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Corresponding Author: Prof. Patrizia d'Ettorre,

Corresponding Author's Institution: University of Paris 13

First Author: Patrizia d'Ettorre

Order of Authors: Patrizia d'Ettorre; Claudio Carere; Lara Demora; Pauline Le Quinquis; Lisa Signorotti; Dalila Bovet

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Abstract: Emotional state may influence cognitive processes such as attention and decision-making. A cognitive judgement bias is the propensity to anticipate either positive or negative consequences in response to ambiguous information. Recent work, mainly on vertebrates, showed that the response to ambiguous stimuli might change depending on an individual's affective state, which is influenced by e.g. the social and physical environment. However, the response to ambiguous stimuli could also be affected by the individual's behavioural type (personality), a question that has been under-investigated. We studied the link between individual differences in exploratory activity and the response to an ambiguous stimulus in the ant *Camponotus aethiops*. Exploratory behaviour, quantified with an open-field test, was variable among individuals but consistent over time within individuals. Individual ants learned to associate a spatial position to a reinforcement and another spatial position to a punishment. Once the ants had acquired this discrimination, cognitive judgement bias was tested with the stimulus in an intermediate position. Fast explorers in the open-field took significantly more time to approach the ambiguous stimulus compared to slow explorers, suggesting a negative judgement bias for fast explorers and a positive bias for slow explorers. This previously unknown link between individual difference in exploratory activity and cognitive bias in a social insect may help understanding the evolution and organization of social life.

Paris, 21st September 2016

Dear Dr. Guillette,

Thank you for your letter concerning our article “Individual differences in exploratory relate to cognitive judgement bias in carpenter ants”

We have now addressed all your novel points concerning the interpretation, which allowed further clarifying the general discussion. We hope that you are now fully satisfied by our revision.

On behalf of my coauthors

Sincerely

A handwritten signature in black ink, appearing to read "Patrizia d'Ettorre". The signature is fluid and cursive, with a long horizontal stroke at the end.

Patrizia d'Ettorre

Paris, 21st September 2016

Editor's comments

Lines 272 - 275 I don't see how a bi-modal distribution shows a speed-accuracy trade-off. This needs to be explained or removed.

AUT: it was explained below (former lines 276-279). We have now rephrased the sentence giving first the explanation and then the statement.

Lines 279-283 The first bit about Verbeek makes sense, that fast explorers form routines. I think you are trying to say that your fast explores may not have approached the ambiguous stimulus because it was not part of their routine? Is this the connection you are trying to make? And if so, does this results then show that cog bias test may not be testing optimism/pessimism in this instance? Can you please clarify this idea in the manuscript? I don't really see the connection to the chickadee work. How does a fast explorer doing worse relate to your study? You need to add a little bit here to make the connection easy for the reader to see.

AUT: We have now clarified why we have given the two bird examples in this part of the discussion (now lines 282-294). We say that fast explorers are in general less flexible and less prone to integrate new information than slow explorers. This has been explained (by others) with the fact that fast explorers form routines. In your study (Guillette et al., 2010), chickadees that are fast explorers have problems with reversal learning, this is totally in agreement with the "routine hypothesis", as clearly explained in Table 1 of Sih and Del Giudice, 2012. This is simply the point we want to make, we hope it is now clear.

Lines 284-292 I'm not sure this bit adds anything to your story. Can you connect it to what you found or remove?

AUT: You are right, it was a bit confusing. We have removed this part.

Lines 302-306 I'm not following this logic. Are you saying that a larger area explored means more options (potentially) the individual has to choose from? Having more options is not the same things as being more selective. The bit about the slow explorers seems to contradict itself: how does spending more time evaluating food mean that you are less selective? Shouldn't this be the other way around?

Line 306 I don't see how this is an example of a speed/accuracy trade-off.

AUT: We agree that the way we formulated this part was potentially confusing, so we have now explained better and cited a relevant paper in bumblebees.

Minor edits for clarification:

Line 113/177 - The inclusion of 'proactive style' and 'reactive style' are out of place here because they have not been introduced. Perhaps delete or explain why there are relevant here. The only other time there two terms is used in once in the discussion but I think this bit should be revised. See my earlier major comment.

AUT: We have added the classical reference in the introduction; we believe it is good to have these terms in our paper because they are currently used.

Line 191 - delete 'at least'.

AUT: done

Line 214 - please add n's for the fast and slow individuals in the cognitive bias test and add the labels 'optimistic' and 'pessimistic' accordingly.

AUT: We added optimistic and pessimistic, as you requested. However, we believe it is not necessary to add the sample size for the two groups, being our group formation based on the median of 74 individuals (n=34 in each group).

Line 216-18. Maybe change to 'Difference in exploratory activity (time spend moving the central area and total time spent in the central area of the open field) between optimistic and pessimistic ants was analysed with a t-test.'

AUT: done, thank you.

Line 244 - maybe change 'slow individual' to 'slow explorer' for clarity, and the same for 'fast individual'.

AUT: done.

Supplementary material - the title needs to be changed here to match the manuscript.

AUT: done.

*Highlights

- Individual ants show consistency in their exploratory behaviour
- Ants learn to associate a positive or negative stimulus with spatially distinct positions
- Cognitive judgment bias was tested with the stimulus in an intermediate position
- Fast explorers showed a pessimistic bias while slow explorers an optimistic bias
- Results suggest a link between personality and cognitive state in eusocial insects

1 **Individual differences in exploratory activity relate to cognitive**
2 **judgement bias in carpenter ants**

3

4 Patrizia d’Ettorre^{1*}, Claudio Carere¹, Lara Demora¹, Pauline Le Quinquis¹, Lisa Signorotti¹ &
5 Dalila Bove²

6 ¹ *Laboratory of Experimental and Comparative Ethology (LEEC) University of Paris 13, Sorbonne*
7 *Paris Cité, France*

8 ² *Laboratory of Comparative Ethology and Cognition, University of Paris Ouest, Nanterre La*
9 *Défense, France*

10

11

12 **Short title:** exploration and cognitive bias in ants

13

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15 **Correspondence:** Patrizia d’Ettorre

16 Laboratory of Experimental and Comparative Ethology (LEEC)

17 University of Paris 13, Sorbonne Paris Cité, France

18 E-mail: dettorre@leec.univ-paris13.fr

19

20 **ABSTRACT**

21 Emotional state may influence cognitive processes such as attention and decision-making. A
22 cognitive judgement bias is the propensity to anticipate either positive or negative consequences in
23 response to ambiguous information. Recent work, mainly on vertebrates, showed that the response
24 to ambiguous stimuli might change depending on an individual's affective state, which is influenced
25 by e.g. the social and physical environment. However, the response to ambiguous stimuli could also
26 be affected by the individual's behavioural type (personality), a question that has been under-
27 investigated. We studied the link between individual differences in exploratory activity and the
28 response to an ambiguous stimulus in the ant *Camponotus aethiops*. Exploratory behaviour,
29 quantified with an open-field test, was variable among individuals but consistent over time within
30 individuals. Individual ants learned to associate a spatial position to a reinforcement and another
31 spatial position to a punishment. Once the ants had acquired this discrimination, cognitive
32 judgement bias was tested with the stimulus in an intermediate position. Fast explorers in the open-
33 field took significantly more time to approach the ambiguous stimulus compared to slow explorers,
34 suggesting a negative judgement bias for fast explorers and a positive bias for slow explorers. This
35 previously unknown link between individual difference in exploratory activity and cognitive bias in
36 a social insect may help understanding the evolution and organization of social life.

37

38 **Keywords:** affective state, cognition; exploratory activity; learning; personality; social insects

39

40

41 1 INTRODUCTION

42

43 Animals show the ability to feel emotions that may induce long-lasting consequences (e.g., Désiré
44 et al., 2002). For their assessment, indirect methods such as measuring stress hormones or
45 quantifying abnormal behaviour are usually employed (Bateson and Matheson, 2007). It is
46 increasingly acknowledged that affective state (driven by e.g., emotions) may influence cognitive
47 processing in animals as in humans (e.g., Harding et al., 2004). In particular, the positive or
48 negative valence of an affective state may bias cognitive processes such as attention, memory, and
49 judgement (e.g., Novak et al., 2015). This phenomenon is generally referred to as ‘cognitive bias’.
50 In the present study, we focus on ‘cognitive judgement bias’ following the definition by Mendl and
51 co-workers (2009): “Judgement bias in this context refers to the propensity of a subject to show
52 behaviour indicating anticipation of either relatively positive or relatively negative outcomes in
53 response to affectively ambiguous stimuli” (Mendl et al., 2009, p.164).

54 Cognitive judgement bias can be studied using a simple go/no-go procedure, in which animals are
55 first trained to discriminate between two distinct stimuli, then (after acquisition) their response to
56 ambiguous stimuli is tested. Therefore, animals are requested to categorise an ambiguous stimulus
57 as either positive or negative (see for a classical example in rats: Harding et al., 2004). For instance,
58 European starlings (*Sturnus vulgaris*) were trained on a go/no-go task to discriminate between a
59 white visual stimulus associated with palatable food and a dark grey stimulus associated with
60 unpalatable food. Then, the birds were tested with unreinforced ambiguous stimuli (intermediate
61 shades of grey) with the expectation that their response reflects their affective state (Bateson and
62 Matheson, 2007). A positive cognitive judgement bias is therefore a high expectation of reward
63 following an ambiguous stimulus. This "optimism" is usually observed when animals are in a
64 positive affective state, following for instance the addition of an environmental enrichment. In this
65 case, subjects would approach quickly the ambiguous stimulus. A negative cognitive judgement
66 bias, instead, is a high expectation of punishment (or non-reward) in face of an ambiguous stimulus.
67 This "pessimism" is usually observed when animals are in a negative affective state, triggered by
68 e.g., stress or decrease in environmental quality. In this case, subjects would take long to approach
69 (or not approach at all) the ambiguous stimulus. We adopt the pessimistic/optimistic terminology
70 following operational definitions, such as in Douglas et al. (2012).

71 Studies of cognitive judgement bias have been carried out in several vertebrate taxa, such as
72 birds (Bateson and Matheson, 2007), rats (Brydges et al., 2011; Harding et al., 2004), and pigs
73 (Douglas et al., 2012). The subjects were tested with ambiguous stimuli after experiencing a change
74 in environmental quality (removal or addition of enrichments). These studies have shown that
75 environmental enrichment may induce a positive judgement bias, while a decrease in the quality of

76 the environment may result in a negative bias. Similarly, stressful conditions induce a negative
77 cognitive judgement bias (Burman et al., 2009; Mendl et al., 2009). In honeybees, to our knowledge
78 the only invertebrate tested, individuals were trained to associate a given scent with a reward, and
79 another scent with a punishment. The bees were then divided into two groups, one undergoing
80 mechanical shaking for 30 seconds as a condition of stress, the other not undergoing any stressful
81 procedure. The two groups were then exposed to an ambiguous stimulus, an intermediate odour
82 (compared to the two learned odours). Stressed bees were more likely to interpret the ambiguous
83 stimulus as predicting a punishment (Bateson et al., 2011).

84 Studying cognitive judgement biases may help developing new methodologies for
85 evaluating emotions and therefore improving welfare in non-human animals (Mendl et al., 2009).
86 One study, however, failed to clearly demonstrate a cognitive judgement bias: laying hens housed in
87 an enriched environment did not respond positively to an ambiguous stimulus compared to hens in a
88 standard environment. It was therefore suggested that if environmental differences are not large
89 enough, inter-individual differences might exert a significant impact on the responses of animals
90 (Wichman et al., 2012).

91 Animals show consistent inter-individual differences (personality) in e.g., boldness,
92 aggressiveness, activity, sociability and/or exploratory tendency, and these personality traits are
93 often correlated (behavioural syndrome). For instance, individuals that show high exploratory
94 tendencies, are also highly aggressive towards conspecifics and bold when predators are present
95 (Sih and Del Giudice, 2012). Cognitive processing should be influenced by individual differences in
96 personality because these are predicted to affect fitness outcomes of individuals (Wolf and
97 Weissing, 2012). Indeed, a link between cognition and personality has been postulated (Carere and
98 Locurto, 2011; Sih and Del Giudice, 2012; Wolf et al., 2008), and empirical evidence in this
99 direction is growing despite methodological challenges and limitations of experimental procedures
100 (Griffin et al., 2015). Differences in personality traits could underpin affective states and this
101 relationship could be bidirectional: for instance, individuals may be more prone to develop either
102 positive or negative affective states depending on their personality, resulting in cognitive judgement
103 bias. Such biases could then feedback on personality traits, as shown in humans (Mathews et al.,
104 1997).

105 To our knowledge, only one study so far tested the link between personality and affective
106 state in animals, suggesting that some personality traits modulate cognitive processing of
107 environmental stimuli (attention bias) in psittacine birds, *Amazona amazonica* (Cussen and Mench,
108 2014). Once assumed a link between individual affective state and consistent inter-individual
109 behavioural differences, a case for its directionality remains to be made. A key aspect of cognition
110 that relates to consistent individual differences along the bold-aggressive-exploratory axis is the

111 ecologically relevant speed-accuracy trade-off (Sih and Del Giudice, 2012): an individual may
112 either use a fast/inaccurate strategy or a slow/accurate one, but cannot e.g., forage while being fast
113 and accurate at the same time. Accordingly, we predicted that fast explorers ('proactive style',
114 *sensu* Koolhaas et al. 1999), which are rather insensitive to environmental change and prone to set
115 routines, should likely exhibit a negative cognitive judgement bias when facing uncertainty
116 (ambiguous stimulus). These pessimistic individuals would not approach, or would take long time
117 before approaching, an ambiguous stimulus. Conversely, slow explorers ('reactive style') should
118 show a positive cognitive judgement bias, therefore being optimistic and approaching relatively
119 quickly an ambiguous stimulus. We tested this hypothesis by: *i*) assessing the consistency of
120 individual differences in exploratory activity in a large sample of individuals; *ii*) measuring
121 exploratory activity in a separate set of individuals; *iii*) assessing the response of these same
122 individuals to an ambiguous stimulus (cognitive judgement bias). Our study organism is a social
123 insect, the carpenter ant *Camponotus aethiops*, which has been already tested for possible links
124 between personality traits and learning ability (Udino et al., submitted).

125

126 **2 MATERIAL AND METHODS**

127 *2.1 Animals and housing*

128 Five queenright colonies of *Camponotus aethiops*, collected near Toulouse (Midi-Pyrénées, France,
129 latitude 43.5°, longitude 1.516667°), were each housed in two Fluon®-coated plastic boxes
130 connected by a hose. Colonies were kept under laboratory conditions (22±2°C, L12/D12, 40%
131 humidity). One box, the nest (26x19x7cm), had a plaster floor and was darkened by cardboard; the
132 other, the foraging area (29x26x8cm), was exposed to light. Colonies were fed twice a week with
133 mealworms (*Tenebrio molitor*) and a mix of honey and apples, water was provided *ad libitum*.

134 *Camponotus aethiops* is a species that lives in hot, dry and open habitats and builds the nest
135 in soil. Colonies are monogynous (only one queen) and medium size (500-3000 workers). They are
136 omnivorous and eat preferentially dead insects, fruits and flower nectar, they also feed on
137 extrafloral nectaries. Foraging workers usually avoid the warmest part of the day and are active
138 early morning and late afternoon (Lenoir et al. 1990). Workers orient well using visual cues,
139 especially when these are present in their horizontal field of view (Laffort et al. 1991) and are
140 capable of visual discrimination learning (Yilmaz et al. 2014). It is not known whether this species
141 uses chemical trails, like some other species of the same genus (Hölldobler and Wilson 1990), but
142 there is evidence that *C. aethiops* workers perform group recruitment with leader, i.e., after having
143 discovered a novel food source, a forager ant comes back to the nest and recruits several workers
144 (1-20), which follow closely behind the leader to the food area (Suzzoni et al. 1991).

145

146 *2.2 Exploratory activity test*

147 One hundred and twenty five ants foragers were individually marked with dots of paint
148 (uniPAINT©) on their thorax and gaster before the experiments started. Exploratory activity was
149 evaluated in a circular open-field arena (\varnothing 6 cm), an adaptation of the classical open-field test (e.g.
150 Prut and Belzung, 2003) with a floor of clean filter paper (replaced after each trial), in which an
151 area of 4 cm diameter was considered as the central zone (Figure 1). An ant was taken from the
152 foraging arena and introduced into an acclimatization tube placed in the peripheral zone for 120
153 seconds. Then, the tube was removed and the behaviour of the ant was observed for 300 seconds.
154 We measured the time spent walking and resting in the central zone and in the peripheral by direct
155 recording on a PC equipped with the software EthoLog (Ottoni, 2000). After the test, the ants were
156 immediately returned to their respective colony. One week later, the open-field test was repeated
157 with the same ants (n=125) to assess individual consistency over time.

158 A second batch of ants from the same colonies was used to study the relationship between
159 exploratory activity and cognitive bias. These ants (n = 85) were tested in the open-field arena as
160 described above and then returned to their colony. After about two hours they started the procedure
161 described below (learning phase and ambiguous stimulus test), which was completed on the same
162 day.

163

164 *2.3 Cognitive judgement bias test*

165 *2.3.1 Learning phase*

166 The apparatus consisted of a rectangular arena (18 x 12 x 5.5 cm) with a floor of filter paper
167 (changed after each trial) and a visual pattern on the external part of one of the longest walls. Two
168 microscope cover slips (20 x 20 mm, Menzel-Gläser, Germany) were placed one at each corner of
169 this wall. Each slip had an identical little piece of plasticine as landmark (Figure 2A), but one slip
170 was baited with an appetitive stimulus (a drop of sucrose solution, 30% w/w) and the other with an
171 aversive stimulus (a drop of quinine solution, Sigma–Aldrich, 1% w/w, Guerrieri and d’Ettorre,
172 2010). The position of the two stimuli (left/right) was randomly chosen across individuals but
173 remained the same for a given ant across the learning trials. For each trial, the ant was introduced
174 into an acclimatization tube placed near the wall far from the stimuli at an equal distance from each
175 stimulus for 120 seconds. The first phase of learning consisted of 6 trials in which both stimuli were
176 present. After the removal of the acclimatization tube, the time the ant needed to discover the sugar
177 solution was recorded (the trial was stopped after 10 min if the ant did not reach the sugar solution).
178 Between each trial the ant was put back into its colony for about 5 min, and then it started the next
179 trial. The ant should actively approach and taste both stimuli to ascertain their valence (positive or

180 negative). When the ant reached the appetitive stimulus, it was left undisturbed until it finished
181 drinking the sugar solution to avoid disturbance stress. If the ant did not get to the aversive stimulus
182 at least once during the 6 trials (about 10% of the tested ants), the session was discarded and these
183 ants did not continue to the second phase.

184 The second phase of learning consisted of 6 additional trials in which only one stimulus was
185 present (3 trials with the aversive stimulus and 3 trials with the appetitive stimulus in a random
186 order). The latency time to reach the stimulus was recorded during each trial, which lasted a
187 maximum of 3 minutes. Between each trial the ant was put back into its colony, as in the first phase.
188 We considered that an individual learned the task if the sum of the latency time of the 3 trials with
189 the appetitive stimulus was at least two times smaller than the sum of the latency time of the 3 trials
190 with the aversive stimulus during the second phase of learning. The majority of the ants (90%) did
191 not approach the aversive stimulus once during this phase, in which case they were assigned a
192 latency of 180 seconds.

193

194 2.3.2 *Ambiguous stimulus test*

195 The ants that learned the task (74 of 85 individuals tested) were subjected to the test with the
196 ambiguous stimulus. This test was carried out in the same rectangular apparatus used for the
197 learning trials (with clean filter paper) but this time the cover slip was placed at the center of the
198 wall (same distance from the right and the left corner, Figure 2B). A drop of water was placed on
199 the slip instead of the appetitive or negative stimulus. The ant was placed in the acclimatization tube
200 as usual and after the removal of the tube the latency time to reach the ambiguous stimulus was
201 recorded. If the ant did not reach the stimulus the test was stopped after 10 min and a latency of 600
202 sec was assigned. This is an established paradigm called go/no-go procedure (Harding et al., 2004),
203 in which the response is either approaching or not approaching (or approaching slowly) the
204 ambiguous stimulus.

205

206 2.4 *Data analysis*

207 Intra-class correlation (Lessels and Boag 1987) was calculated to assess individual repeatability
208 across the two sessions of the open-field test. We used LMM-based calculations by R package *rptR*
209 (Nagakawa and Schielzeth, 2010) and we assessed 95% confidence intervals (CI) by 1000 bootstrap
210 steps. Individual was a random factor. P values were calculated by 1000 permutations (α level =
211 0.05).

212 For the ambiguous stimulus test, we calculated the median of the latency time to reach the
213 ambiguous stimulus (97.01 sec) across all 74 subjects, and we then divided the 74 ants in two
214 groups: fast optimistic (latency < median) and slow pessimistic individuals (latency > median). This
215 was justified by the fact that the distribution of approach time was bimodal, with individual ants
216 approaching the ambiguous stimulus very fast or not at all (Figure 3). Difference in exploratory
217 activity (time spend moving the central area and total time spent in the central area of the open
218 field) between optimistic and pessimistic ants was analysed with a t-test. We also looked at the
219 correlations between individual latency time to approach the ambiguous stimulus and exploratory
220 activity by Spearman correlation (data not normally distributed).

221 To investigate whether cognitive judgement bias might be related to learning ability, we calculated
222 an index of learning performance by comparing the first 3 trials to the last 3 trials of the first phase
223 of learning (these are the 6 trials in which the two stimuli, sucrose solution and quinine solution,
224 were present). Given the sum of the time needed to reach the appetitive stimulus in the first 3 trials
225 = A and the sum of the time needed to reach the appetitive stimulus in the last 3 trials = B, learning
226 performance was calculated as $(A-B)/A$; the closer is this number to 1, the faster the animal
227 approached the appetitive stimulus, i.e., the better the learning performance. We then looked at the
228 possible correlation between learning performance and latency to reach the ambiguous stimulus.

229

230

231 **3 RESULTS**

232

233 *3.1 Exploratory activity test*

234 Ants showed significant consistency over time in their exploratory activity. The two variables
235 reflecting the level of exploration during the open-field test were significantly repeatable across the
236 two sessions (time spent moving in the central area: $R = 0.384$; $CI = (0.222, 0.517)$; $p = 0.001$; total
237 time spent in the central area: $R = 0.289$; $CI = (0.121, 0.441)$, $P = 0.003$, Figure S1, supplementary
238 material).

239

240 *3.2 Cognitive bias*

241

242 We observed substantial individual differences in the approach time to the ambiguous stimulus and
243 the distribution of approach time was bimodal (Figure 3).

244 Ants that spent less time in exploratory activity (slow explorers) readily approached the
245 ambiguous stimulus (optimists), while ants that spent more time in exploratory activity (fast
246 explorers) were slow in approaching the ambiguous stimulus (pessimists). This difference between
247 the optimist and pessimist group in relation to exploratory activities is statistically significant (time

248 spent moving in the central area: $t = 3.47$, $p < 0.001$, Figure 4A; total time spent in the central area:
249 $t = 2.96$, $p < 0.01$, Figure 4B; these two variables are highly and positively correlated: $r = 0.78$, $p <$
250 0.001). This is confirmed by the positive correlations between latency to approach the ambiguous
251 stimulus and both time spent moving in the central area ($r_s = 0.36$, $p < 0.01$) and total time in the
252 central area of the open-field ($r_s = 0.38$, $p < 0.01$): ants that spent more time in exploring the open-
253 field were slower in approaching the ambiguous stimulus during the cognitive bias test (Figure S2).

254 Cognitive bias was not related to learning ability, as shown by the lack of correlation
255 between learning performance and latency to reach the ambiguous stimulus ($r_s = -0.122$, $P = 0.30$).

256

257

258 4 DISCUSSION

259 The aim of the present study was to test the relationship between cognitive judgement bias
260 (measured as response to an ambiguous stimulus) and inter-individual differences in exploratory
261 behaviour (a personality trait) in workers of the carpenter ant, *Camponotus aethiops*. We observed
262 individual differences in the latency time to approach the ambiguous stimulus, with a clear bimodal
263 distribution: ants were either very fast in approaching the ambiguous stimulus (optimistic) or they
264 were very slow (or did not approach the stimulus at all within the cut-off time), i.e., pessimistic. In
265 our go/no-go procedure, a non-approach is considered a response, as ants previously learned the
266 discrimination between appetitive and aversive stimulus, and therefore not approaching is an
267 appropriate response for the aversive stimulus. This bimodal pattern strikingly resembles the one
268 observed in great tits, *Parus major*, for approach time towards a novel object and latency to return
269 after a startle (indicating risk taking behaviour), which formed the basis to create genetic selection
270 lines for avian personality (Verbeek et al., 1994; Drent et al., 2003; van Oers et al., 2004).

271 In general, proactive individuals are fast explorers but are relatively insensitive to new
272 environmental information, whereas reactive individuals are slow explorers but adjust their
273 behaviour to changes in the environment, or when signals have changed in meaning. Therefore, a
274 trade-off between speed and accuracy occurs (see introduction, Sih and Del Giudice, 2012), which
275 may result in a bimodal distribution. Both strategies (proactive/reactive) might entail their specific
276 individual advantages when performing in stable (fast responders performing better) or unstable
277 (slow responders performing better) environments, while individual with intermediate profiles
278 would have certain disadvantages in both kinds of environment (Verbeek et al., 1994). Our results
279 are consistent with studies in great tits, in which fast explorers appear to form more routines than
280 slow explorers (Verbeek et al., 1994). Forming routines may explain why the behaviour of fast
281 explorers is generally less flexible (Sih and Del Giudice, 2012). Indeed, in black-capped chickadees
282 (*Poecile atricapillus*), fast explorers performed worse than slow explorers in a reversal learning

283 task, which requires altering an acquired information (Guillette et al., 2010).

284 Our data suggest that the observed pattern of responses to the ambiguous stimulus could be
285 explained by individual differences in the exploration test (open-field, which individuals performed
286 before starting the learning phase and the cognitive judgement bias test). Individuals that spent
287 significantly more time in the central area of the open-field were those showing a longer latency to
288 approach the ambiguous stimulus. Thus, individuals who took the longest time to approach the
289 ambiguous stimulus were those exploring most. Fast exploring individuals showed a negative
290 cognitive judgement bias (pessimism), while slow explorers showed a positive cognitive judgement
291 bias (optimism). In an ant colony, division of labour is based on age and/or morphology (Wilson,
292 1971). The foragers are older individuals but there is variability in foraging activity (see e.g.
293 Beverly et al., 2009). We predict that fast explorer foragers may spend more energy than slow
294 explorers as they cover a larger foraging area. Therefore, fast explorers are supposed to be less
295 accurate in examining the environment and retain only information about high quality food.
296 Conversely, slow explorer foragers may afford accurate evaluation of food sources (of varying
297 quality) distributed in a relatively small foraging area. This is an example of speed/accuracy trade-
298 off, also reported in bumblebees (Burns and Dyer, 2008).

299 We are not aware of any study relating affective states with personality traits in social
300 insects. The results of the present study are among the first linking consistent individual differences
301 (animal personality) to response to ambiguous stimuli (cognitive judgement bias). Most studies
302 evaluating cognitive biases involved enrichment or impoverishment of the environmental quality,
303 which induced a positive and negative cognitive bias respectively (Bateson and Matheson, 2007;
304 Brydges et al., 2011; Douglas et al., 2012). Other studies have tested the effect of stress on the
305 response to an ambiguous stimulus (Bateson et al., 2011; Burman et al., 2009; Salmeto et al., 2011).
306 These studies aimed at finding a non-invasive and effective way to assess the affective states of
307 animals in captivity. Since cognitive bias is considered an indicator of positive or negative affective
308 states, it may also provide important information to assess and improve welfare in captive animals
309 (Mendl et al., 2009). Our study suggests that personality should be taken into consideration when
310 evaluating the welfare of animals since personality types are differently linked to affective states,
311 for instance some personality types are likely to score more pessimistic than others in cognitive bias
312 tests. Invertebrates are widely used in animal experimentation as well as in zoos and aquaria, and
313 there is a growing interest and concern about their welfare upon realizing that many species possess
314 advanced cognitive abilities, consciousness, individuality, pain suffering ability, etc. (Carere et al.,
315 2011).

316 Finally, our data are in accordance with evolutionary explanations of strategies used by
317 animals to cope with uncertainty (Mathot et al., 2012), which predict that if animals differ in their

318 relative investment in sampling, individuals that sample more thoroughly would have lower
319 exploration/activity scores, but exhibit greater plasticity than individuals that sample with less
320 accuracy. In our study, readily approaching the novel ambiguous stimulus by slow explorers could
321 be interpreted as sampling in an uncertain context, e.g. when in nature resources fluctuate in space
322 and/or time.

323

324 **5 CONCLUSIONS**

325 The results support the postulated link between consistent individual profiles of exploratory activity
326 (likely reflecting personality) and individual differences in affective states measured by cognitive
327 judgement biases in response to ambiguous environmental stimuli. To our knowledge, this is the
328 first experimental evidence of such a relationship in an invertebrate species. The next steps should
329 focus on testing the possible consistency of affective states across subsequent trials and their
330 potential impact on individual personality profiles, taking into account ontogenetic changes.

331

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338

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432

433 **FIGURE LEGENDS**

434

435

436 **Figure 1.** Experimental set-up (open-field arena) used to study exploratory activity.

437

438 **Figure 2.** Experimental set-up used to study cognitive bias. A) First phase of learning, with the
439 appetitive stimulus (sucrose solution) and one corner and the aversive stimulus (quinine solution) at
440 the other corner (left/right balanced across individuals). B) Ambiguous stimulus test, with the
441 neutral stimulus (water) placed at the center.

442

443 **Figure 3.** Frequency distribution of the latency time to approach the ambiguous stimulus during the
444 cognitive bias test (n = 74).

445

446 **Figure 4.** Approach time to the ambiguous stimulus during the cognitive bias test (ants are grouped
447 in two categories: slow and fast) in relation to exploratory activity: A) time spent moving (mean and
448 95% CI) in the central part of the open-field; B) total time (mean and 95% CI) spent in the central
449 part of the open-field (n = 74).

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Figure 1
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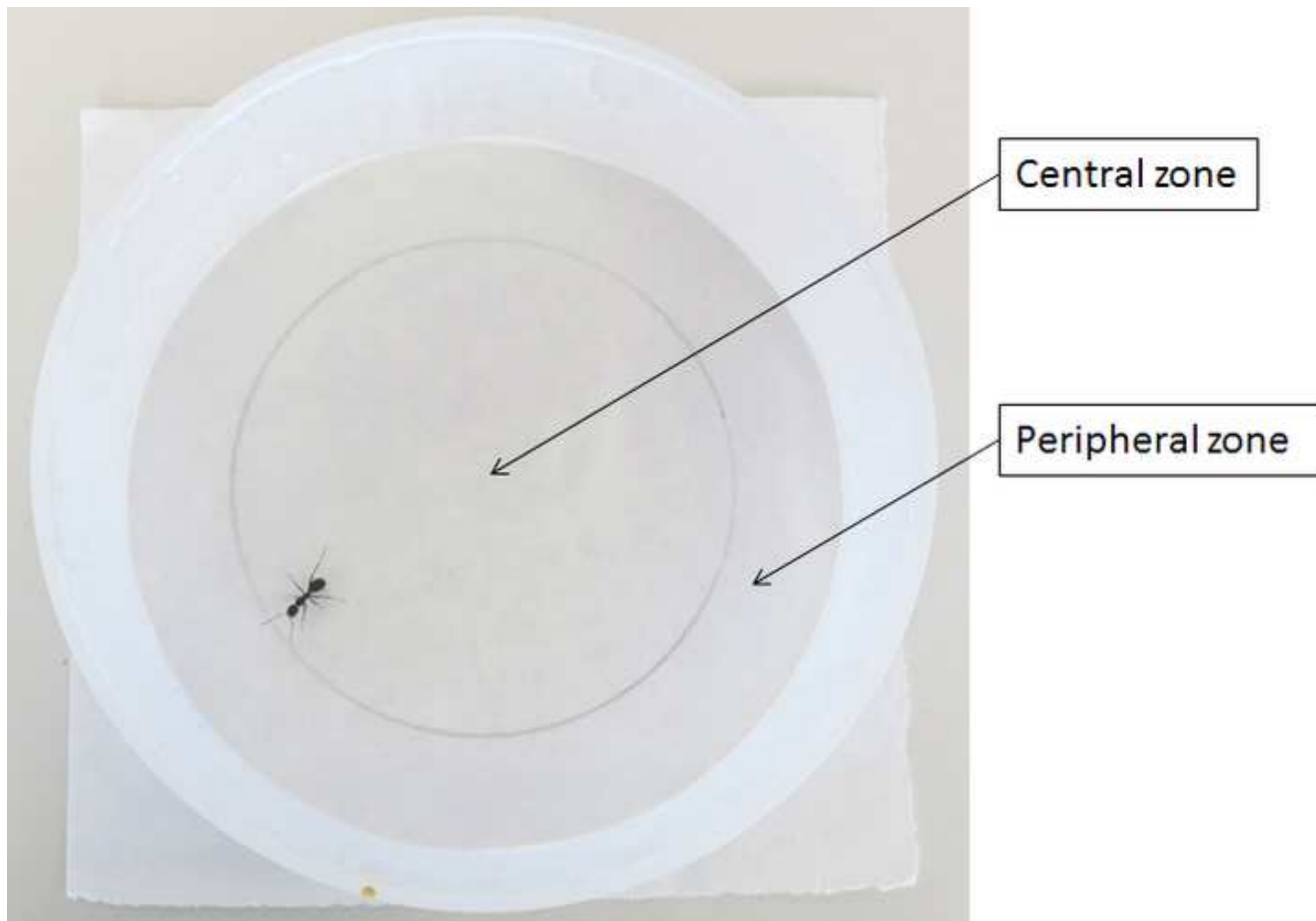


Figure 2
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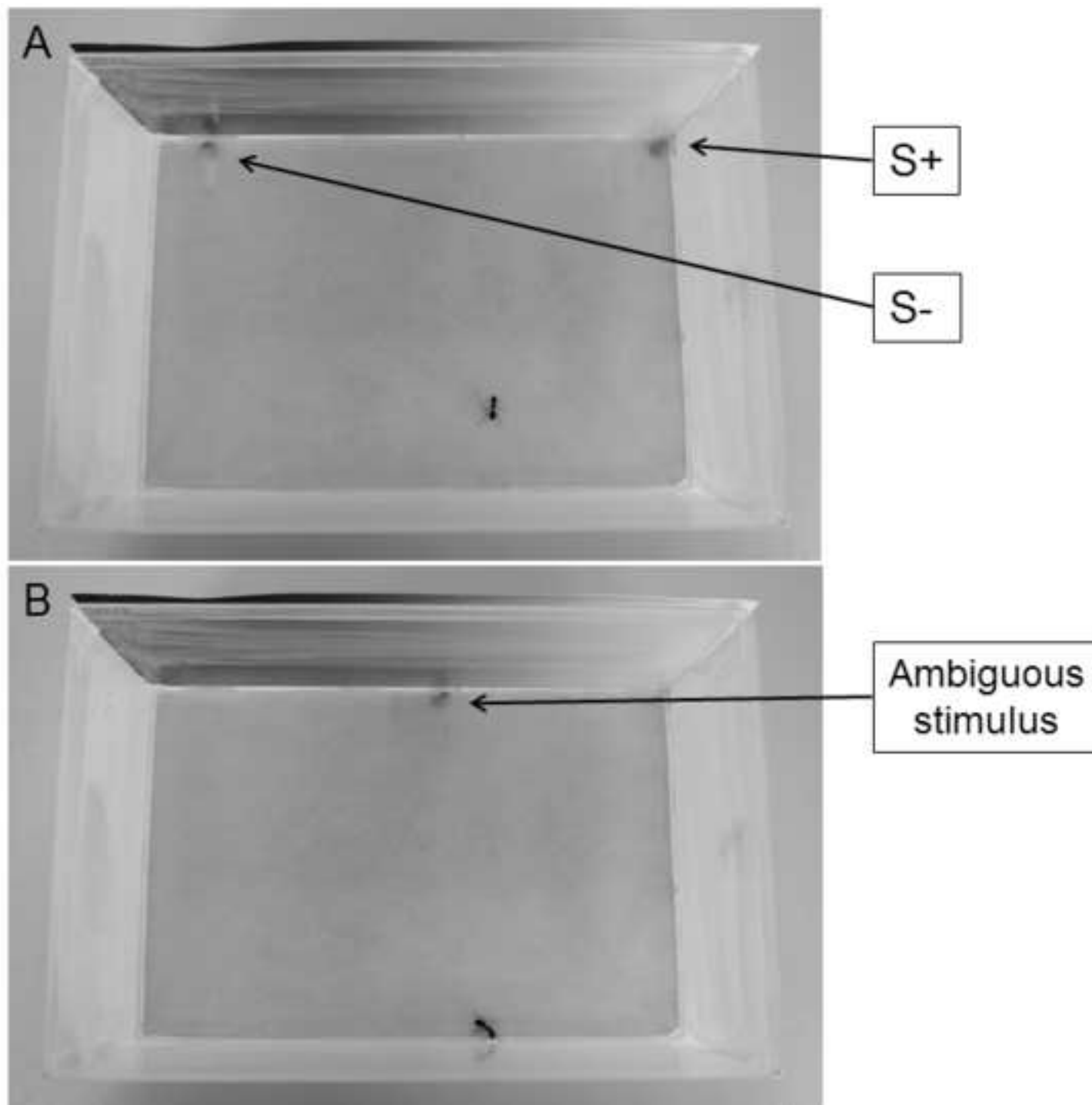


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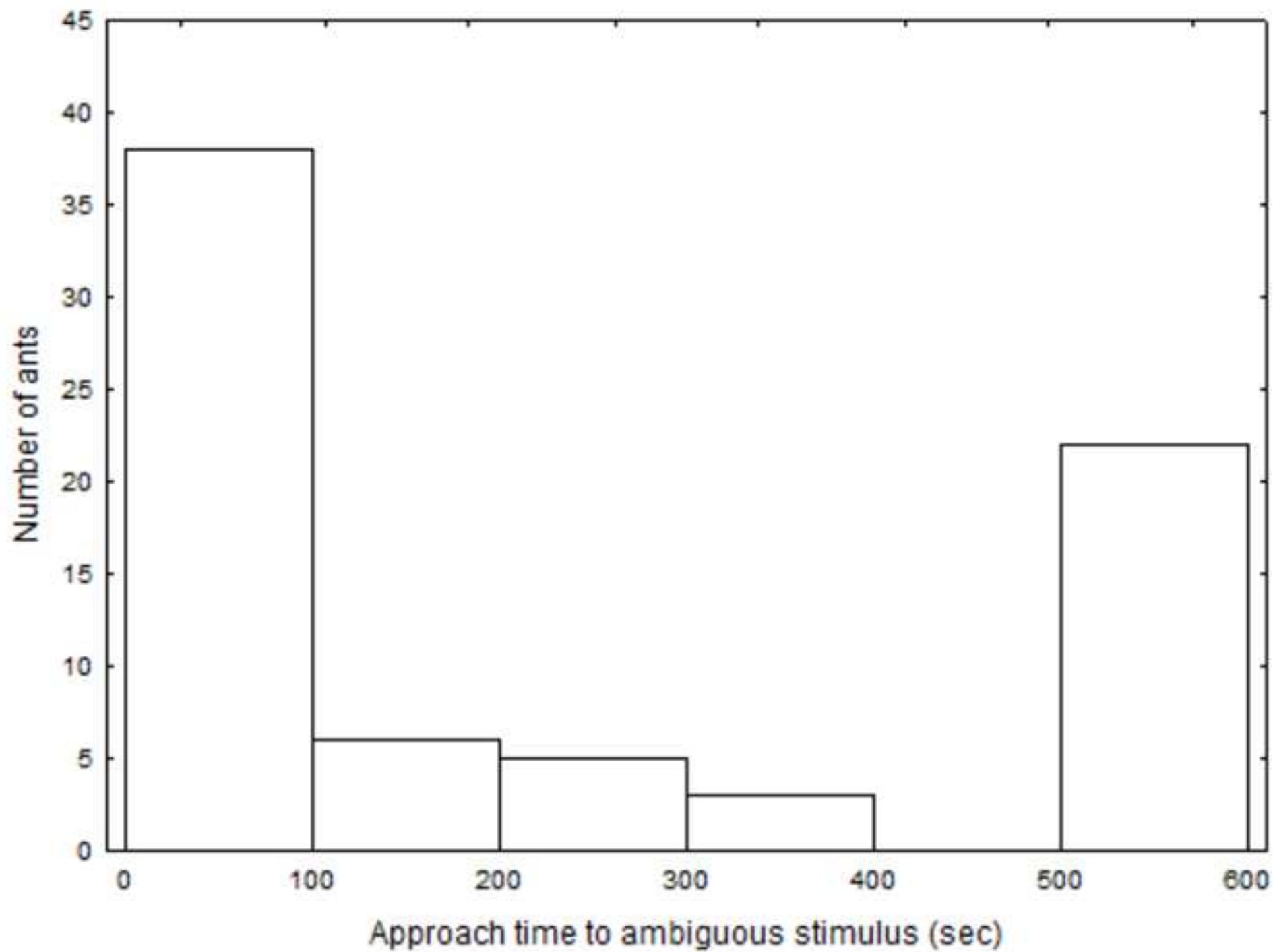
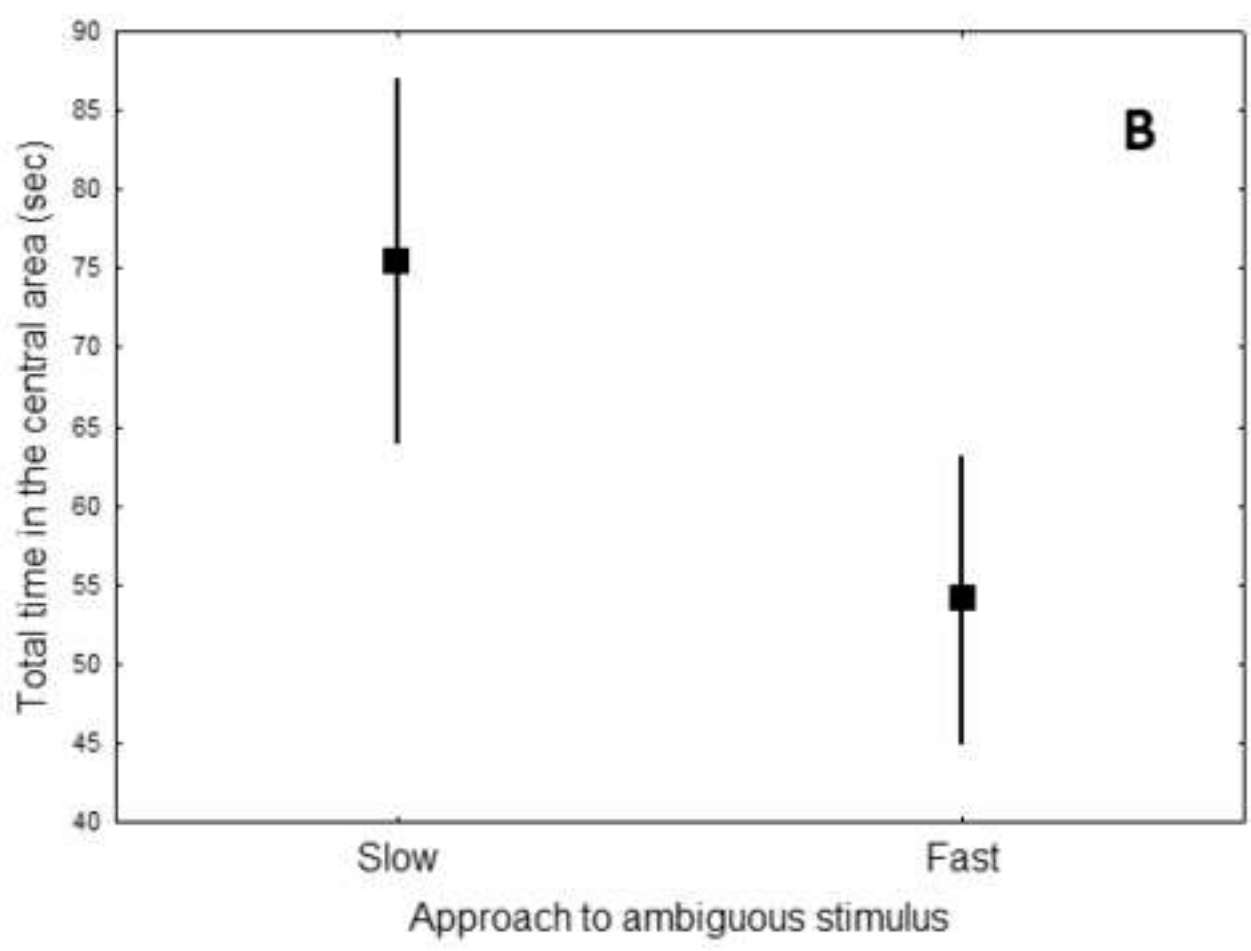
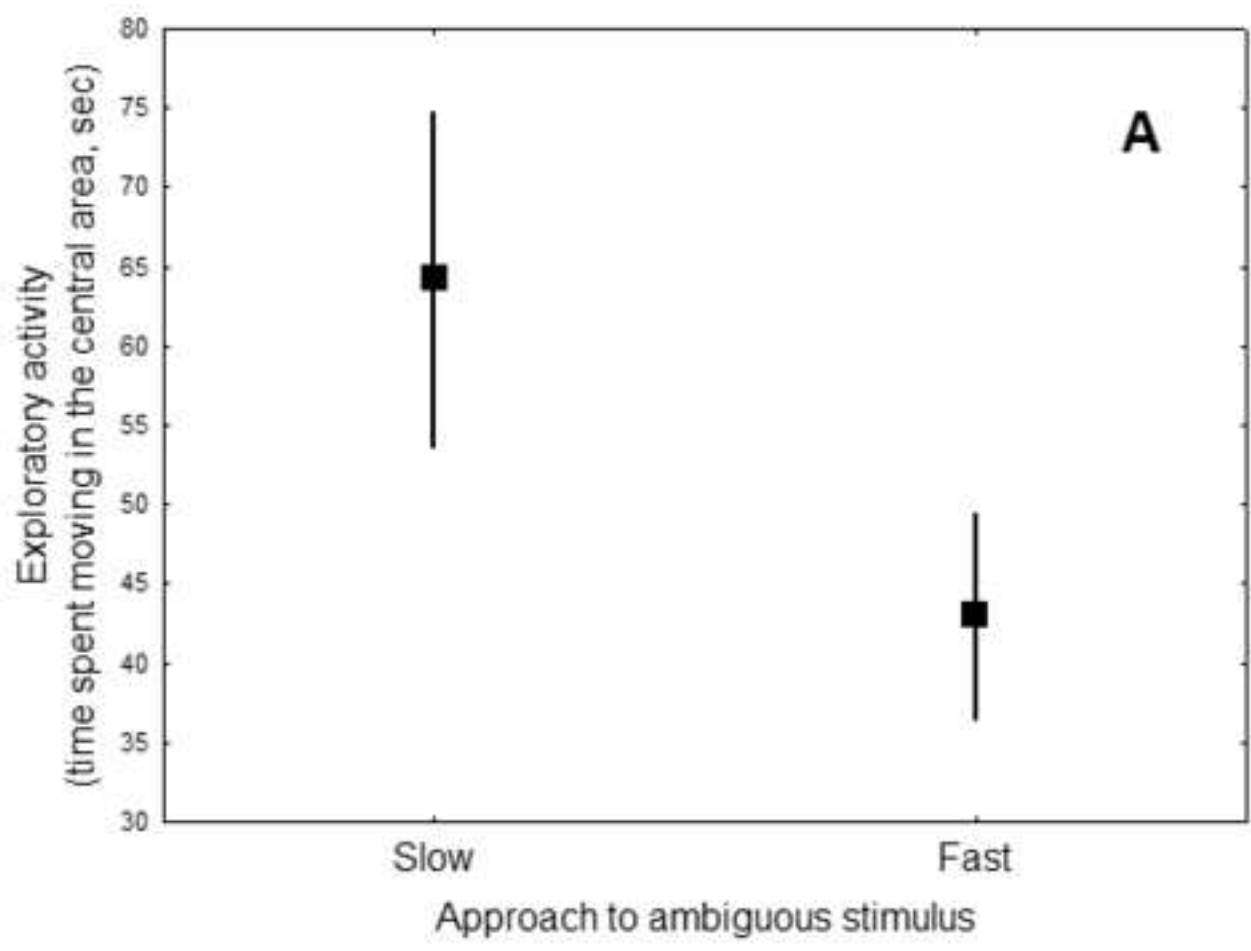


Figure 4

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