra*
1074 trees grown in clonal trials at two contrasting sites using 683 shared genotypes according to
1075 Method 1 of Muir et al. (Muir et al., 1992). Proportion of genotypes explaining 50-% of the G
1076 $\times E$ SS was calculated according to their relative ~~stability~~ ecovalence based on the first
1077 definition of this stability parameter given by Lin et al. (Lin et al., 1986). For trait
1078 abbreviations see the caption of Table 2.
1079 r_s : Spearman's rank correlation coefficient.
1080

Trait	$\sigma_{G\ ORL}$	$\sigma_{G\ SAV}$	$\sigma_G^2 / \sigma_{G \times E}^2$	r_s	% $SS\ G \times E$		Proportion of genotypes explaining 50 % of $G \times E\ SS$
					Scale effect	Re- ranking	
H-lignin	0.52	0.79	1.66	0.56	14.61	85.39	12.30
G-lignin	0.80	0.77	1.49	0.46	1.46	98.54	10.25
S-lignin	1.29	1.83	1.50	0.51	6.53	93.47	10.69
H/G	0.01	0.02	1.57	0.53	11.33	88.67	13.47
S/G	0.05	0.07	1.59	0.54	2.52	97.48	9.22
Glucose	1.24	1.26	0.91	0.34	0.23	99.77	10.25
Xylose/Glucose	0.01	0.01	1.47	0.45	0.02	99.98	11.71
C5/C6	0.76	0.77	1.95	0.49	0.01	99.99	11.13
Extractives	1.06	0.68	0.54	0.23	14.92	85.08	10.69

1081

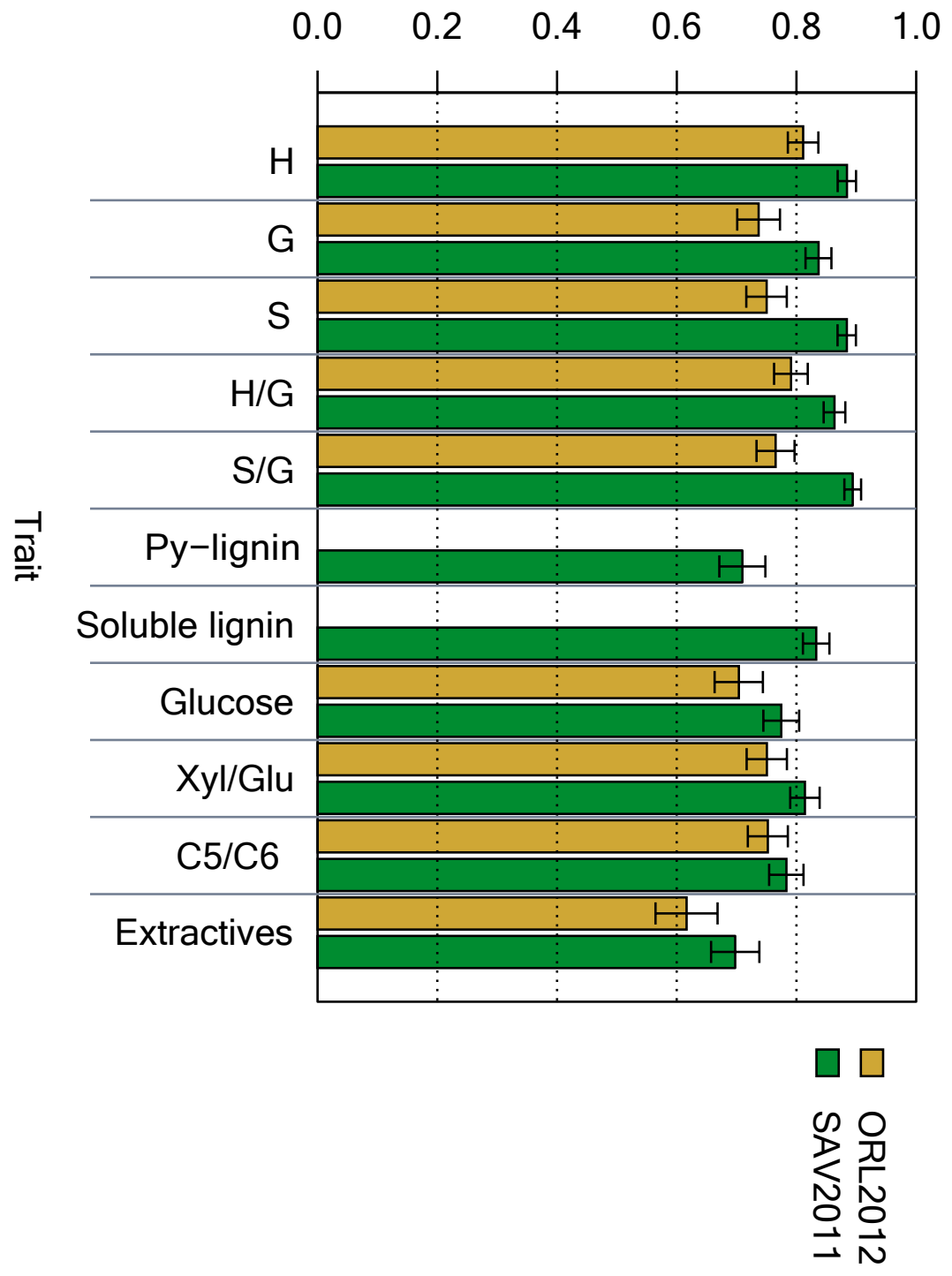
Broad-sense heritability

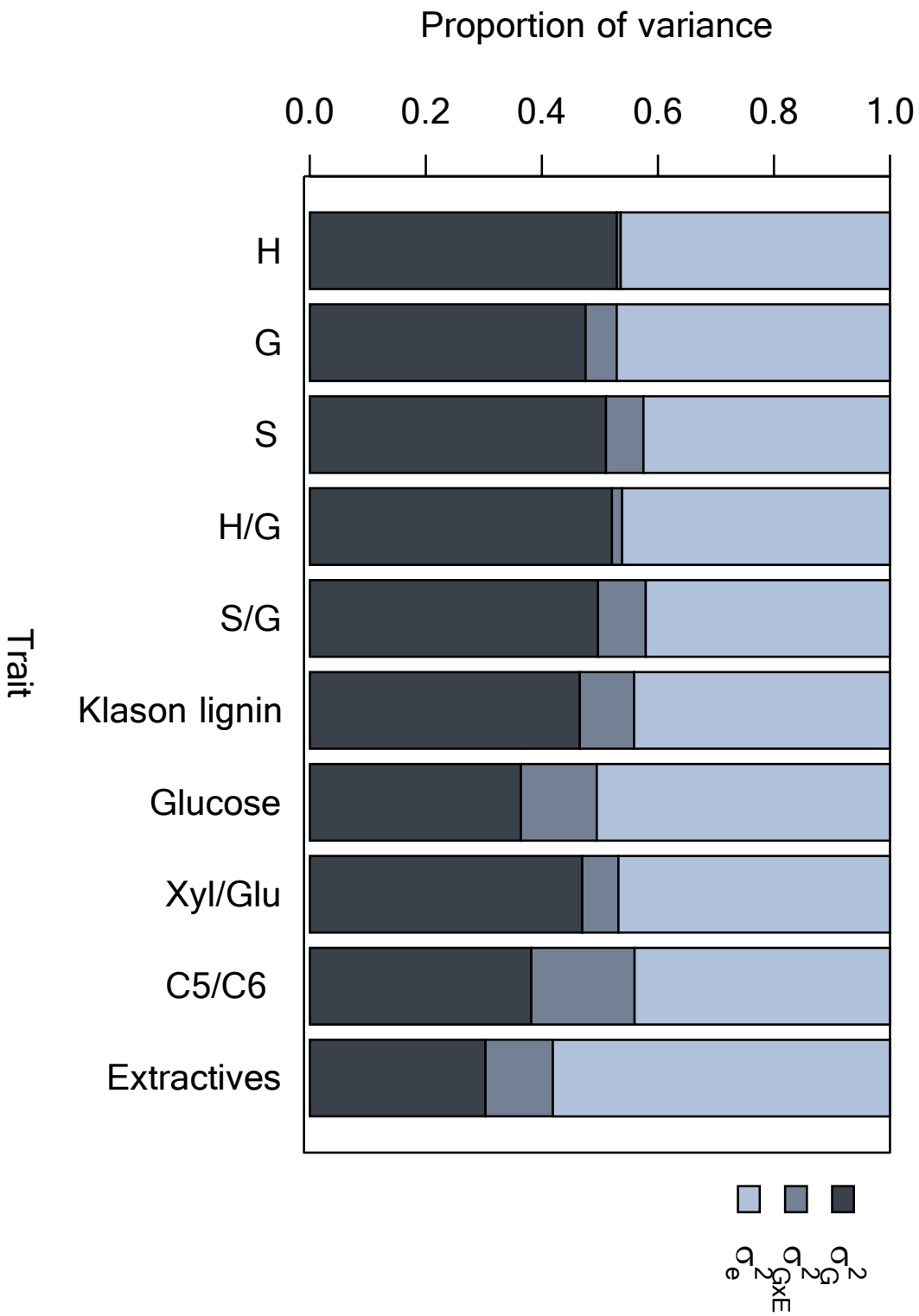
0.0 0.2 0.4 0.6 0.8 1.0

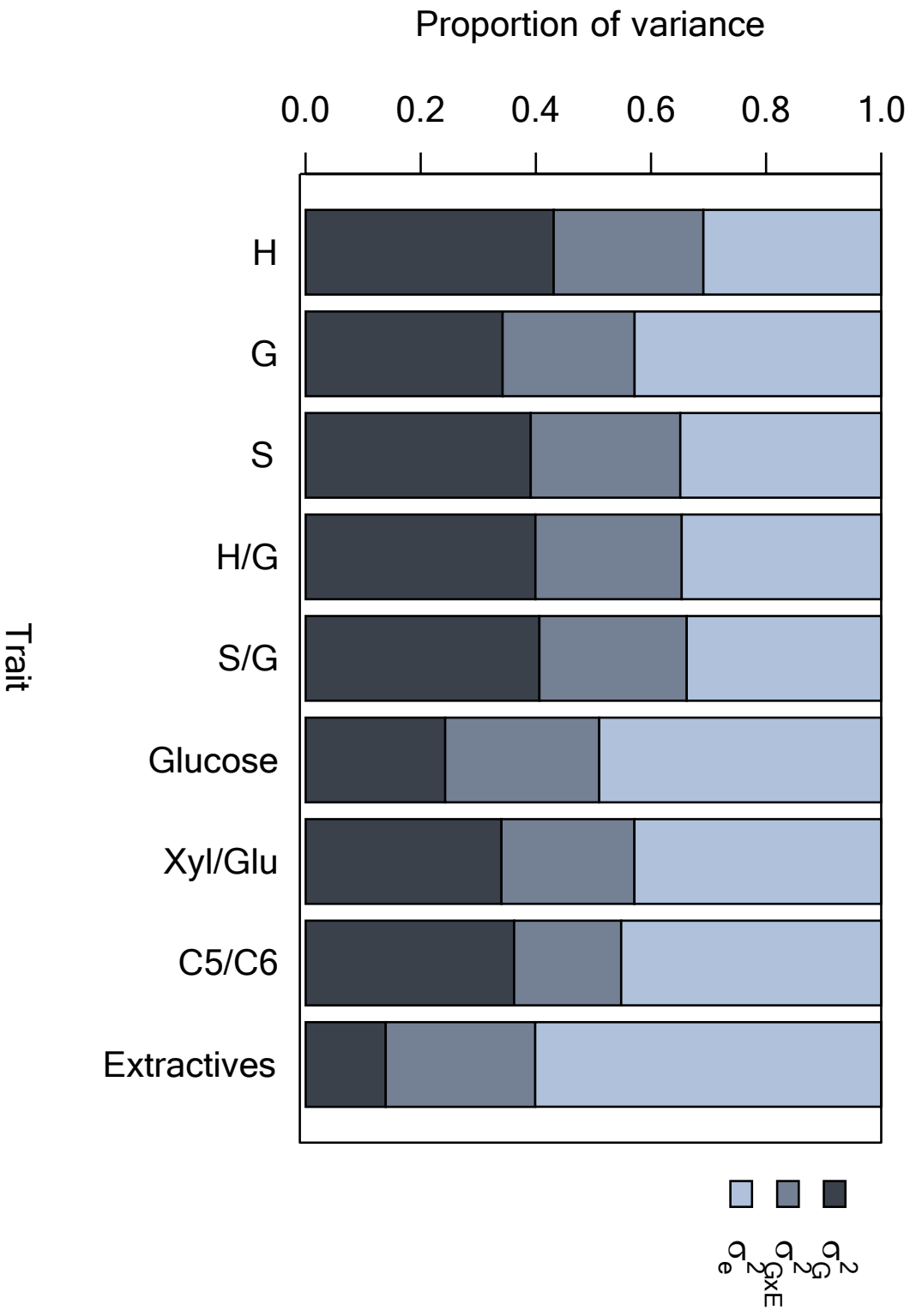


ORL2010
ORL2012

Broad-sense heritability



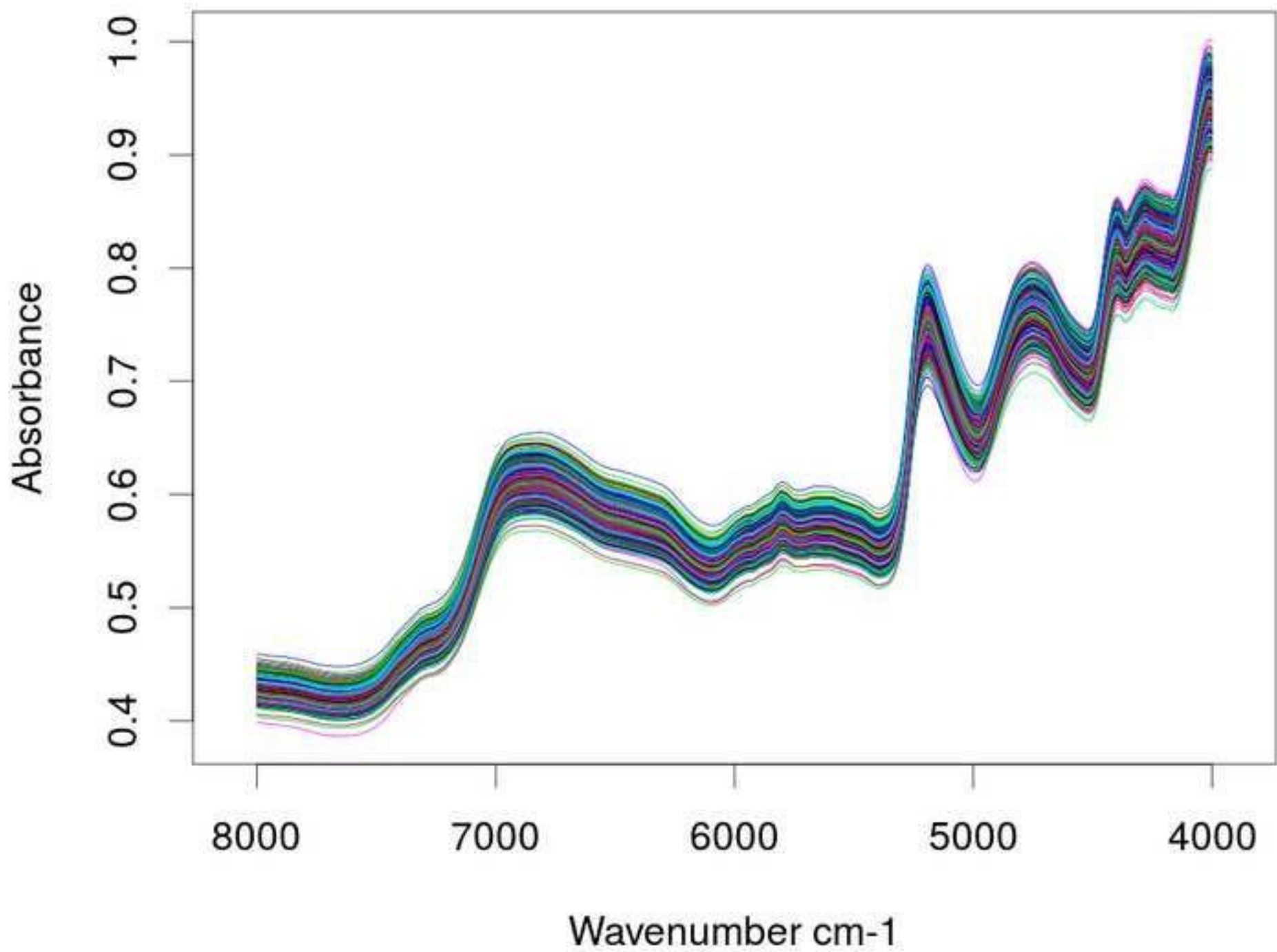




Supplementary Information

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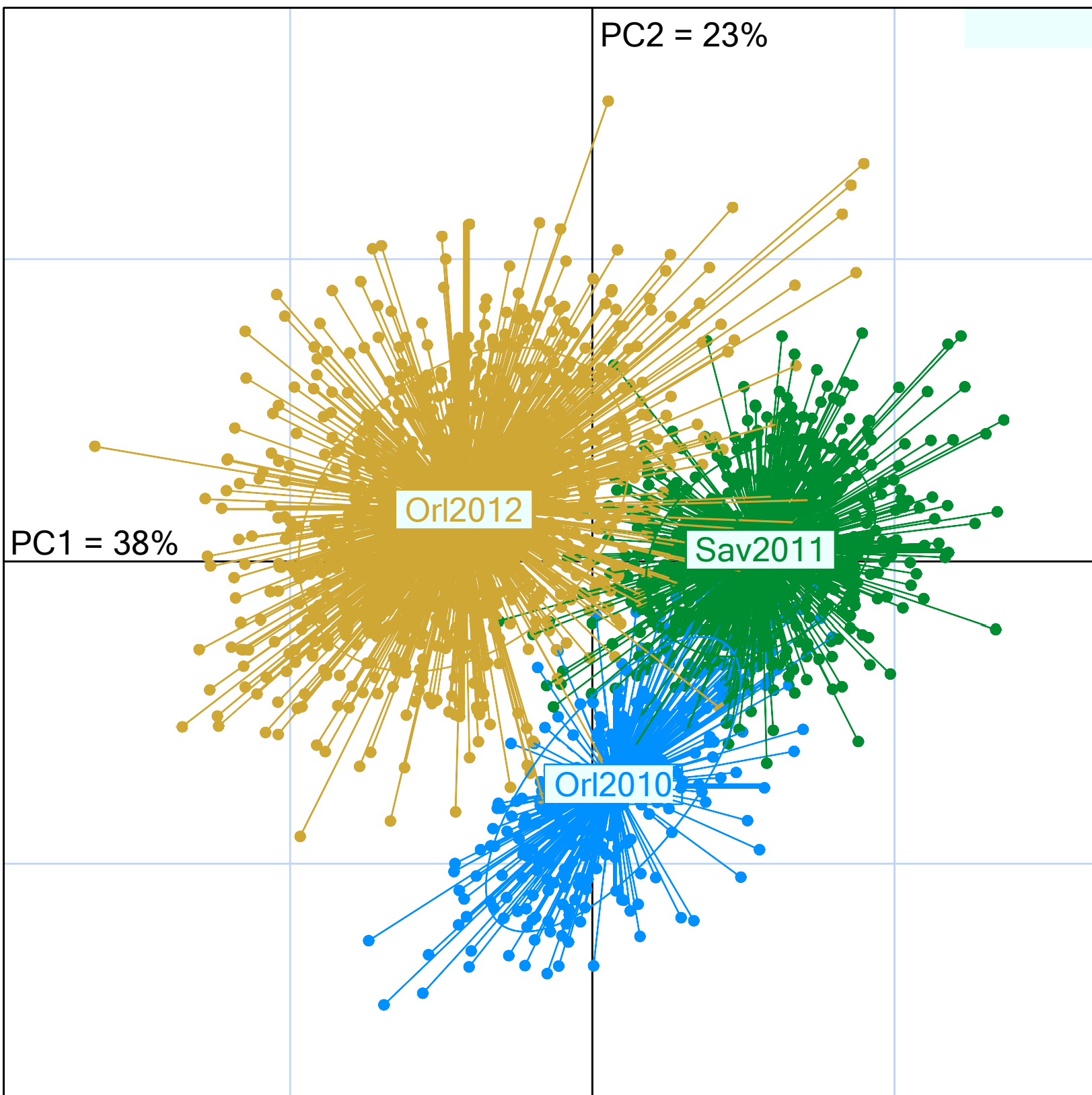
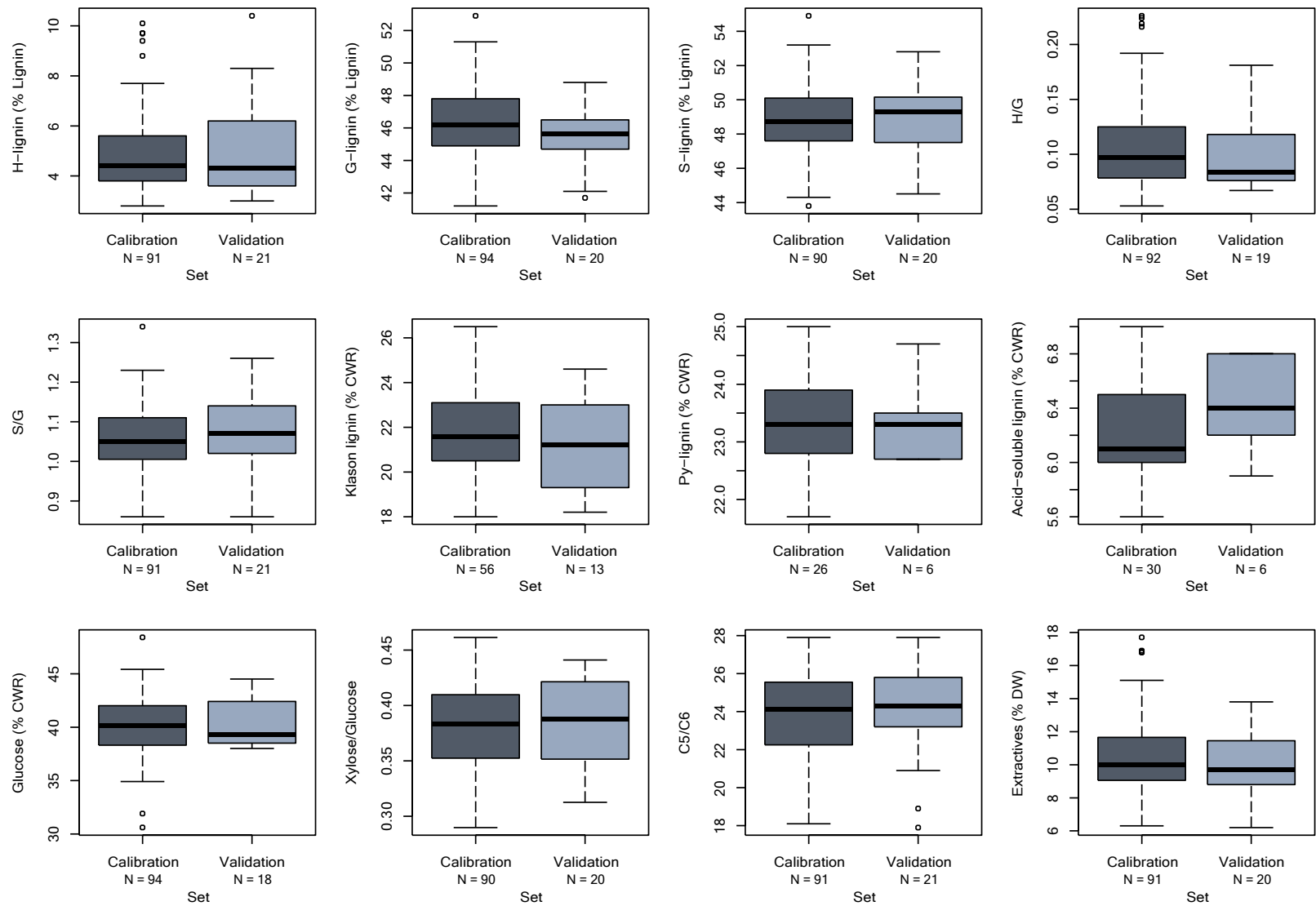


Figure S3
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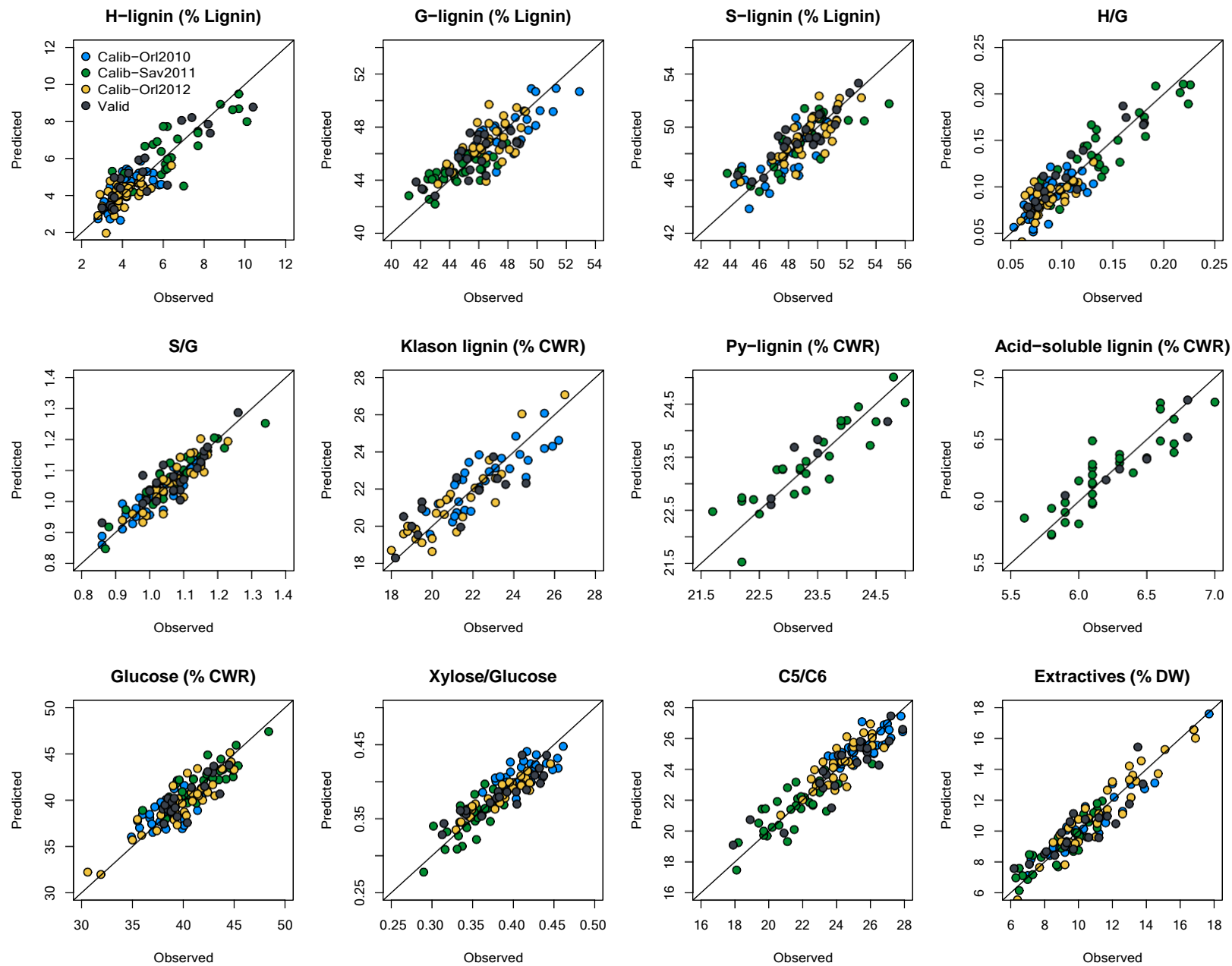


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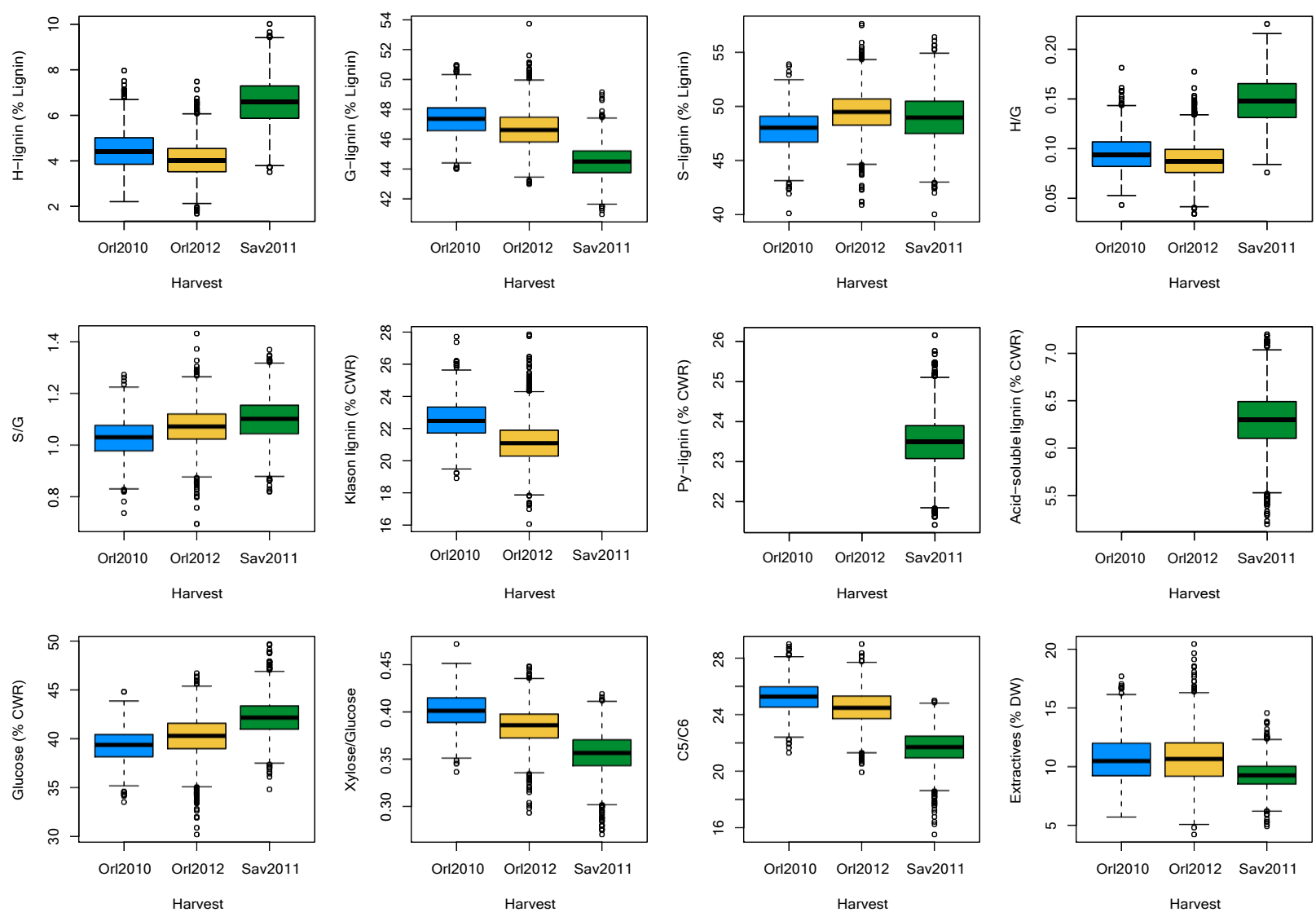


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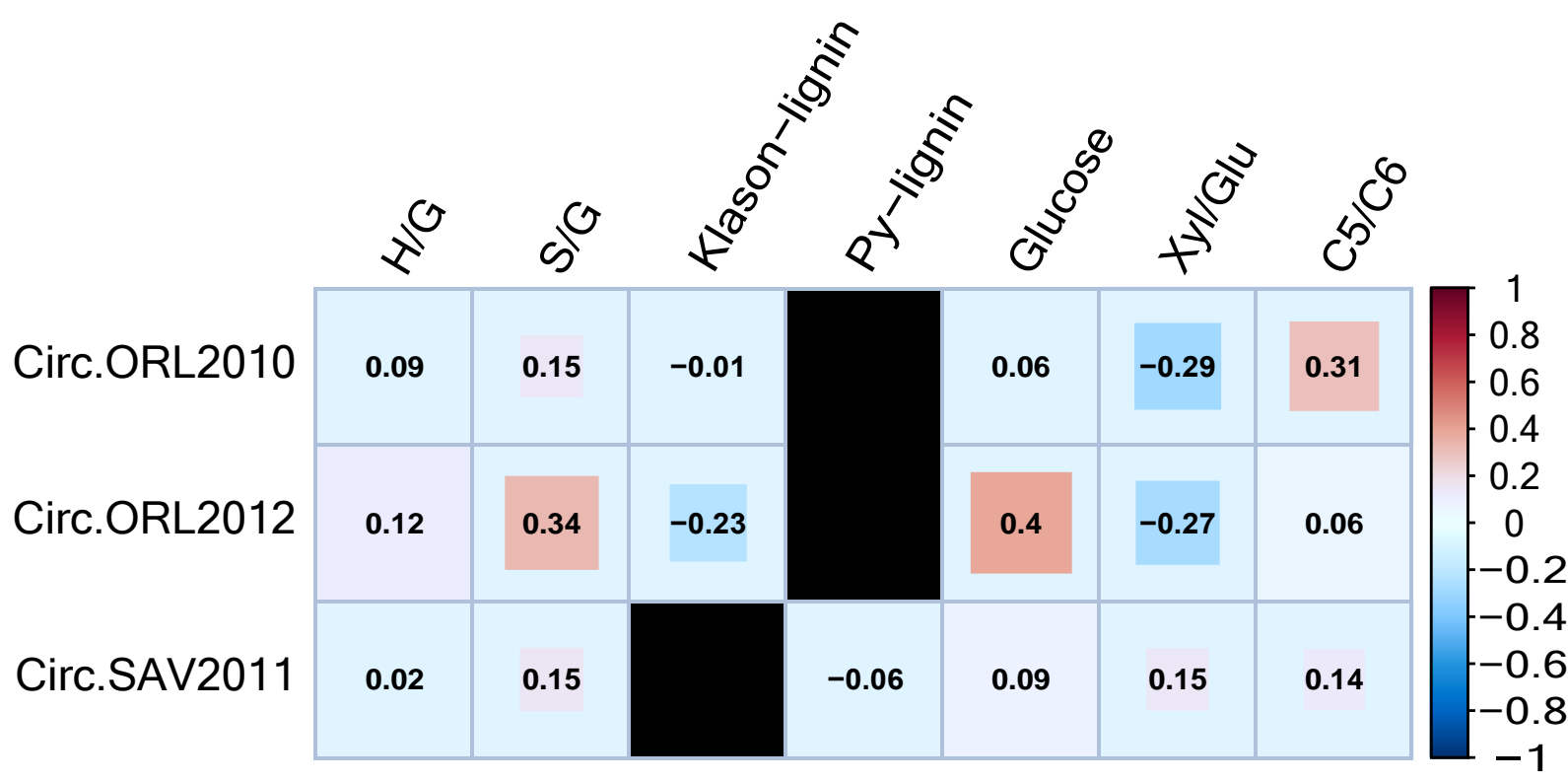


Table S1

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Trait	Harvest	NbGenot	NbRep	σ^2_G	\pm SE	σ^2_e	\pm SE	H^2_i
H-lignin	Orl2010	289	2.8	0.36	0.04	0.35	0.02	0.51
H-lignin	Orl2012	289	2.7	0.25	0.03	0.17	0.01	0.59
G-lignin	Orl2010	289	2.8	0.67	0.08	0.63	0.04	0.52
G-lignin	Orl2012	289	2.7	0.70	0.08	0.59	0.04	0.54
S-lignin	Orl2010	289	2.8	2.10	0.22	1.31	0.08	0.61
S-lignin	Orl2012	289	2.7	1.76	0.20	1.51	0.10	0.54
H/G	Orl2010	289	2.8	0.00	0.00	0.00	0.00	0.52
H/G	Orl2012	289	2.7	0.00	0.00	0.00	0.00	0.57
S/G	Orl2010	289	2.8	0.00	0.00	0.00	0.00	0.61
S/G	Orl2012	289	2.7	0.00	0.00	0.00	0.00	0.55
Klason lignin	Orl2010	289	2.8	0.90	0.10	0.62	0.04	0.59
Klason lignin	Orl2012	289	2.7	0.64	0.07	0.60	0.04	0.52
Glucose	Orl2010	289	2.8	1.44	0.17	1.50	0.09	0.49
Glucose	Orl2012	289	2.7	1.65	0.19	1.62	0.10	0.50
Xyl/Glu	Orl2010	289	2.8	0.00	0.00	0.00	0.00	0.49
Xyl/Glu	Orl2012	289	2.7	0.00	0.00	0.00	0.00	0.59
C5/C6	Orl2010	289	2.8	0.79	0.08	0.53	0.03	0.60
C5/C6	Orl2012	289	2.7	0.56	0.06	0.51	0.03	0.52
Extractives	Orl2010	289	2.8	1.90	0.23	2.05	0.13	0.48
Extractives	Orl2012	289	2.7	0.91	0.14	1.84	0.12	0.33

Table S2

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Trait	Harvest	NbGenot	NbRep	σ^2_G	\pm SE	σ^2_e	\pm SE	H^2_i
H-lignin	Orl2012	683	2.7	0.27	0.02	0.17	0.01	0.62
H-lignin	Sav2011	683	2.8	0.62	0.04	0.23	0.01	0.73
G-lignin	Orl2012	683	2.7	0.65	0.05	0.62	0.03	0.51
G-lignin	Sav2011	683	2.8	0.59	0.04	0.32	0.01	0.64
S-lignin	Orl2012	683	2.7	1.65	0.12	1.48	0.06	0.53
S-lignin	Sav2011	683	2.8	3.36	0.21	1.25	0.05	0.73
H/G	Orl2012	683	2.7	0.00	0.00	0.00	0.00	0.59
H/G	Sav2011	683	2.8	0.00	0.00	0.00	0.00	0.69
S/G	Orl2012	683	2.7	0.00	0.00	0.00	0.00	0.55
S/G	Sav2011	683	2.8	0.00	0.00	0.00	0.00	0.75
Py-lignin	Orl2012	NA	NA	NA	NA	NA	NA	NA
Py-lignin	Sav2011	683	2.8	0.14	0.01	0.16	0.01	0.46
Soluble lignin	Orl2012	NA	NA	NA	NA	NA	NA	NA
Soluble lignin	Sav2011	683	2.8	0.05	0.00	0.03	0.00	0.64
Glucose	Orl2012	683	2.7	1.54	0.12	1.74	0.07	0.47
Glucose	Sav2011	683	2.8	1.58	0.11	1.30	0.05	0.55
Xyl/Glu	Orl2012	683	2.7	0.00	0.00	0.00	0.00	0.53
Xyl/Glu	Sav2011	683	2.8	0.00	0.00	0.00	0.00	0.61
C5/C6	Orl2012	683	2.7	0.58	0.04	0.51	0.02	0.53
C5/C6	Sav2011	683	2.8	0.60	0.04	0.47	0.02	0.56
Extractives	Orl2012	683	2.7	1.12	0.10	1.87	0.08	0.38
Extractives	Sav2011	683	2.8	0.47	0.04	0.57	0.02	0.45

Table S3

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trait	harvest	Var. genot (σ_g^2)	$\pm SE$	Var. bloc (σ_b^2)	$\pm SE$	Var. date (σ_d^2)
H-lignin	ORL2010	0.36	0.04	0.05	0.08	0.09
H-lignin	ORL2012	0.26	0.01	0.01	0.02	0.07
H-lignin	SAV2011	0.62	0.04	NA	NA	0.11
G-lignin	ORL2010	0.67	0.08	NA	NA	0.10
G-lignin	ORL2012	0.64	0.04	NA	NA	0.18
G-lignin	SAV2011	0.59	0.04	NA	NA	0.07
S-lignin	ORL2010	2.09	0.22	NA	NA	0.14
S-lignin	ORL2012	1.63	0.10	NA	NA	0.09
S-lignin	SAV2011	3.30	0.19	NA	NA	0.25
H/G	ORL2010	0.00	0.00	0.00	0.00	0.00
H/G	ORL2012	0.00	0.00	NA	NA	0.00
H/G	SAV2011	0.00	0.00	NA	NA	0.00
S/G	ORL2010	0.00	0.00	0.00	0.00	0.00
S/G	ORL2012	0.00	0.00	0.00	0.00	0.00
S/G	SAV2011	0.00	0.00	0.00	0.00	0.00
Klason lignin	ORL2010	0.90	0.10	NA	NA	0.10
Klason lignin	ORL2012	0.65	0.04	NA	NA	0.03
Klason lignin	SAV2011	NA	NA	NA	NA	NA
Py-lignin	ORL2010	NA	NA	NA	NA	NA
Py-lignin	ORL2012	NA	NA	NA	NA	NA
Py-lignin	SAV2011	0.14	0.01	NA	NA	0.03
Soluble lignin	ORL2010	NA	NA	NA	NA	NA
Soluble lignin	ORL2012	NA	NA	NA	NA	NA
Soluble lignin	SAV2011	0.04	0.00	NA	NA	0.01
Glucose	ORL2010	1.44	0.17	0.05	0.08	0.06
Glucose	ORL2012	1.50	0.10	0.20	0.32	0.13
Glucose	SAV2011	1.55	0.11	NA	NA	0.06
Xyl/Glu	ORL2010	0.00	0.00	0.00	0.00	0.00
Xyl/Glu	ORL2012	0.00	0.00	NA	NA	0.00
Xyl/Glu	SAV2011	0.00	0.00	NA	NA	0.00
C5/C6	ORL2010	0.79	0.08	0.02	0.02	0.01
C5/C6	ORL2012	0.55	0.04	NA	NA	0.01
C5/C6	SAV2011	0.61	0.04	NA	NA	0.04
Extractives	ORL2010	1.89	0.23	0.17	0.20	0.07
Extractives	ORL2012	1.19	0.09	NA	NA	0.06
Extractives	SAV2011	0.47	0.04	0.25	0.28	0.08

Table S4

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Trait	NbGenot	NbRep/G		σ^2_G	\pm SE	$\sigma^2_{G \times E}$	\pm SE	σ^2_e	\pm SE
		enot	Har						
H-lignin	289	2.7	0.30	0.03	0.00	0.01	0.26	0.01	
G-lignin	289	2.7	0.62	0.06	0.07	0.03	0.61	0.03	
S-lignin	289	2.7	1.70	0.18	0.22	0.07	1.42	0.06	
H/G	289	2.7	0.00	0.00	0.00	0.00	0.00	0.00	
S/G	289	2.7	0.00	0.00	0.00	0.00	0.00	0.00	
Klason lignin	289	2.7	0.64	0.07	0.13	0.03	0.61	0.03	
Glucose	289	2.7	1.13	0.14	0.40	0.09	1.56	0.07	
Xyl/Glu	289	2.7	0.00	0.00	0.00	0.00	0.00	0.00	
C5/C6	289	2.7	0.45	0.06	0.21	0.04	0.52	0.02	
Extractives	289	2.7	1.01	0.14	0.39	0.10	1.95	0.09	

Table S5[Click here to download Video Still: TableS5.xlsx](#)

Trait	NbGenot	NbRep/G		σ^2_G	$\pm SE$	$\sigma^2_{G \times E}$	$\pm SE$	σ^2_e	$\pm SE$
		enot	Har						
H-lignin	683	2.8		0.28	0.02	0.17	0.01	0.20	0.01
G-lignin	683	2.8		0.37	0.03	0.25	0.02	0.46	0.01
S-lignin	683	2.8		1.52	0.13	1.01	0.08	1.36	0.04
H/G	683	2.8		0.00	0.00	0.00	0.00	0.00	0.00
S/G	683	2.8		0.00	0.00	0.00	0.00	0.00	0.00
Glucose	683	2.8		0.75	0.09	0.82	0.08	1.51	0.04
Xyl/Glu	683	2.8		0.00	0.00	0.00	0.00	0.00	0.00
C5/C6	683	2.8		0.39	0.03	0.20	0.02	0.49	0.01
Extractives	683	2.8		0.28	0.05	0.52	0.05	1.20	0.03

Table S6[Click here to download Video Still: TableS6.xlsx](#)

Trait	Environment	Nb. Of Genot	Var. genot (σ^2_G)	\pm SE	Var. GxE (σ^2_{GxE})	\pm SE	Var. resid (σ^2_e)	\pm SE
Circ-sqrt	Rotation	1078	0.56	0.03	0.14	0.01	0.68	0.01
Circ-sqrt	Site	708	0.98	0.08	0.75	0.05	1.05	0.02